



Sustainable Masterplanning (I)



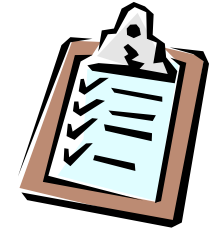
Ir Dr. Sam C. M. Hui

Department of Mechanical Engineering

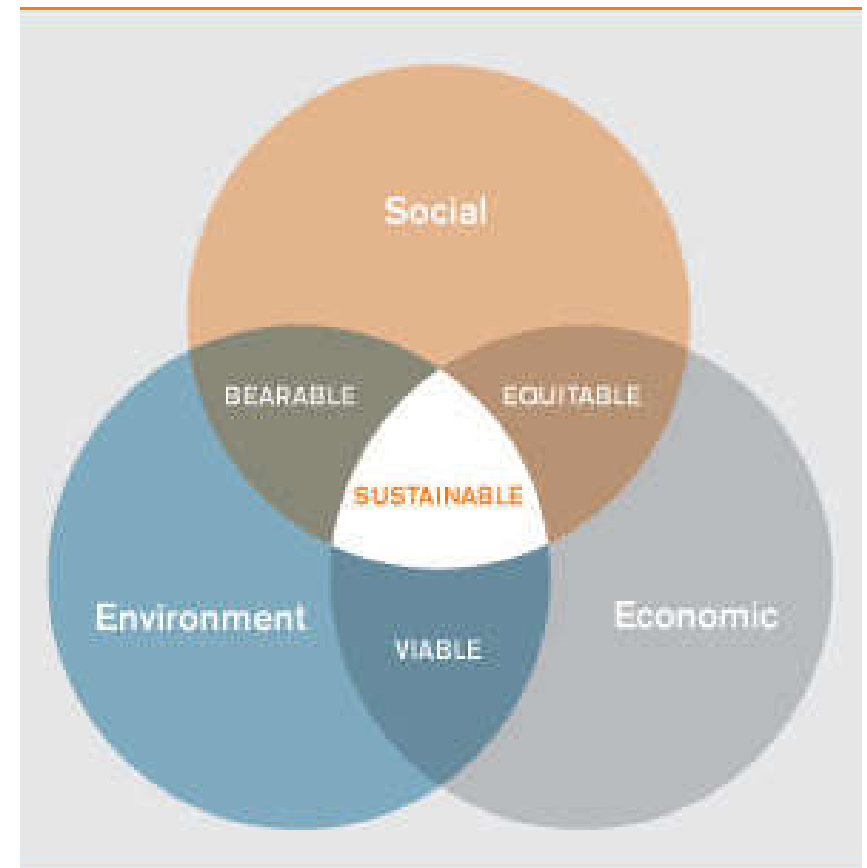
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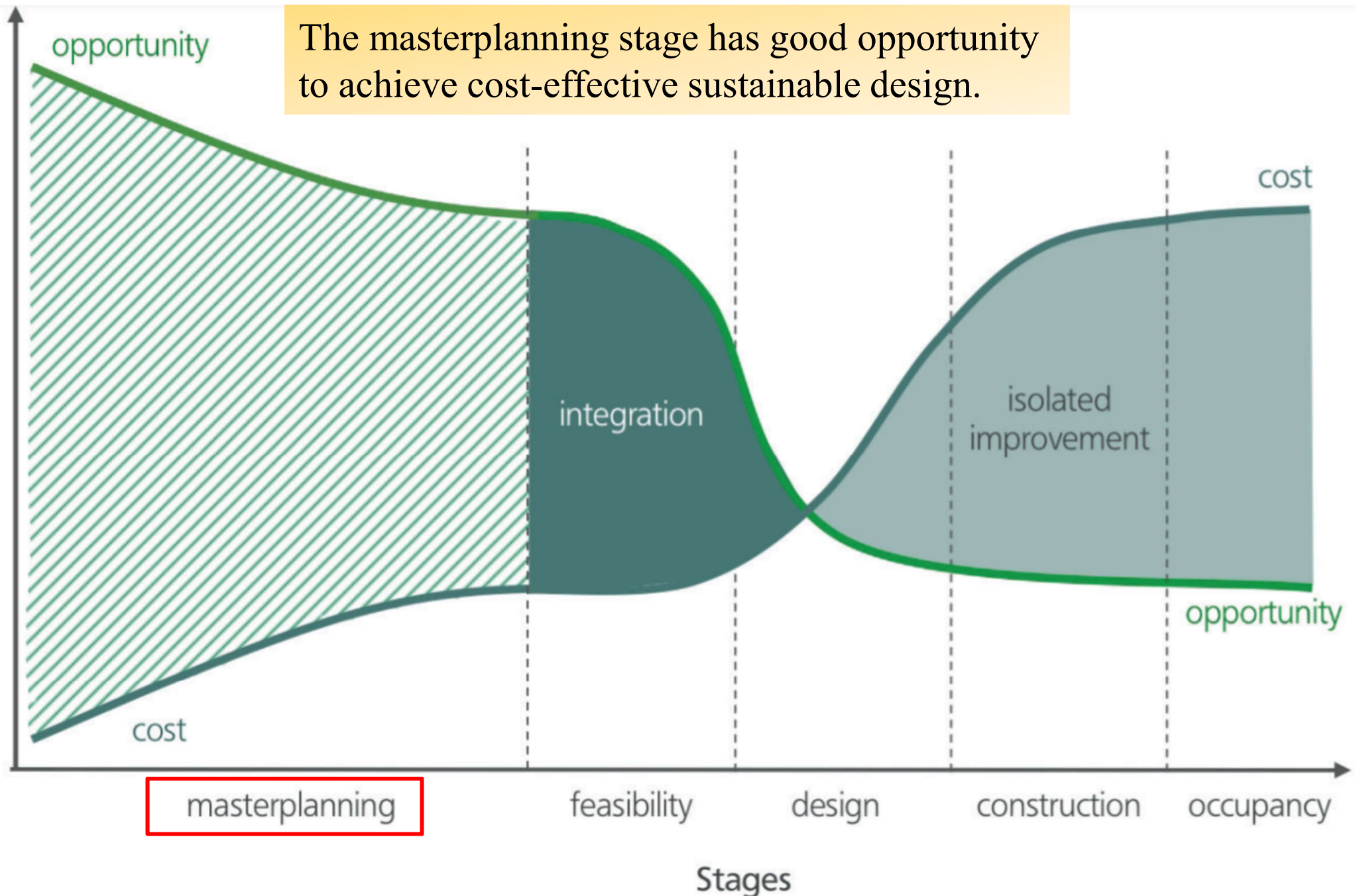
Contents



- Masterplanning
- Sustainable communities
- Land use and density
- Massing and microclimate
- Social sustainability
- Economic sustainability



Opportunities and costs at different project stages



Masterplanning



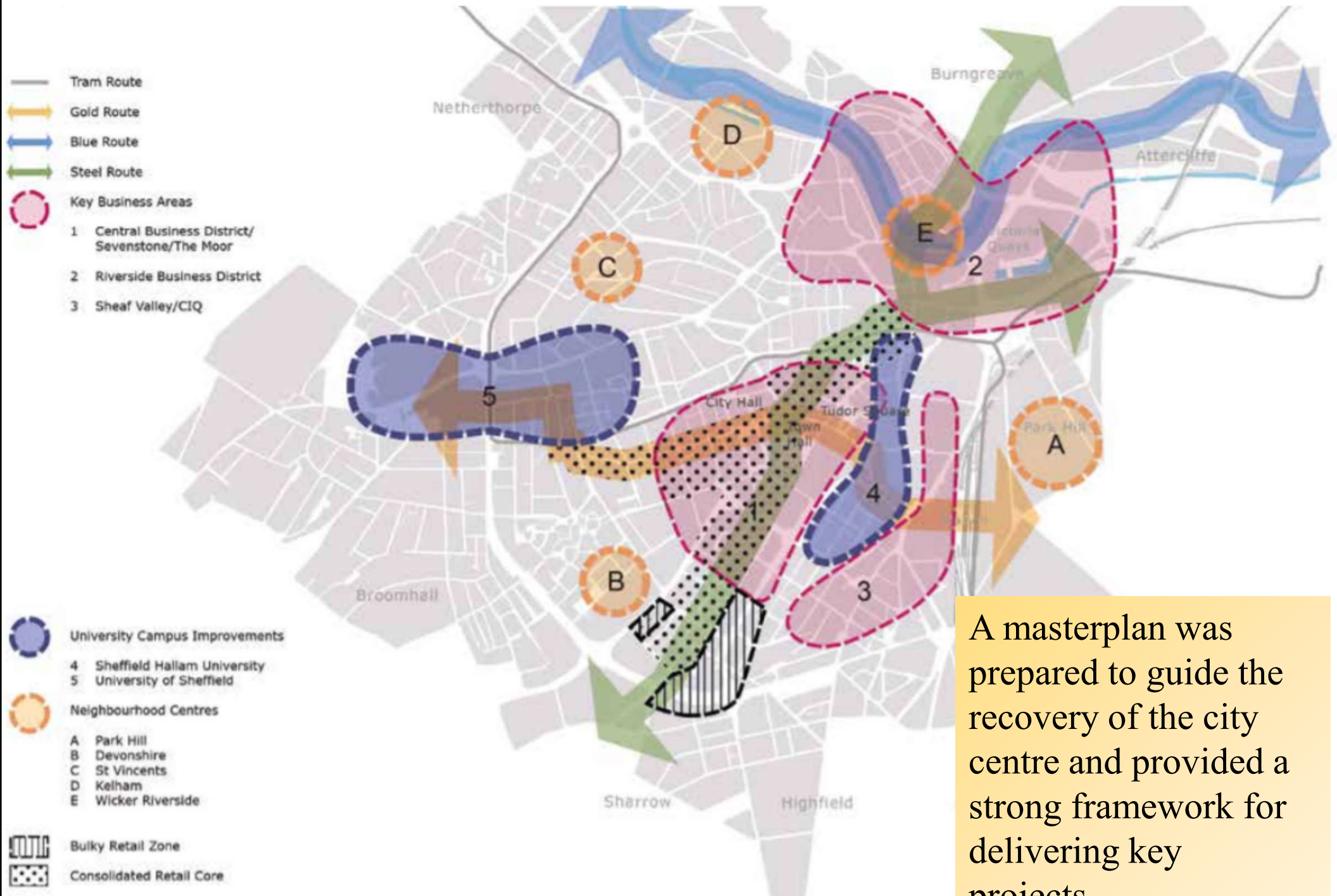
- Masterplanning
 - Strategic planning (i.e. vision), for a large-scale, long-term development project
 - Set down the fundamental principles for a place
 - Help produce different spatial plans and strategies – for example, design codes, design guides, development briefs and strategic frameworks
 - Useful in a range of scenarios in different places, from schemes for entire towns and cities, down to small-scale rural developments

Masterplanning



- Spatial masterplans
 - They set out proposals (design patterns) for buildings, spaces, movement strategy and land use in three dimensions and match these proposals to a delivery strategy
 - This means a drawn plan, supported by financial, economic and social policy documents and detail about how the plan will be delivered
 - Cover a wide range of spatial scales and timescales (from city scale to groups of buildings)

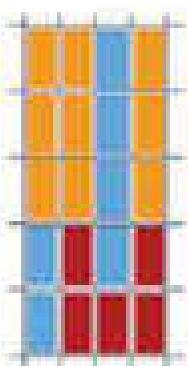
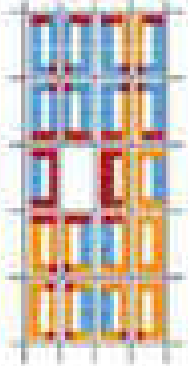
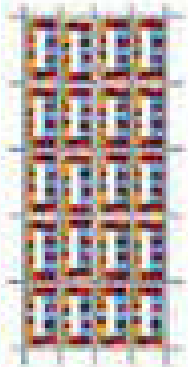
Sheffield city centre masterplan (UK) – spatial principles



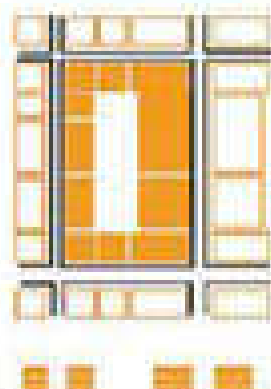
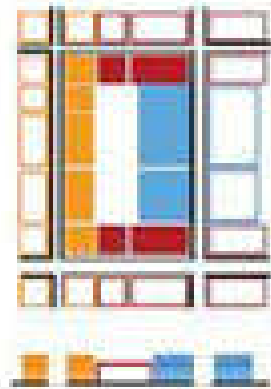
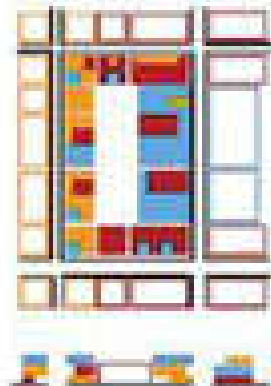
A masterplan was prepared to guide the recovery of the city centre and provided a strong framework for delivering key projects.

