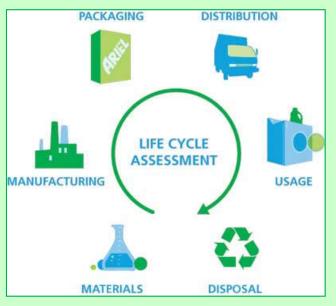
### MEBS6020 Sustainable Building Design

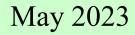
http://ibse.hk/MEBS6020،



## **Energy and Environmental Design (II)**



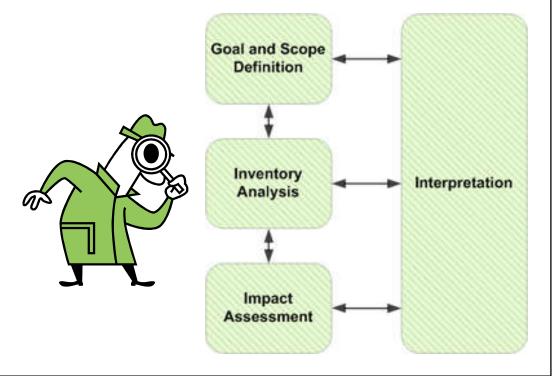
Ir Dr. Sam C. M. Hui Department of Mechanical Engineering The University of Hong Kong E-mail: cmhui@hku.hk



## Contents



- Life cycle assessment
- LCA process
- Examples of LCA
- Evaluation methods
- Limitations of LCA





- Three methods to evaluate green buildings:
  - 1. <u>Single attribute</u>
    - Such as energy efficiency, alternative energy, recycled green materials/products
  - 2. <u>Multiple attribute</u>
    - Green building rating systems
      - Multi-criteria standard, points earned in various areas
  - 3. Life cycle assessment (LCA)
    - Full & quantitative accounting of environmental impacts





- Life cycle assessment (LCA) is a scientific method for evaluating environmental impacts
- LCA is being integrated into green building rating systems, building codes and standards
  - Such as LEED v4, Green Star, California Green Building Code, International Green Construction Code (IGCC), ASHRAE Standard 189.1







### • <u>A brief history of LCA</u>

- Originated from energy analysis and some claim first LCA carried out by Coca Cola in 1969
- SETAC (Society of Environmental Toxicology and Chemistry) set first standards in 1990
- ISO produced series of standards in 1997/98 which were revised in 2006



- ISO 14040:2006 outlining LCA principles and framework
- ISO 14044:2006 for requirements and guidelines