Almere Port, Netherlands

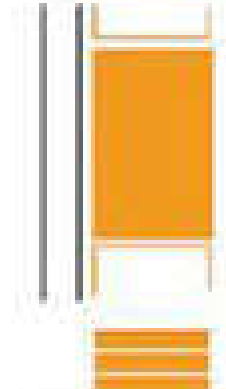
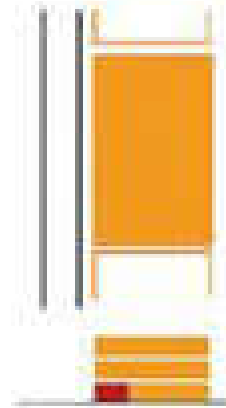
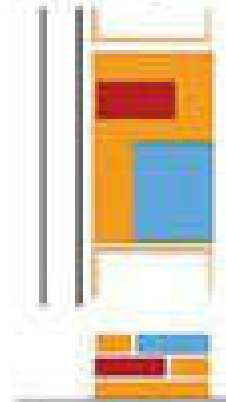
City scale



Block scale



Building scale



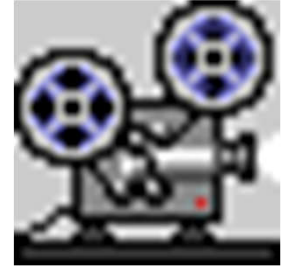
These diagrams show the use of generic modelling to illustrate the impact of a mix of uses at the scale of the building, block and the city area. Such analysis can help to consider the impact of different development options on city character, building design and development economics. Investigations of this sort are needed to test the viability of proposals and ensure that they are fully understood.

Masterplanning



- Masterplanning animation (4:00)

- <http://vimeo.com/11104400>



- Designing sustainable cities, three aspects - three plans. An animation shot by rods & cones film, depicting planning strategies in recent masterplans by vandkunsten in collaboration with hausenberg (from Denmark)
 - 1. Using the local potential
 - 2. Make it liveable
 - 3. Initializing a strategy

Masterplanning



- Examples of masterplans in Hong Kong

- West Kowloon Cultural District (WKCD) conceptual plan options



- Options unveiled (2:10) <http://youtu.be/Hn5aIOy98o8>
 - Office for Metropolitan Architecture (OMA) (3:13) <http://youtu.be/4IEET0Dm4ms>
 - Rocco Design Architects Ltd. (1:27) http://youtu.be/i7_Ho0MTK6U
 - Foster + Partners (3:05) <http://youtu.be/XjFyM5y6JOc>





Masterplanning

- Examples of masterplans in HK (cont'd)
 - Hong Kong International Airport Master Plan 2030 http://vps.hongkongairport.com/mp2030/mp2030_full_en.pdf
 - HKU centennial campus masterplan
 - <http://www.hku.hk/cecampus/>
 - CUHK campus master plan
 - <http://www.cuhk.edu.hk/cmp/>
 - HKBU campus master plan
 - https://eo.hkbu.edu.hk/our_service/cdpm/?uid=rvf9FKDFHzY



Do you know how the HKU Main Campus and Centennial Campus are planned and designed?



HKU Campus Masterplan (in 2006)



Masterplanning



- Related professionals:
 - Developers
 - Planners
 - Urban designers
 - Architects
 - Engineers
 - Government/Local authorities (planning)
- Other stakeholders: local community, proposed end-users, facility managers





Masterplanning

- The Masterplanning process
 - A useful step-by-step guide:
 - Creating successful masterplans: A guide for clients [Commission for Architecture and the Built Environment, CABE]
<http://webarchive.nationalarchives.gov.uk/20110118095356/http://www.cabe.org.uk/masterplans>
 - Key issues:
 - Community involvement
 - Design management
 - Delivery (implementation)

The masterplanning process at a glance

Community
involvement

Design management

Delivery

1. Prepare for the masterplanning process

- Clarifying aims and objectives
- Planning community involvement
- Developing the vision
- Assembling the client team
- Preparing an outline business case

2. Define the project brief

- Preparing a project brief
- Understanding the place
- Maintaining a focus on quality
- Preparing a strategic framework
- Planning how to deliver the project
- Selecting partners

3. Design the final masterplan

- Managing the design process
- Generating and testing detailed options
- Finalising the masterplan
- Adopting or approving a masterplan

4. Implement your masterplan

- Managing implementation
- Developing mechanisms to deliver quality
- Preparing a design code



Masterplanning

- How to be more sustainable: (a useful website)
 - Sustainable places [CABE]
 - <http://webarchive.nationalarchives.gov.uk/20110118095356/http://www.cabe.org.uk/sustainable-places>
 - Give expert advice on planning, designing and managing a sustainable place
 - Priorities and common themes: energy, waste, water, transport, green infrastructure and public space
 - Spatial scales: subregions, cities and towns, neighbourhoods or buildings and spaces

The Sustainable Places priorities and common themes

<p>1. Energy</p> <ul style="list-style-type: none">-Develop a low carbon and renewable energy portfolio-Reduce energy demand	<p>4. Transport</p> <ul style="list-style-type: none">-Encourage public transport, walking and cycling-Reduce car use and improve the carbon efficiency of vehicles
<p>2. Waste</p> <ul style="list-style-type: none">-Plan for sustainable waste management-Turn waste into energy	<p>5. Green infrastructure</p> <ul style="list-style-type: none">-Integrate green infrastructure into urban areas-Help wildlife adapt to climate change
<p>3. Water</p> <ul style="list-style-type: none">-Manage surface water and flood risk-Encourage sustainable water use	<p>6. Public space</p> <ul style="list-style-type: none">-Adapt public space to climate change-Maximise the potential of public space



Sustainable communities

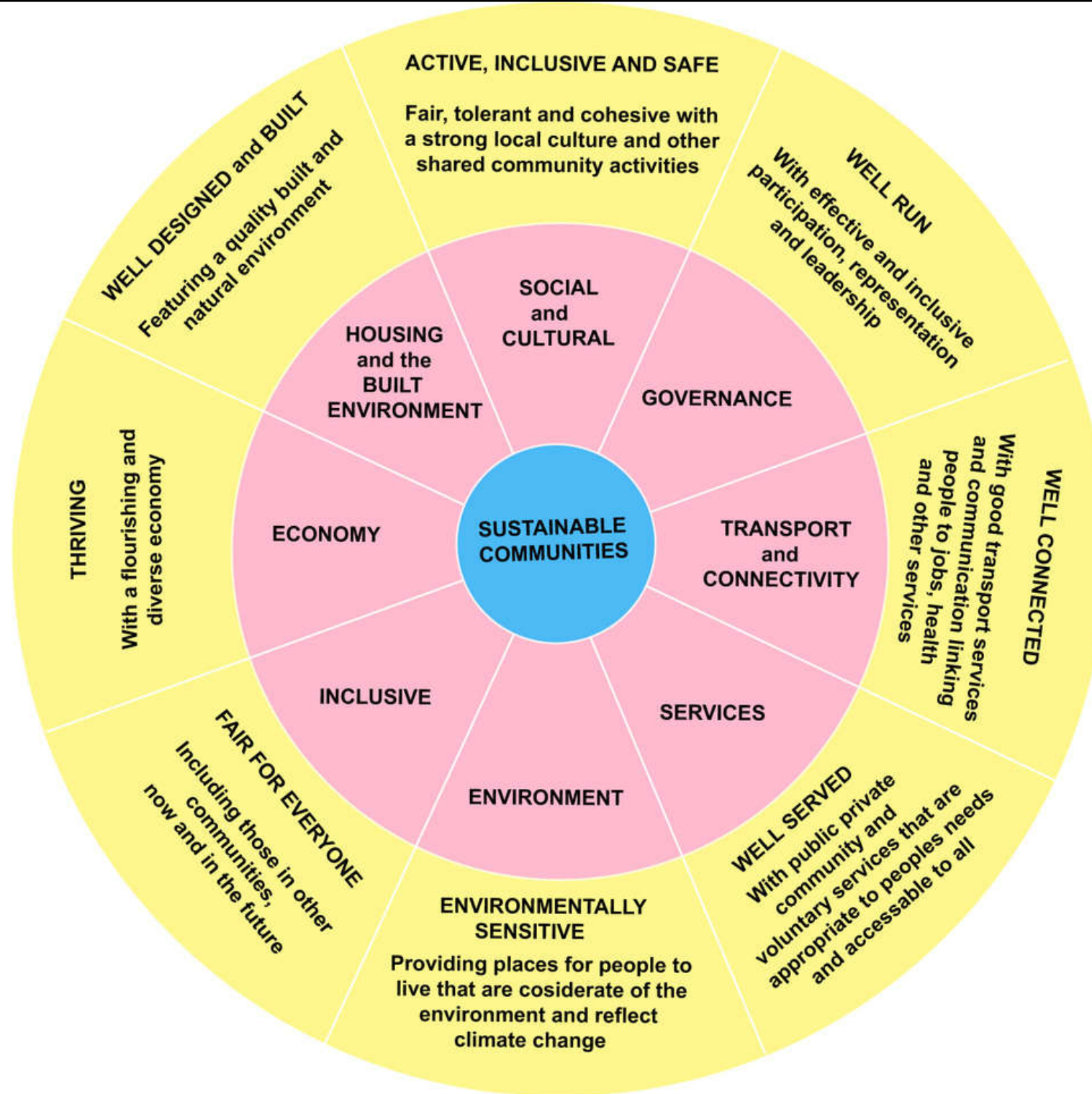
- Definitions of *sustainable communities*:
 - “those that are capable of maintaining their present levels of growth without damaging effects” – US National Resources Defense Council (NRDC)
 - “places where people want to live and work, now and in the future” – UK Department of Communities and Local Government (CLG)
 - Meet the diverse needs of existing & future residents; sensitive to their environment; contribute to a high quality of life; safe & inclusive; well planned, built & run; equality of opportunity & good services for all

Sustainable communities



- What is a *Sustainable Community*?
 - It manages its human, natural, and financial capital to meet current needs while ensuring that adequate resources are available for future generations
 - Four elements:
 - (1) Leadership, Civic Engagement & Responsibility
 - (2) Ecological Integrity
 - (3) Economic Security
 - (4) Social Well-being







Sustainable communities

- In essence *sustainable communities* refer to communities planned, built, or modified to promote sustainable living
 - Tend to focus on environmental and economic sustainability, urban infrastructure, social equity, and municipal government
 - Different organizations have various understandings of sustainable communities*



Sustainable communities

- Other related terms: smart growth, sustainable neighbourhood development
- Decisions that impact on the sustainability of a community:
 - Development of government policy
 - Regional spatial strategies
 - Local development frameworks
 - Masterplanning
 - Detailed design of individual developments

Sustainable communities



- Evaluation tools:

- BREEAM Communities

- BREEAM = Building Research Establishment Environmental Assessment Method



- By UK Building Research Establishment (BRE)

- <http://www.breem.org/communities>

- LEED for Neighborhood Development

- LEED = Leadership in Energy and Environmental Design



LEED-ND

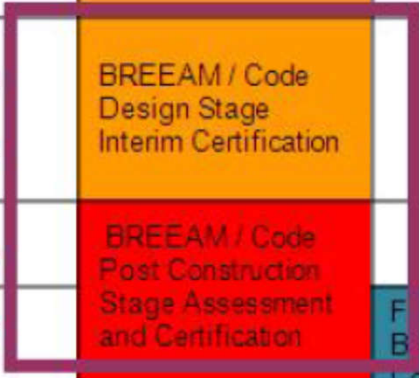
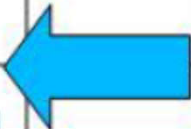
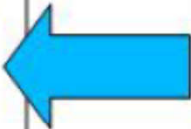
- By US Green Building Council