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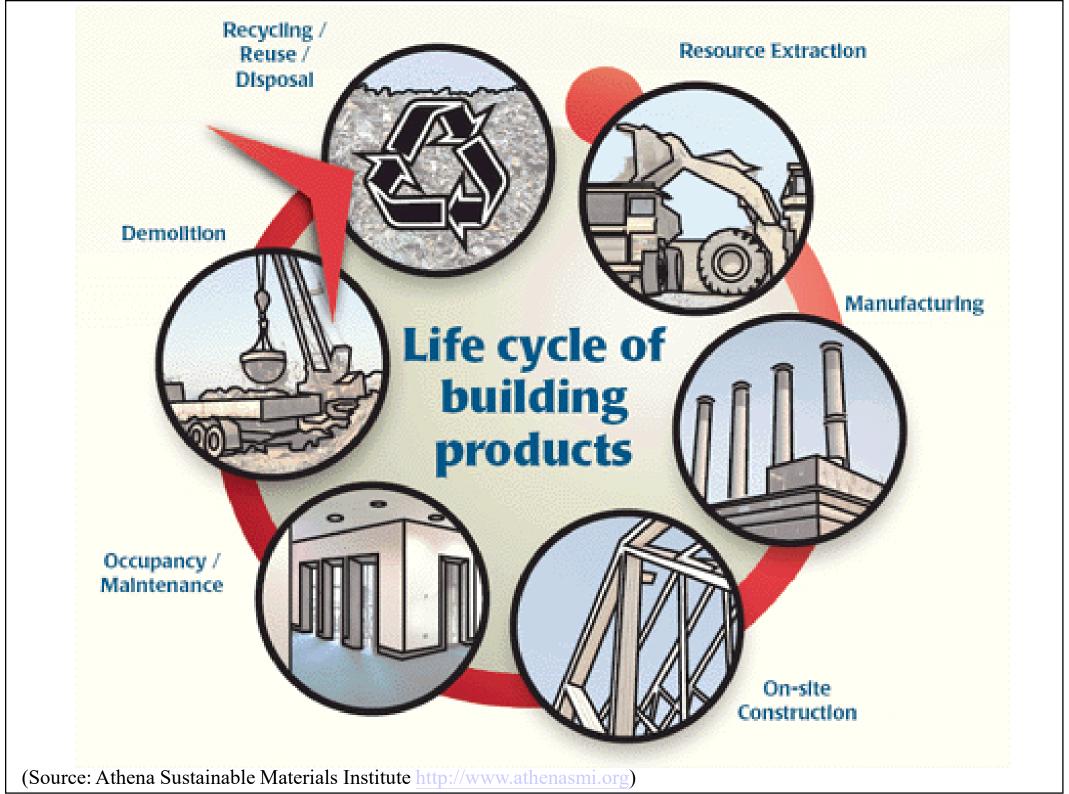
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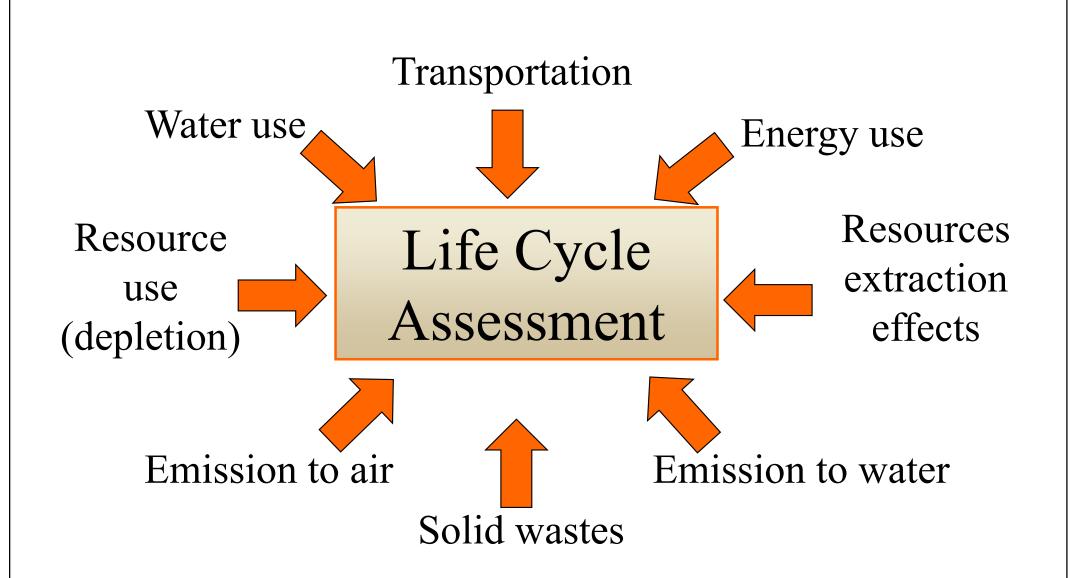
- Definition of Life Cycle Assessment (LCA) [ISO 14040]:
  - "A compilation and evaluation of the inputs, outputs and the potential environmental impacts of a product system throughout its life cycle"
- Also called "<u>cradle-to-grave</u>" analysis **≜**
- Embodied effects include:
  - Resource use (raw materials, land, water, energy)



• Emissions to air, water and land

(Video: life cycle (0:29) <u>http://youtu.be/x9NqzVWIeX4</u>)



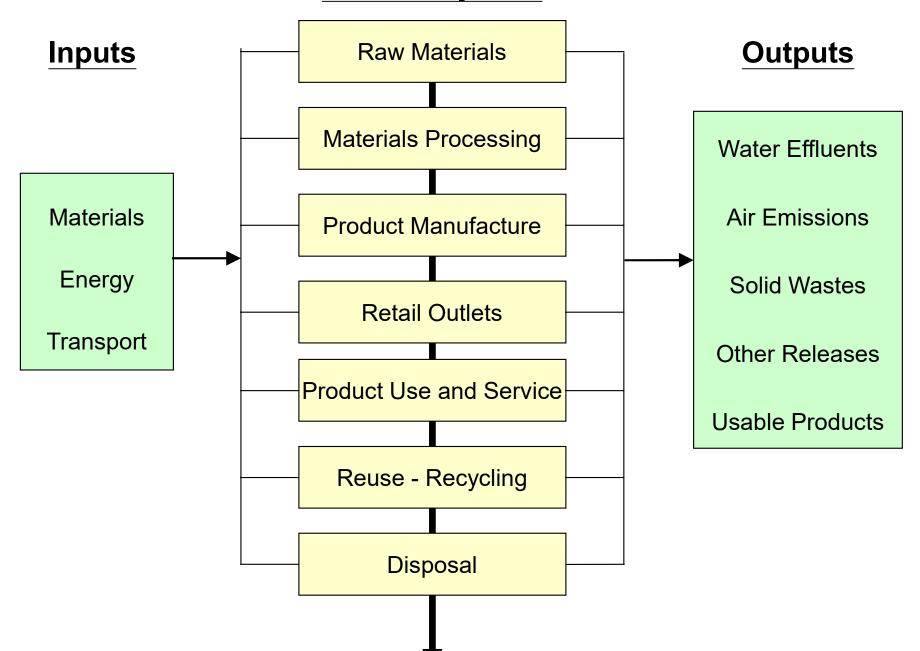


*LCA: a methodology for assessing the life cycle environmental performance of products and processes* 

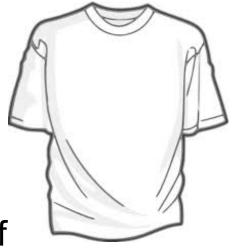
(Source: Athena Sustainable Materials Institute http://www.athenasmi.org)