- <http://www.usgbc.org/leed/nd/>

BREEAM systems and stages of development

RIBA Outline Plan of Work			BREEAM / Code building certification	Stages of BREEAM communities certification	BREEAM In Use
pre-agreement	PRE	Pre-agreement	BREEAM / Code Pre-Assessment Stage	BREEAM / Code Design Stage Assessment	BREEAM Communities Assessment and Certification
	preparation	A			
B		Design Brief			
design	C	Concept			
	D	Design Development			
	E	Technical Design			
pre-construction	F	Production Information	BREEAM / Code Design Stage Interim Certification	Future stages of BREEAM Communities	
	G	Tender Documentation			
	H	Tender Action			
construction	J	Mobilisation	BREEAM / Code Post Construction Stage Assessment and Certification	Future stages of BREEAM Communities	
	K	Construction to Practical Completion			
use	L1	After Practical Completion	BREEAM In Use Assessment		
	L2	Initial Occupation Period			
	L3	Post Occupation Evaluation			

BREEAM Communities assessment and certification for early stages of the project



(Source: www.breem.org/communities) RIBA = Royal Institute of British Architects

The eight categories of BREEAM Communities

<p>1. Climate Change and Energy flooding, heat island, water efficiency, sustainable energy, site infrastructure</p>	<p>5. Transport and Movement general policy, public transport, parking, pedestrians and cyclists, proximity of local amenities, traffic management, car clubs</p>
<p>2. Community promote community networks and interaction, involvement in decision making, support public services, social economy and community structure, and community management of the development</p>	<p>6. Ecology conservation, enhancement of ecology, planting</p>
<p>3. Place Shaping efficient use of land, design process, form of development, open space, adaptability, inclusive communities, crime, street lighting/light pollution security lighting</p>	<p>7. Resources appropriate use of land resources, environmental impact, locally reclaimed materials. water resource planning, refuse composting, noise pollution, construction waste</p>
<p>4. Buildings EcoHomes / BREEAM or Code for Sustainable Homes</p>	<p>8. Business competitive business, business opportunities, employment, business types</p>

LEED for Neighborhood Development (LEED-ND): credit categories

1. Smart Location & Linkage (where to build)

-encourages communities to consider location, transportation alternatives, and preservation of sensitive lands while also discouraging sprawl

2. Neighborhood Pattern & Design (what to build)

-emphasizes vibrant, equitable communities that are healthy, walkable, and mixed-use

3. Green Infrastructure & Buildings (how to manage env. impacts)

-promotes the design and construction of buildings and infrastructure that reduce energy and water use, while promoting more sustainable use of materials, reuse of existing and historic structures, and other sustainable best practices

4. Innovation & Design Process

-recognizes exemplary and innovative performance reaching beyond the existing credits in the rating system, as well as the value of including an accredited professional on the design team

5. Regional Priority Credit

-encourages projects to focus on earning credits of significance to the project's local environment

Sustainable neighborhood development checklist

1. Smart Location & Linkage

- | | |
|--|--|
| <ul style="list-style-type: none">- Location- Ecosystems and open spaces- Contaminated sites | <ul style="list-style-type: none">- Transit-accessible locations- Cycling facilities- Jobs and housing proximity |
|--|--|

2. Neighborhood Pattern & Design

- | | |
|---|---|
| <ul style="list-style-type: none">- Walkable streets- Compact development- Neighborhood connections- Mixed uses- Affordable and diverse housing- Parking and transportation demand | <ul style="list-style-type: none">- Parks and recreation- Universal design- Community participation- Local food- School access and design |
|---|---|

3. Green Infrastructure & Buildings

- | | |
|---|--|
| <ul style="list-style-type: none">- Construction techniques- Energy efficiency and conservation- Energy production and distribution- Water efficiency and conservation- Stormwater and wastewater | <ul style="list-style-type: none">- Green building process- Historic and existing building reuse- Heat islands- Recycling and reuse- Light pollution |
|---|--|

Land use and density



- Sustainable land use (Developers vs. Authority)

- Developers

房地產
開發商

- Land buyers, purchase a site as an investment
- Aim to maximise return on investment

- Planning authority (or government)

規劃部門
或政府

- Strategic view of the long-term needs for the area
- Guide the use and development of land

- Ideal situation: the objectives of developers and planning authority are in harmony

Land use and density



- **Greenfield** versus **Brownfield**

綠地

- **Greenfield** = sites that have not previously been built on (e.g. countryside)

- Protect its wildlife, landscapes and heritage

棕地

- **Brownfield** = development in built-up areas

- Sometimes, the most sustainable option is:

- To refurbish existing buildings rather than demolish and build new (“sustainable refurbishment”)

- Mitigation measures: extensive use of soft landscaping & green roofs, water bodies, trees

Example: Headquarters of the Electrical and Mechanical Services Department (EMSD) at Kowloon Bay (Reuse of a former air cargo terminal building, HACTL2 Building)



Do you remember the HACTL2 Super Terminal in Kai Tak Airport?



(Further info: www.emsd.gov.hk/emsd/e_download/about/new_hqs_commemorative_booklet/)

(Image source: www.emsd.gov.hk)

Land use and density



- Conditions and nature of site
 - Issues in a brownfield site
 - Contaminated land and underground obstructions
 - Asbestos (石棉) in existing buildings
 - Obstacles in a greenfield site
 - High ecological value (e.g. protected trees, flora, fauna)
 - On a migratory route of birds
 - Topography (地形) and ground conditions
 - Building on a slope is more expensive (e.g. HKU)
 - Take advantage of slope for view, sun/wind exposure



Land use and density

- Flood risk
 - Become a focus in the global warming debate
 - Such as flooding in Bangkok, Taiwan and Hong Kong
 - Attenuation of flood water by a combination of soft landscape and green roofs
 - Uncontrolled growth of development in river catchment areas => increase in flood events



Land use and density



- Accessibility and transport



- A poorly connected residential development

- Separate from the community; heavy reliance on cars
 - Critical mass required to form a viable community

- The ideal: all able to walk or cycle safely to the amenities (e.g. schools, healthcare, shops); good links to centres of employment & transport hubs



- Infrastructure and services (capacity)

- Existing infrastructure of utilities and roads
 - Demand reduction measures & on-site renewables

Land use and density



- Density of development

- Major factors to consider:

Question:

Are tall buildings sustainable?

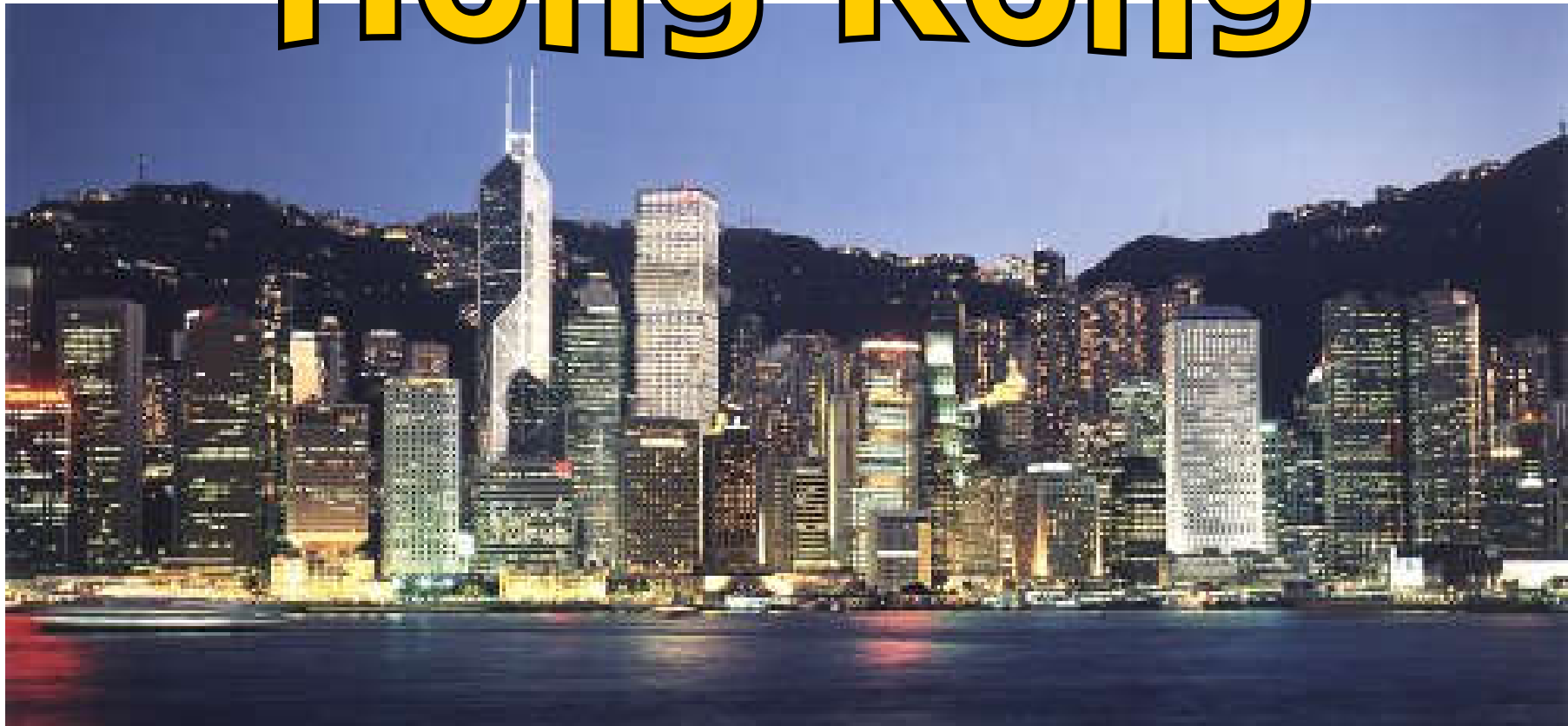
- Efficient use of land (dwellings per unit land area)
 - Sustainability of tall buildings (??)
 - Social impacts of high rise development

- High density cities and development

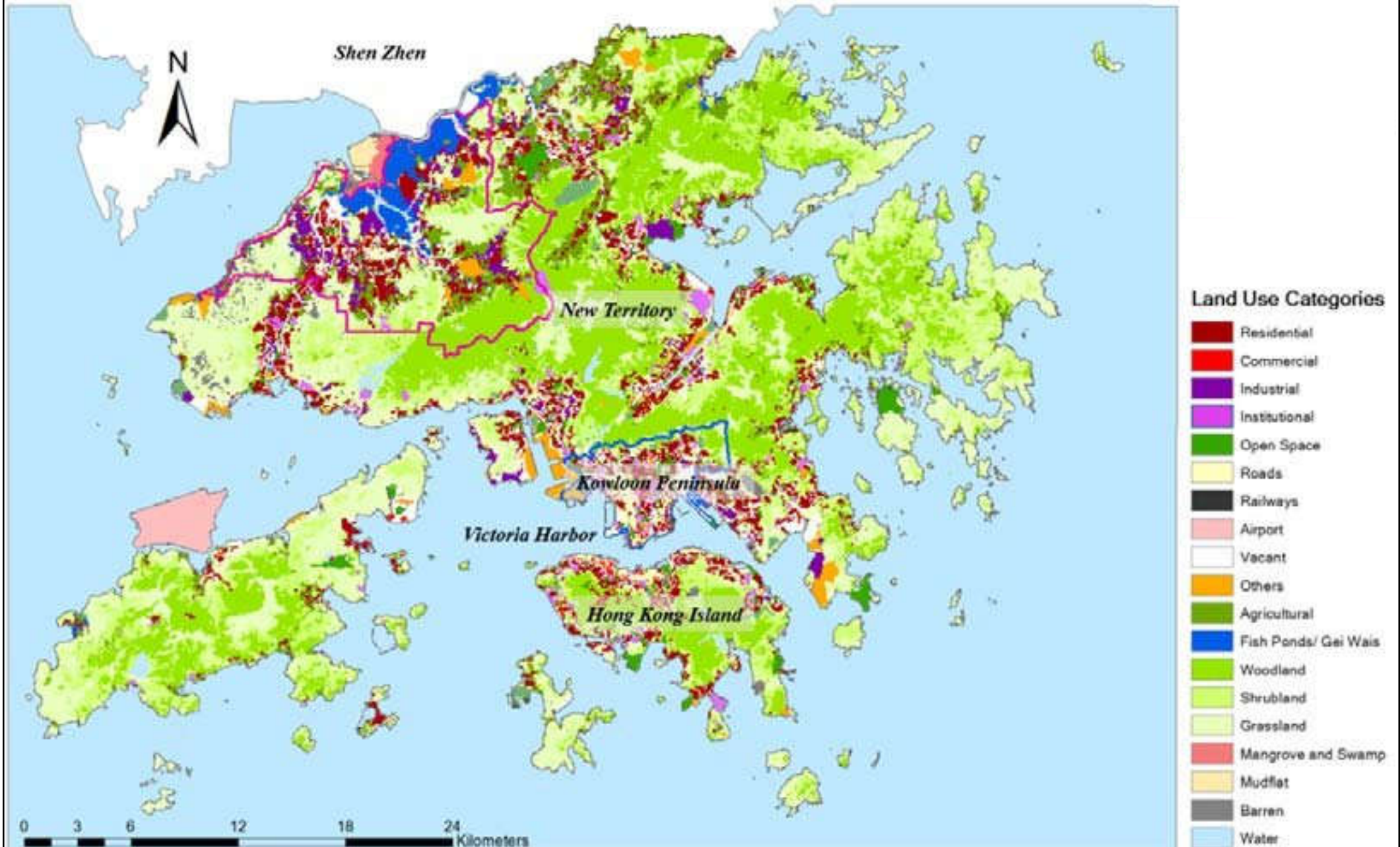
- Support closer amenities
 - Encourage reduced trip lengths & public transport
 - Economic advantage: ‘agglomeration effect’ through businesses clustering, economic of scale, etc.



Hong Kong



Land utilization in Hong Kong



Land use and density



- Land use planning and zoning (in HK)
 - Town Planning Board <http://www.info.gov.hk/tpb/>
 - Outline zoning plans (OZP)
 - Development permission area (DPA) plans
 - Urban Renewal Authority (URA) development scheme plans
 - Planning Department <http://www.pland.gov.hk>
 - Hong Kong Planning Standards & Guidelines
 - Planning studies and development strategies
 - Lands Department <http://www.landsd.gov.hk>

Conceptual Spatial Framework for Hong Kong 2030+



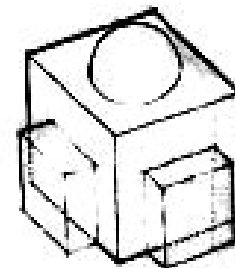
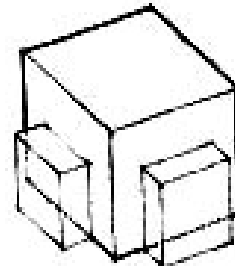
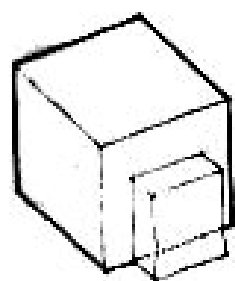
Do you know how HK city is planned for the coming decades?

Massing and microclimate



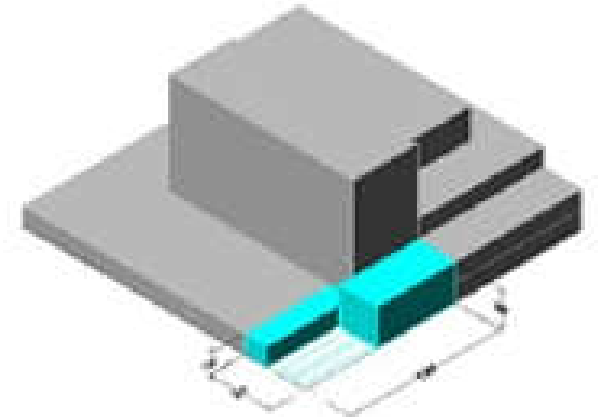
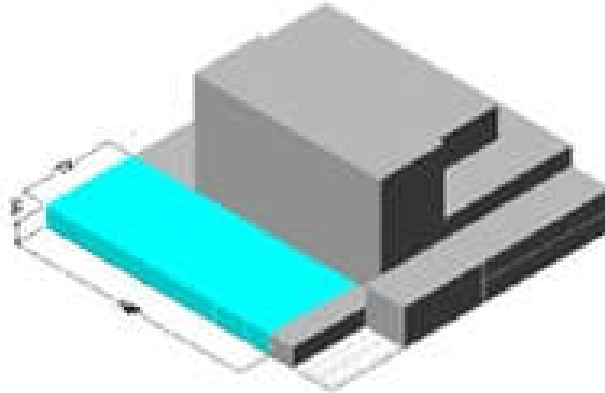
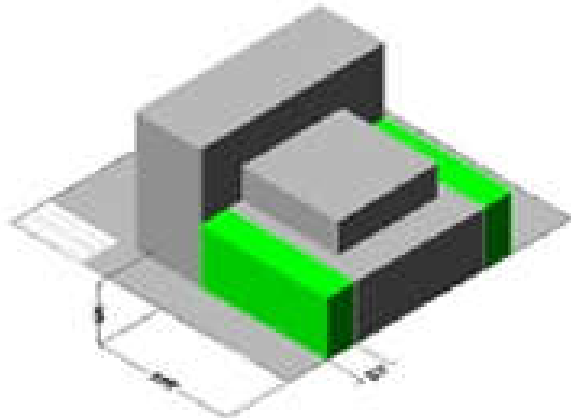
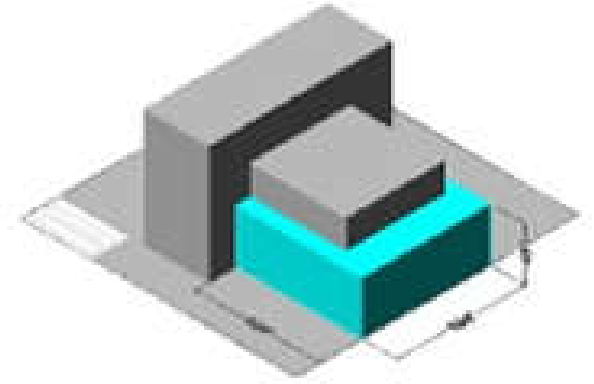
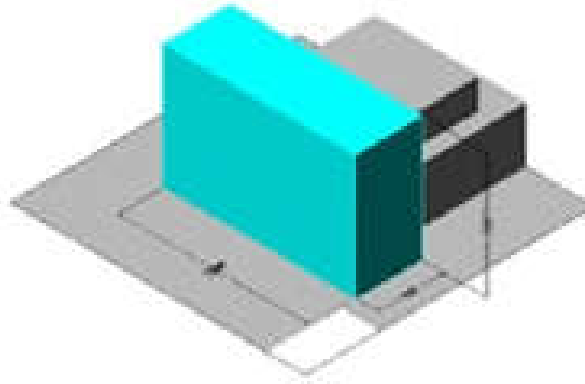
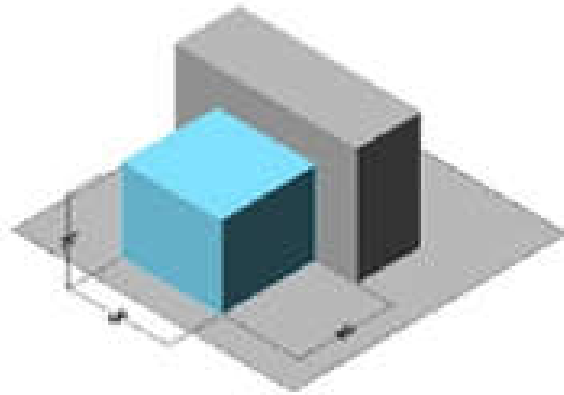
- Massing (architectural)
 - It means the general shape or shapes of a building, in three dimensions (e.g. massing models)
 - The early stages of built form creation
 - Massing of the building on the site
 - Important for high density urban development
 - Help to study the microclimatic factors of the site

too simple →

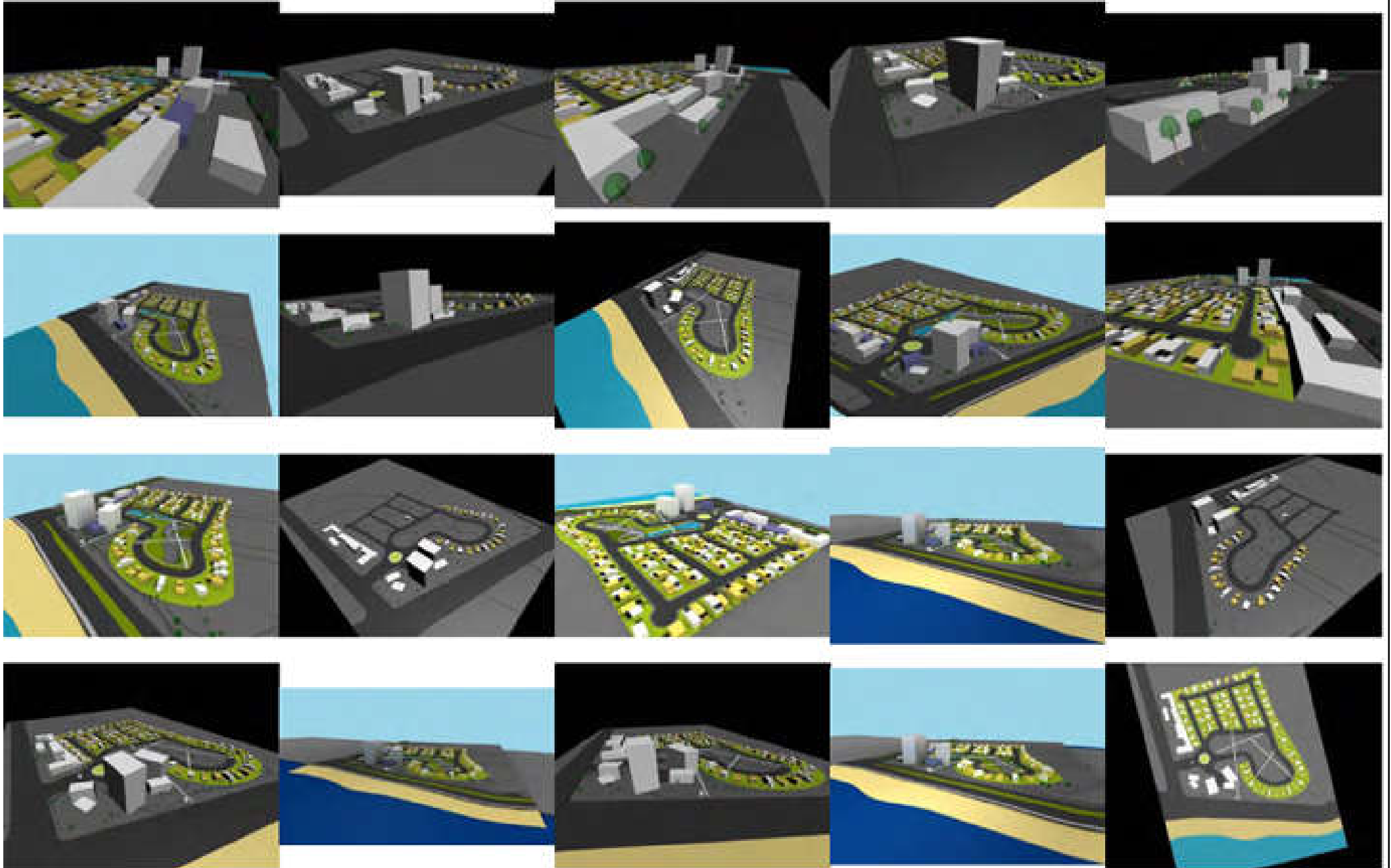


drawing by Frank Gehry

Building massing diagrams (examples)



Massing design and analysis process



Massing and microclimate



- Microclimate and site issues to consider:
 - 1. Wind environment (for pedestrians using entrances, landscaped areas and public space)
 - 2. Daylight, overshadowing and glare
 - 3. Visual impact
 - 4. Flight path and height limit (if any)
 - 5. Noise and air quality