#### Areas covered by LCA

#### **Product system**

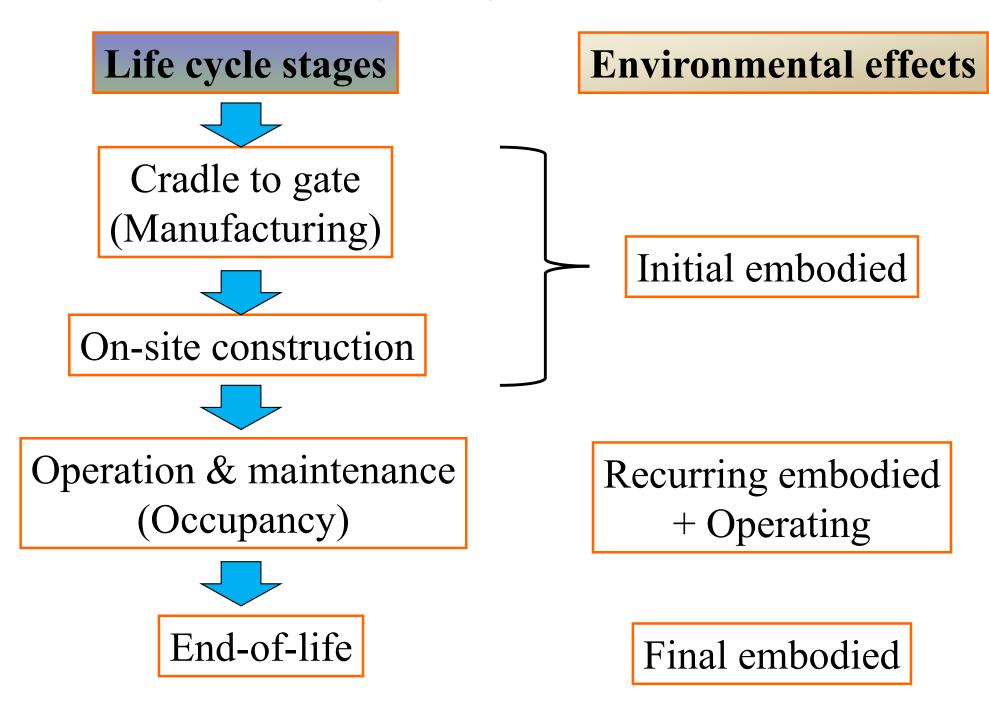


## T-shirt example (cotton)



• Growing	Extraction of materials
<ul> <li>Harvesting</li> </ul>	Jinateriais
<ul> <li>Spinning</li> </ul>	Processing of
<ul> <li>Weaving/knitting</li> </ul>	∫ materials
<ul> <li>Bleaching, dyeing, washing and treatment</li> </ul>	<pre>Production</pre>
<ul> <li>Cutting and sewing</li> </ul>	J
• Use - reuse	} Use and maintenance
<ul> <li>Disposal - recycling</li> </ul>	<pre>} Disposal/end of life</pre>

Life cycle stages and effects

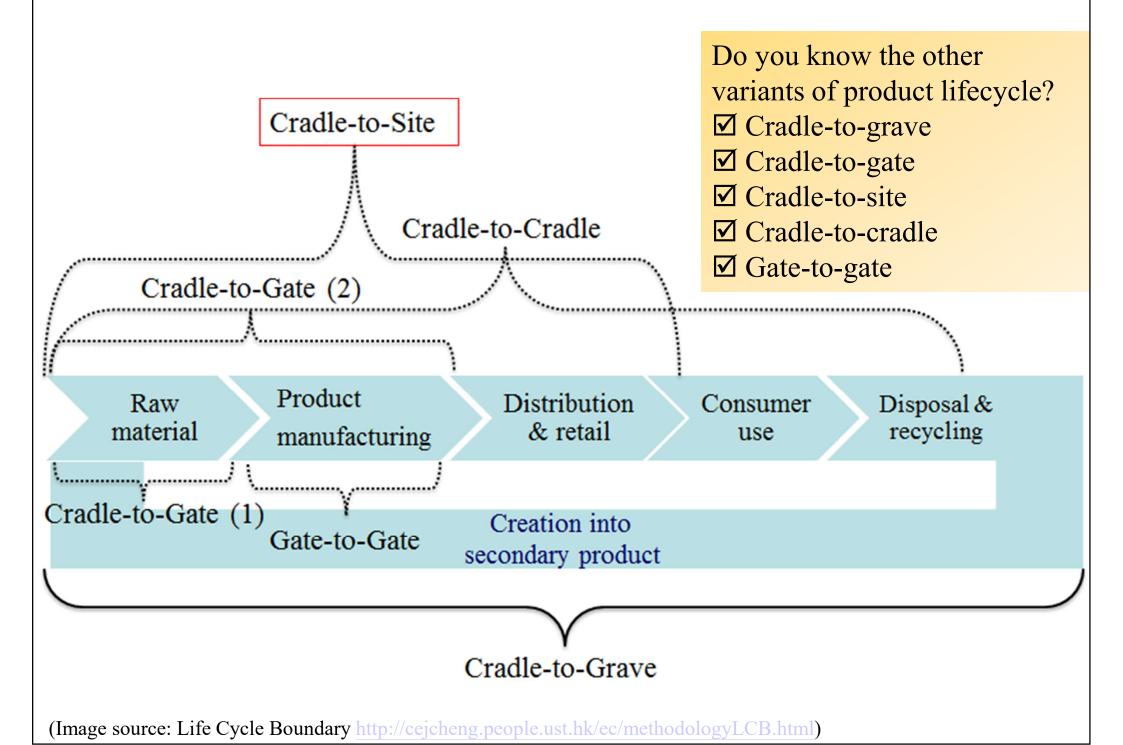


(Source: Athena Sustainable Materials Institute http://www.athenasmi.org)