Massing and microclimate

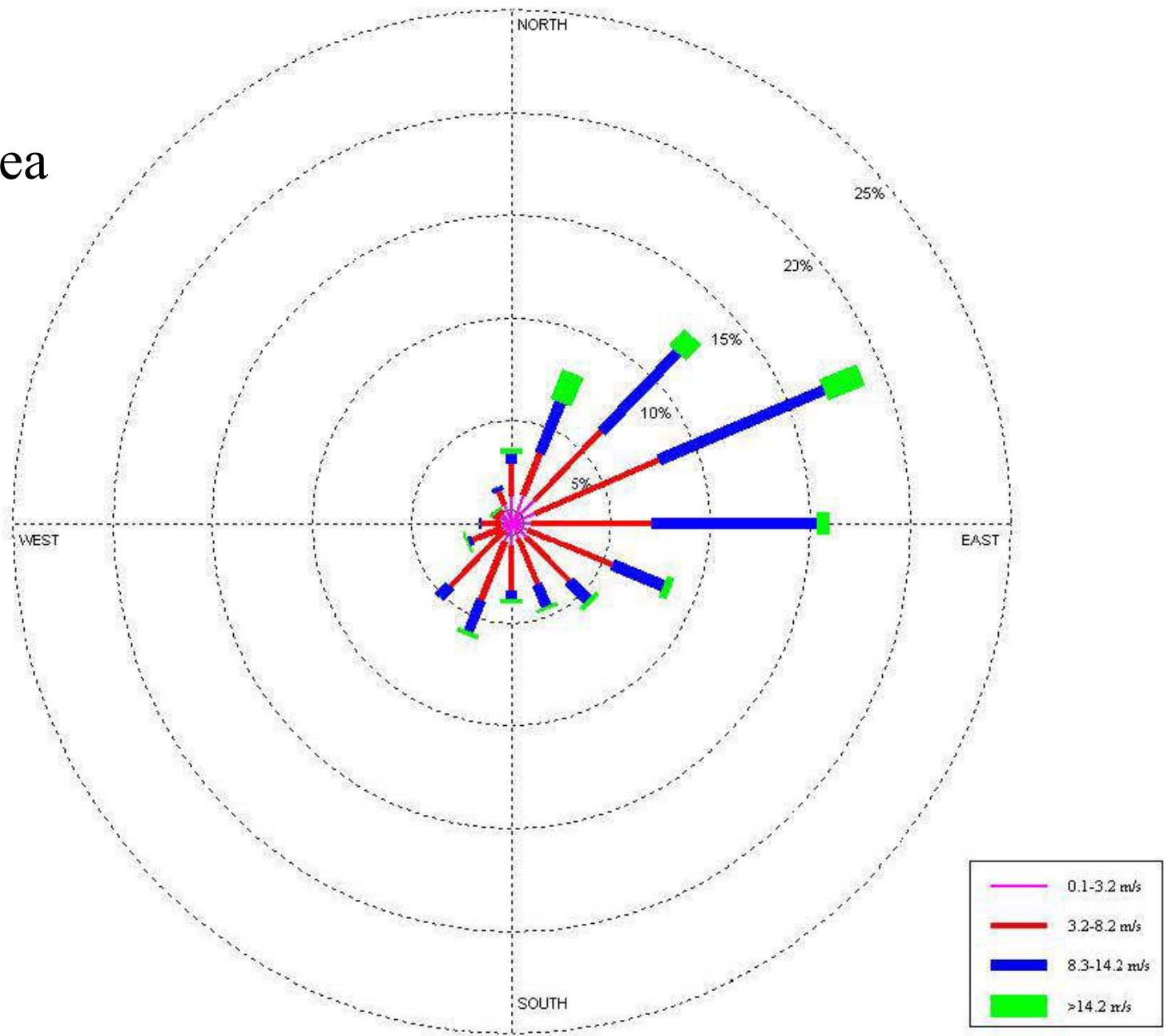


- 1. Wind environment
 - Impact on the safe and comfortable use of balconies, terraces and entrances
 - For driving natural ventilation or wind turbines
 - In Hong Kong, evaluation can be made using the air ventilation assessment (AVA) system*
 - Study site and local wind availability
 - Computational fluid dynamics (CFD) study
 - Wind tunnel study of buildings and structures

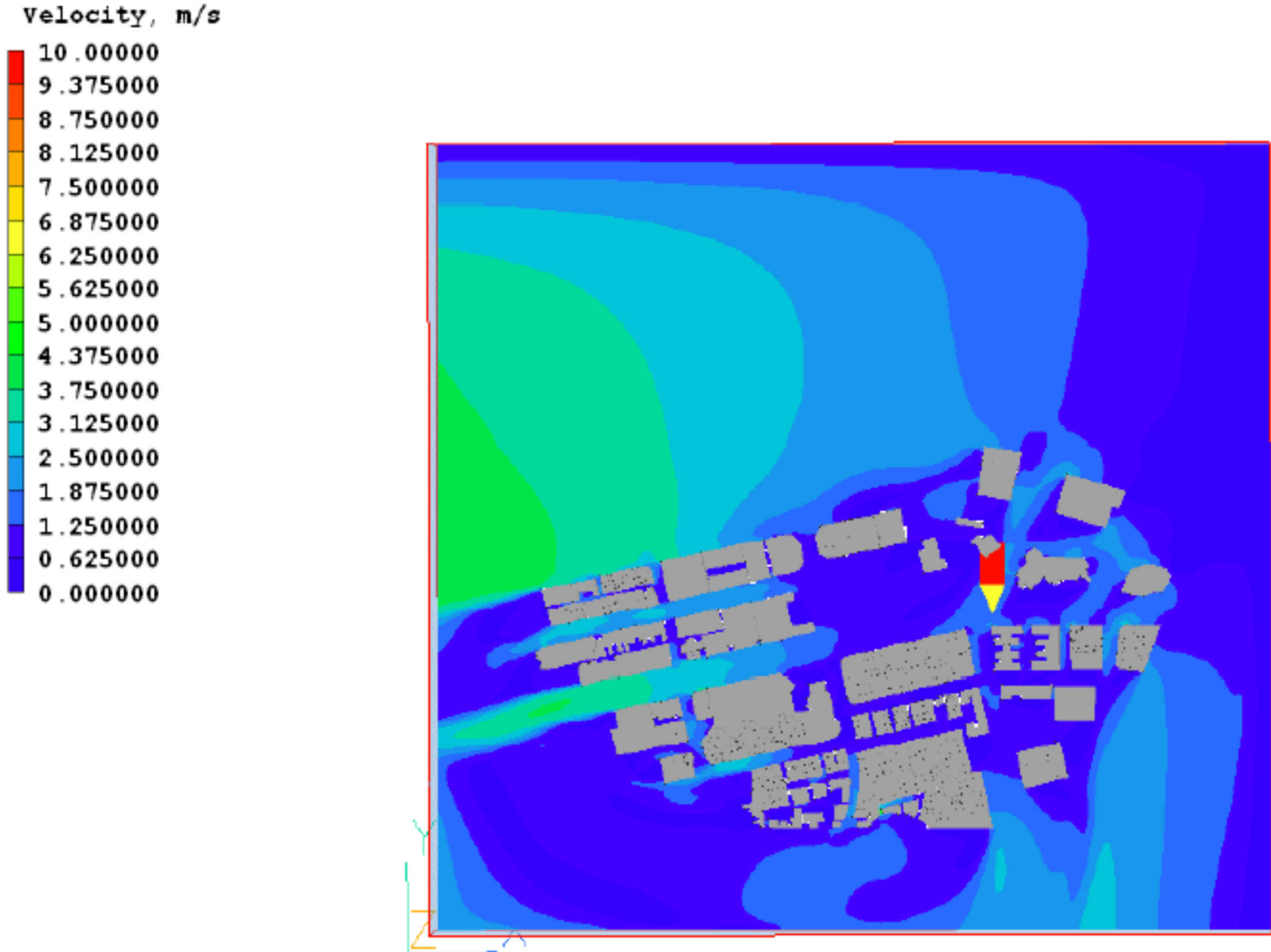


Square:(27,26) Windrose in 16 Directions

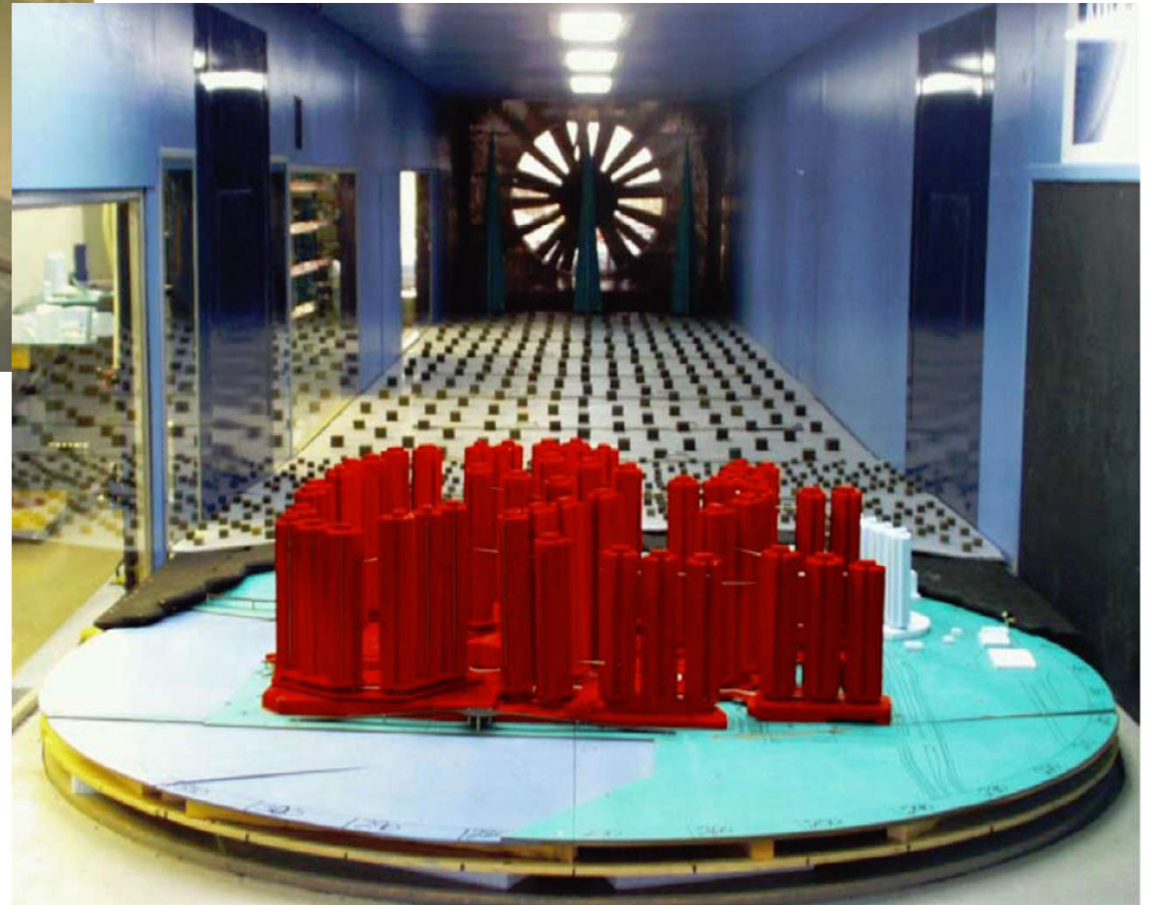
Wind rose for Tsimshatsui area in Hong Kong



Computational fluid dynamics (CFD) study of wind environment



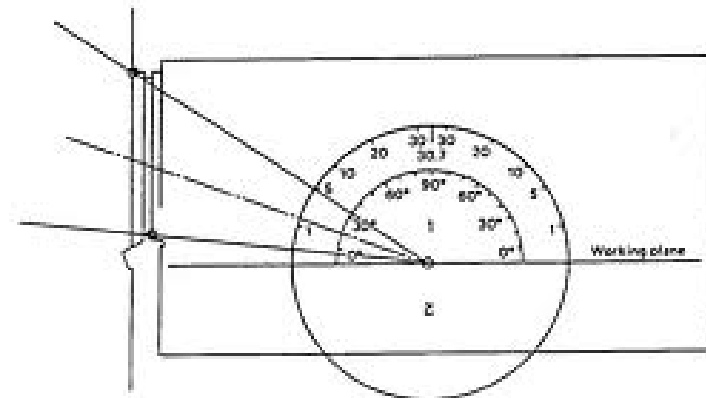
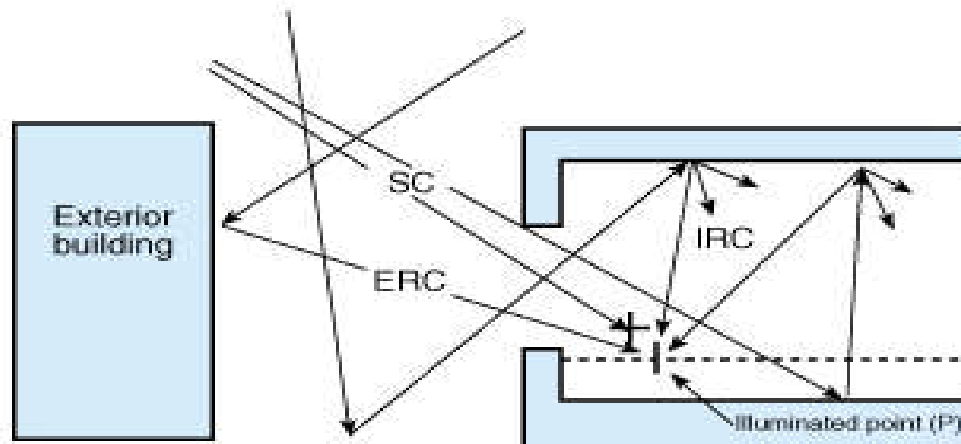
Wind tunnel study of buildings and topography



Massing and microclimate



- 2. Daylight, overshadowing and glare
 - Assess daylight availability and impact
 - Daylight factor (DF) determination
 - $DF = SC + ERC + IRC$
 - SC: sky component
 - ERC: externally reflected component
 - IRC: internally reflected component

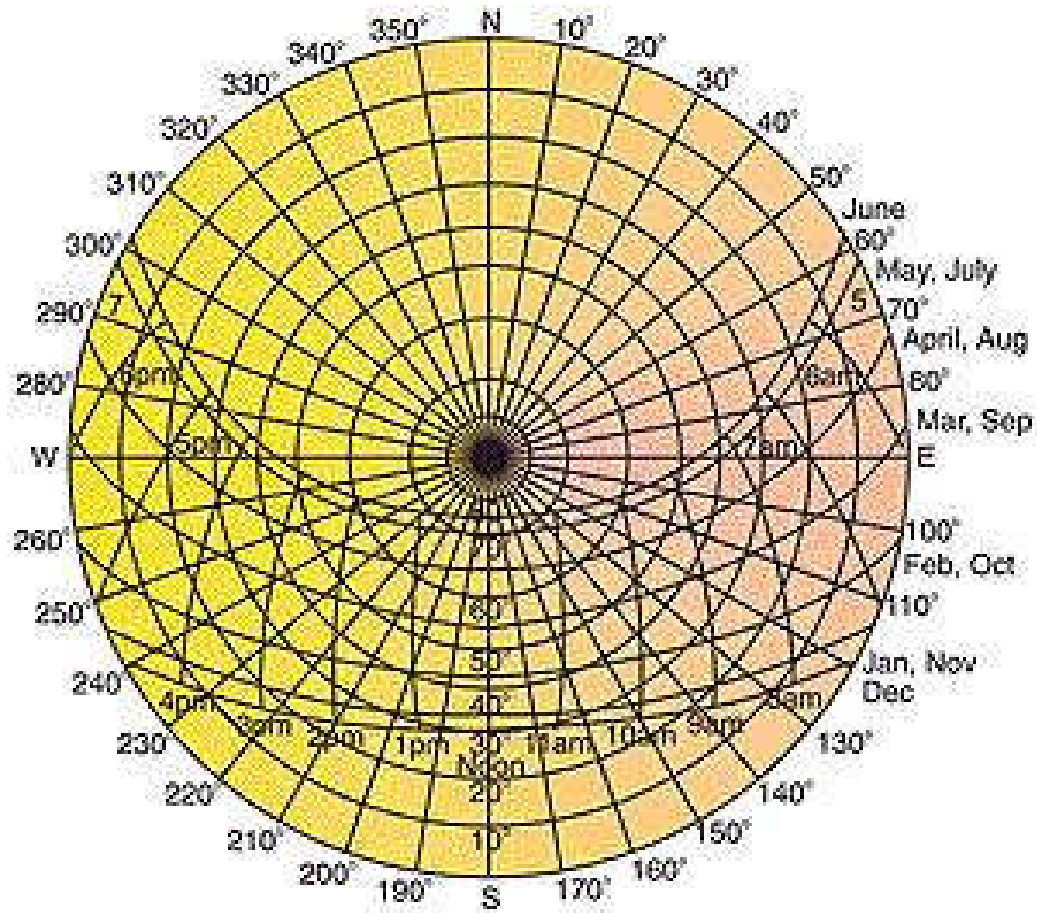


Massing and microclimate

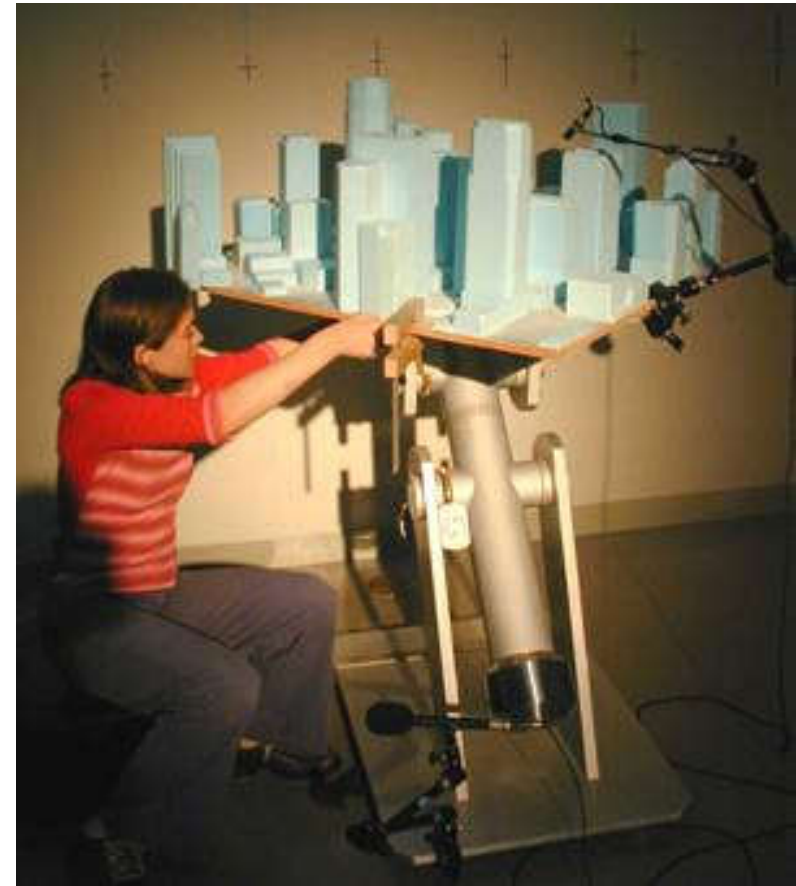


- Daylighting design may be evaluated by
 - Manual methods
 - Shading mask and sun path diagram
 - Nomographs or charts (e.g. daylight protractors)
 - Scale model photometry (e.g. using heliodons)
 - Computer programs (e.g. RADIANCE, Lumen Micro, Lightscape, LightCAD)
 - On-site measurements (e.g. using lux meter) and observations

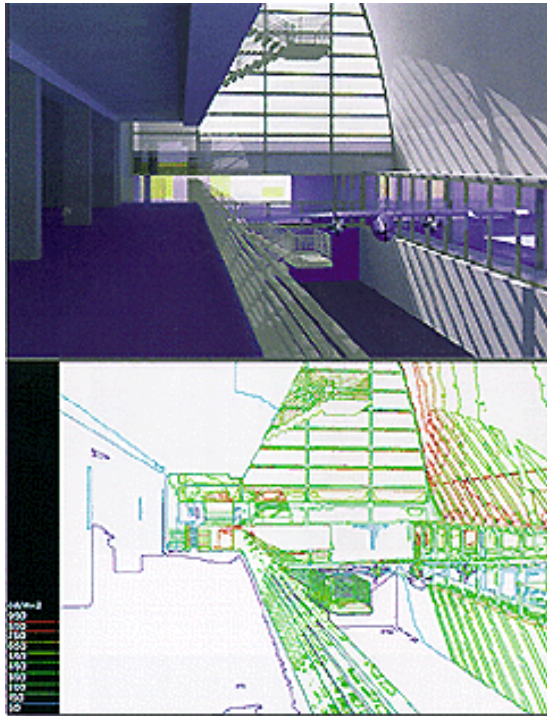
Daylighting design and analysis tools



Sunpath diagram



Heliodon studies



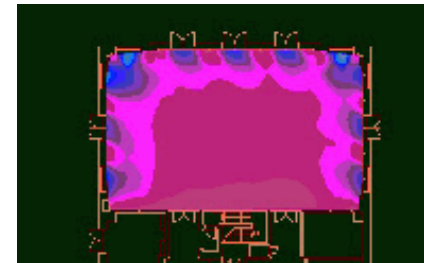
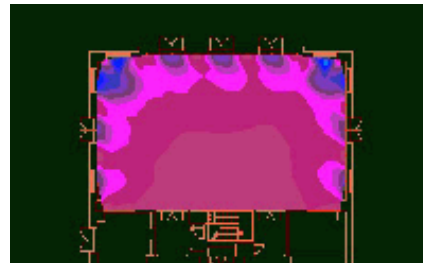
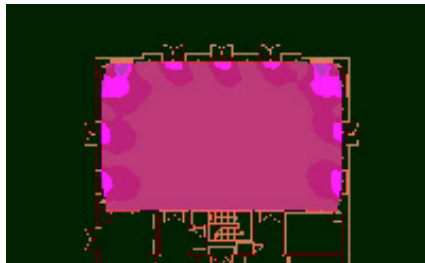
Daylight
simulation
using
RADIANCE

9:00am

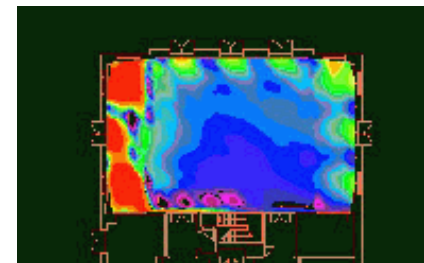
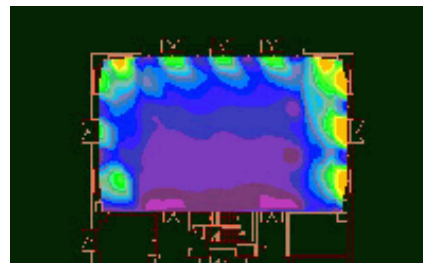
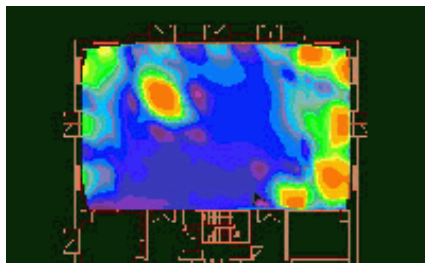
12:00 noon

3:00pm

Cloudy:



Sunny:

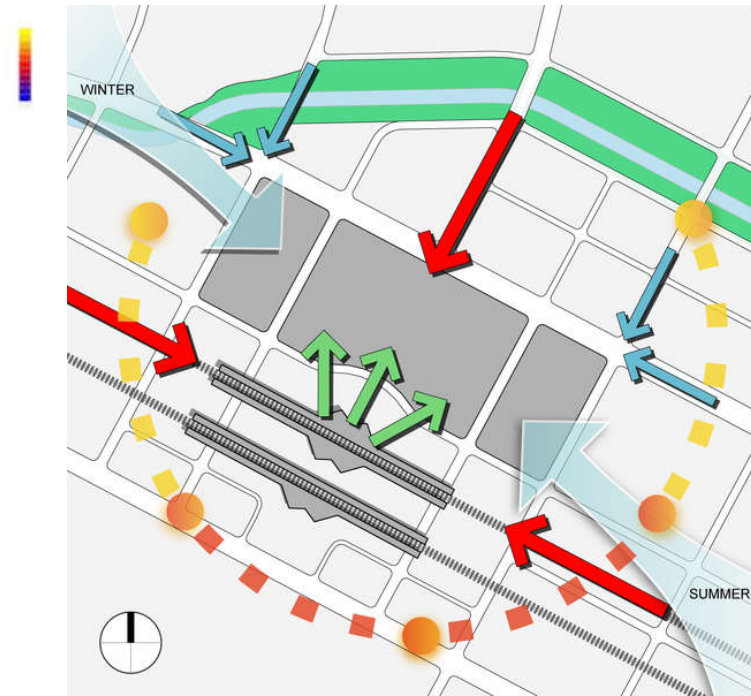
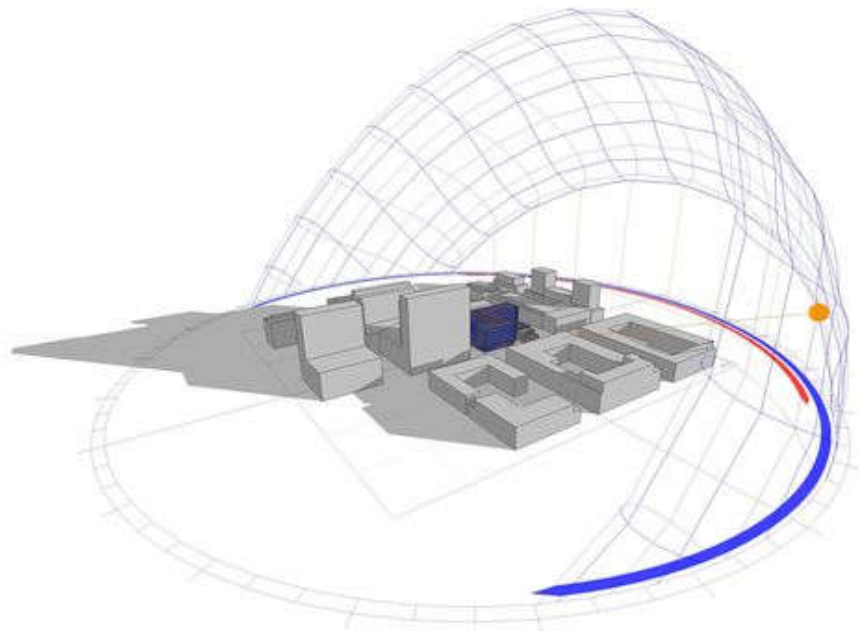


Daylighting
analysis

Massing and microclimate



- Overshadowing of neighbouring buildings
 - Model shadow paths on an hourly basis for 21 March, 21 June, 21 September, 21 December to give an indication of transient overshadowing



Massing and microclimate



- 3. Visual impact
 - Involve subjectivity (‘the eye of the beholder’)
 - Context is very important
- 4. Flight path and height limit
 - Tall buildings must be assessed
 - Height restriction: affecting navigable airspace
- 5. Noise and air quality
 - Mapping of noise and pollution levels
 - Noise sensitive activities and ventilation openings

Social sustainability



- It concerns how individuals, communities and societies live with each other and set out to achieve the objectives of development models which they have chosen for themselves
- Many issues are involved
 - Equity, livability and health
 - Participation, needs and social capital
 - Economy and environment
 - Happiness, well being and quality of life

Four domains of social sustainability

ECONOMICS

Production & Resourcing
Exchange & Transfer
Accounting & Regulation
Consumption & Use
Labour & Welfare
Technology & Infrastructure
Wealth & Distribution

ECOLOGY

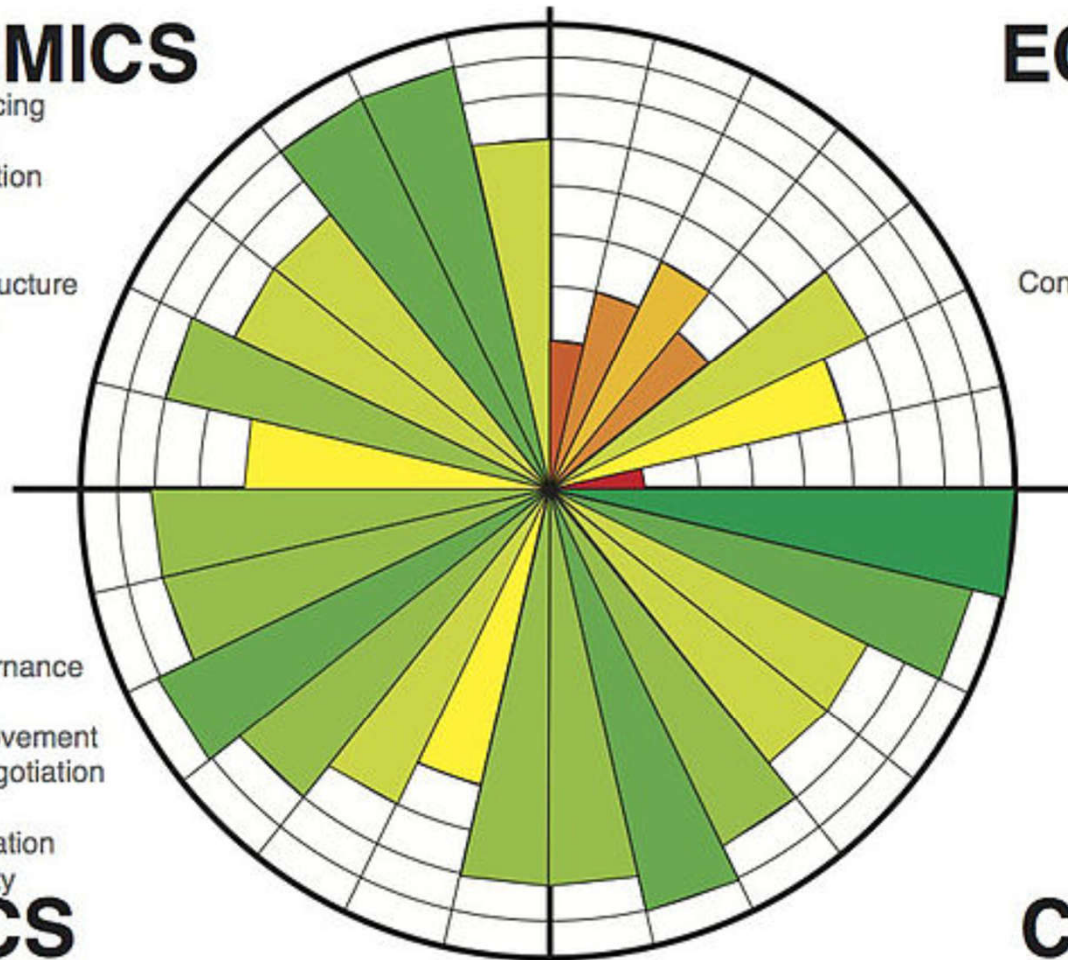
Materials & Energy
Water & Air
Flora & Fauna
Habitat & Food
Place & Space
Constructions & Settlements
Emission & Waste

Organization & Governance
Law & Justice
Communication & Movement
Representation & Negotiation
Security & Accord
Dialogue & Reconciliation
Ethics & Accountability

POLITICS

Engagement & Identity
Recreation & Creativity
Memory & Projection
Belief & Meaning
Gender & Generations
Enquiry & Learning
Health & Wellbeing

CULTURE

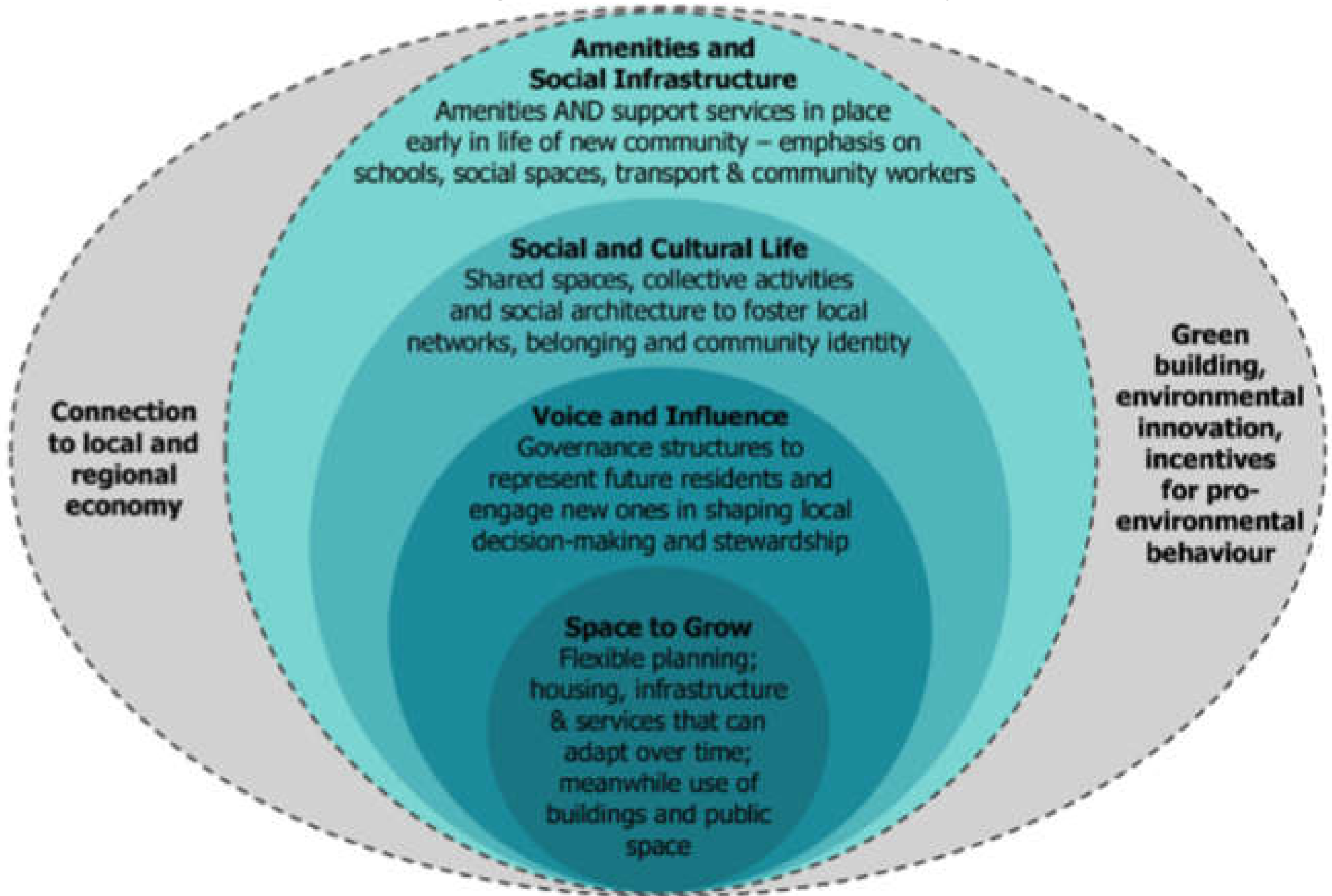


Social sustainability



- *Social sustainability* is largely neglected in mainstream sustainability debates
- Social Life (<http://www.social-life.co>) have developed a framework for social sustainability which has four elements:
 - 1. Amenities and infrastructure
 - 2. Social and cultural life
 - 3. Voice and influence
 - 4. Space to grow

Illustration of design for social sustainability framework



Social sustainability



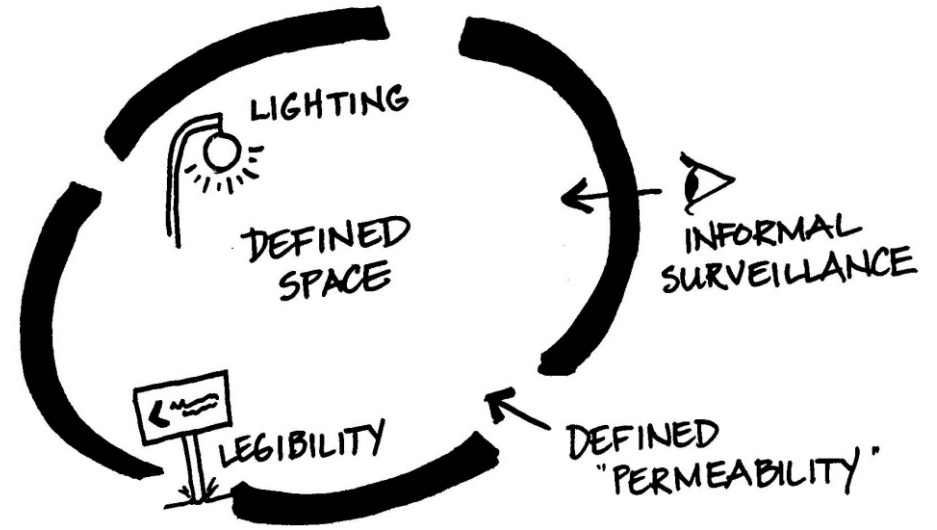
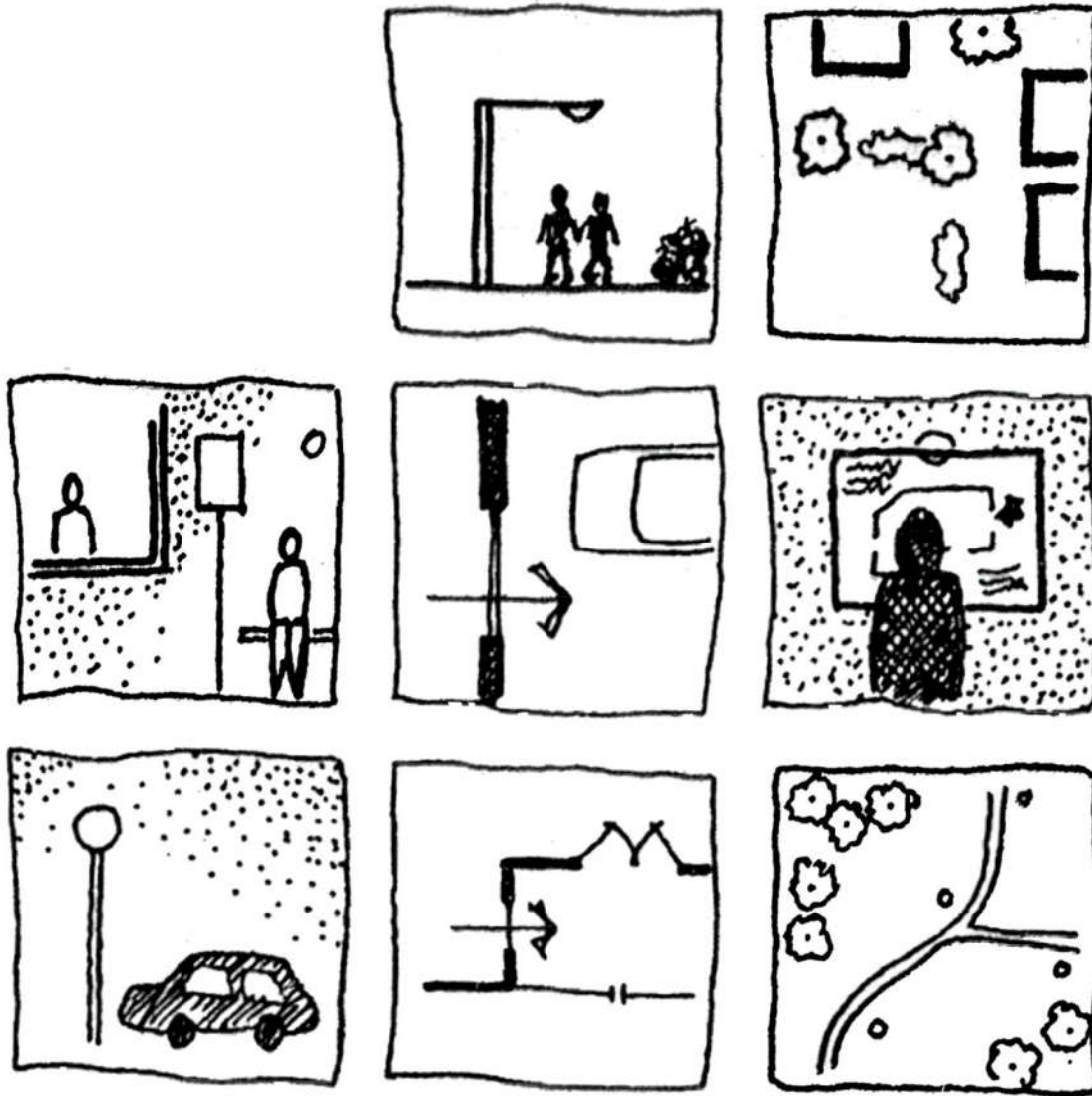
- Amenities and facilities
 - Access to shops, schools, healthcare, community, social and recreational facilities, transport nodes
 - Open space with play areas
 - For example, the requirements in UK Ecohomes:
 - At least 80% of development to be within 500 m safe walking distance of a food shop and post box, and within 1 km of other facilities
 - In HK, one can refer to the Hong Kong Planning Standards & Guidelines*

Social sustainability



- Secured by design
 - Safety, security and crime prevention
 - Should start at the masterplanning stage
 - Key principles: social and physical environments to design out ‘crime features’
 - Crime prevention through environmental design (CPTED)
 - Deter criminal behaviour and influence offender decisions that precede criminal acts

Crime prevention through environmental design (CPTED)





Economic sustainability

- *Economic Sustainability* is closely linked with social sustainability since the economic viability of an area has significant impact on social factors
- Economic growth is driven by:
 - Improved transport links, new businesses and jobs created to serve the area, and draw in visitors and shoppers from the region
- Job creation: contractors and new businesses



Economic sustainability

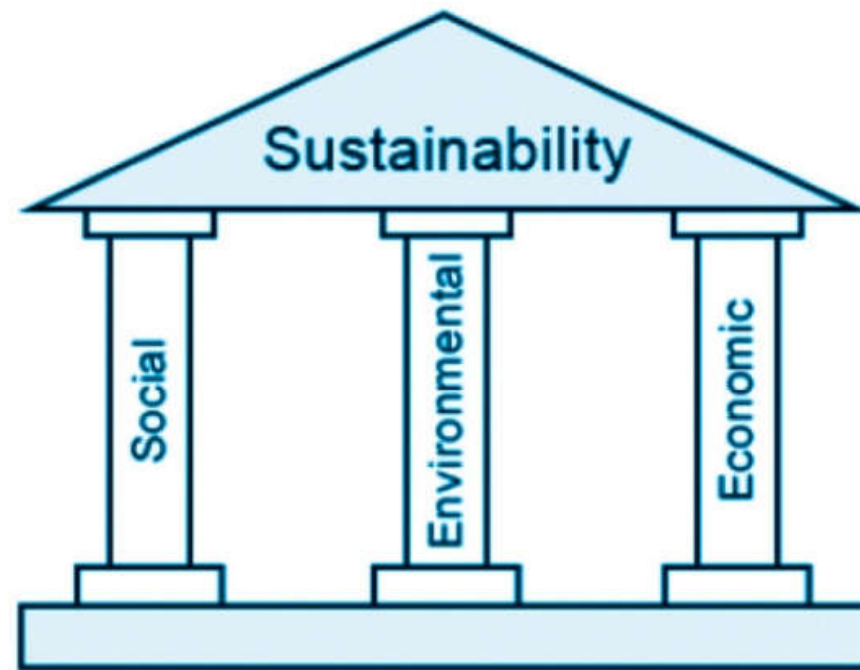
- Definition of *Economic Sustainability*
 - The use of various strategies for employing existing **resources** optimally so that that a responsible and beneficial balance can be achieved over the longer term
 - Within a business context, economic sustainability involves using the assorted assets of the company efficiently to allow it to continue functioning **profitability** over time



Economic sustainability

- A *sustainable economic model* is needed to ensure fair distribution and efficient allocation of our resources. This pillar ensures that our economic growth maintains a healthy balance with our ecosystem.

The three pillars
of sustainability



Video Presentation

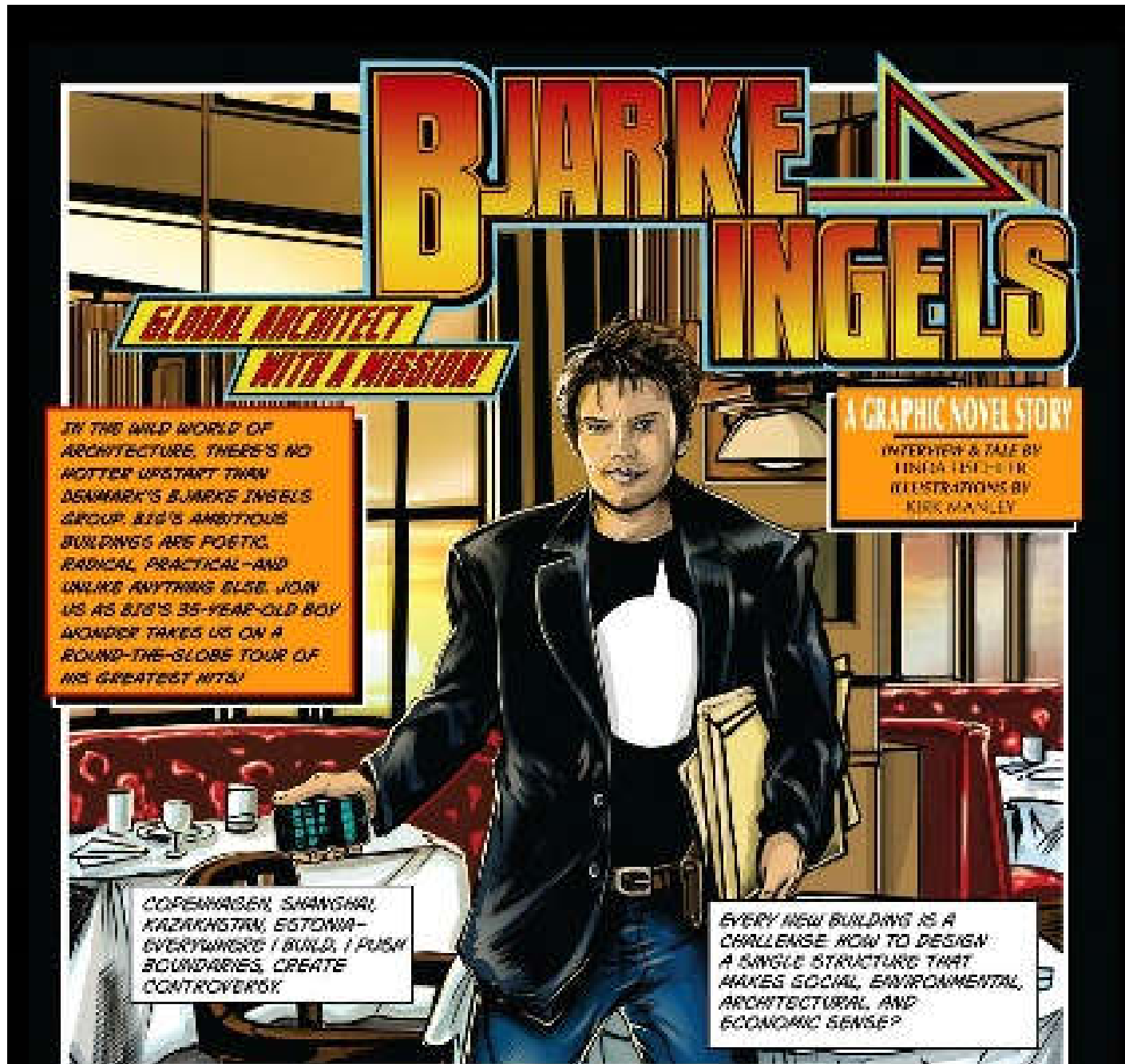


[Hedonistic: Pursuit of or devotion to pleasure]

- Bjarke Ingels: Hedonistic sustainability
(22:25) (享樂主義的可持續性)
 - http://www.ted.com/talks/bjarke_ingels_hedonistic_sustainability.html
 - A Danish architect's (Bjarke Ingel) architecture is luxurious, sustainable and community-driven. He shows us his playful designs, from a factory chimney that blows smoke rings to a ski slope built atop a waste processing plant
 - Pleasure is the only intrinsic good for going green

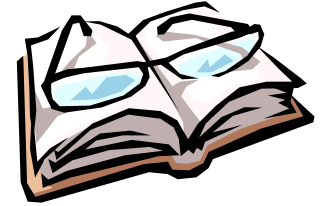
Bjarke Ingels talks Hedonism Sustainability (w/ video 3:35)

www.treehugger.com/sustainable-product-design/bjarke-ingels-talks-hedonism-sustainability.html

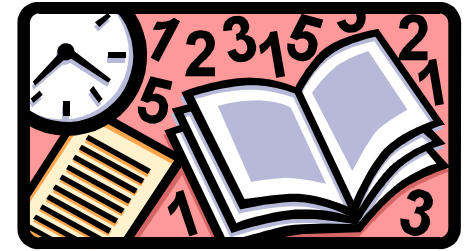


(Image source: www.treehugger.com)

Further Reading



- Creating successful masterplans: A guide for clients
[Commission for Architecture and the Built Environment, CABE]
 - <http://webarchive.nationalarchives.gov.uk/20110118095356/http://www.cabe.org.uk/masterplans>
- Sustainable places [CABE]
 - <http://webarchive.nationalarchives.gov.uk/20110118095356/http://www.cabe.org.uk/sustainable-places>
- What is a Sustainable Community?
<https://sustain.org/about/what-is-a-sustainable-community/>
- Social sustainability -- Wikipedia
http://en.wikipedia.org/wiki/Social_sustainability



References

- Farr D., 2008. *Sustainable Urbanism: Urban Design with Nature*, Wiley, Hoboken, N.J. [[307.76 F23](#)]
- Ritchie A. & Thomas R. (eds.), 2009. *Sustainable Urban Design: An Environmental Approach*, 2nd ed., Taylor & Francis, London and New York. [[LB 307.76 S96 T46](#)]