#### 'Cradle to Gate' (4 stages) and 'Cradle to Grave' (6 stages)



#### Life cycle boundary and variants of LCA



#### Different options of life cycle assessment

Cradle-to-Grave

- Full LCA
- From Manufacture
- To Use
- To Disposal

#### Cradle-to-Gate

- Partial Product Life-Cycle
- From manufacture
- To Factory

#### Cradle-to-Cradle

- Specific Type of Cradle to Cradle
- End of Life disposal is a recycling process

#### Gate-to-Gate

- Partial LCA
- Looks at only one valueadded process

(Source: AIA Guide to Building Life Cycle Assessment in Practice)



## • Objectives of LCA

- To provide a complete a picture as possible of the interactions of an activity with the environment
- To contribute to the understanding of the overall and interdependent nature of the environmental consequences of human activities
- To provide decision makers with information which defines the environmental effects of these activities and identifies opportunities for environmental improvements





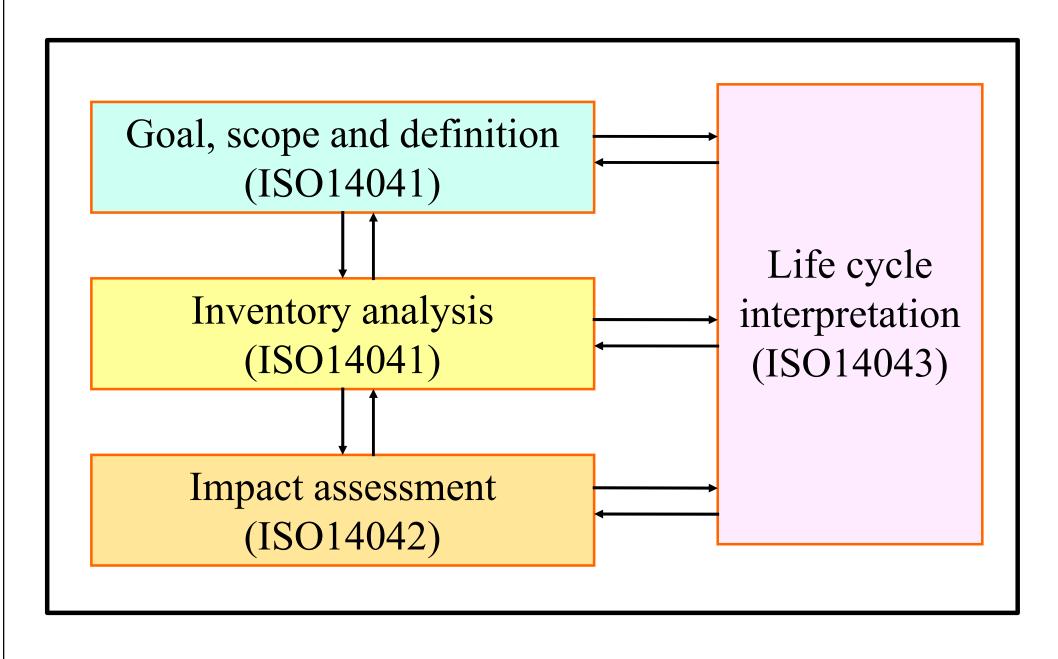
- LCA considers the environmental loadings that can result from the manufacture, use, and disposal of a product
  - It expresses the results in energy units, mass units of pollutants, potential impacts, and other units
- Three major LCA impact assessment phases:
  - (a) Inventory
  - (b) Impact indicators
  - (c) Impact assessment (valuation/weighting)

## LCA process



- The LCA process has four phases:
  - 1) Goal, scope and definition
    - Defines purpose of study, boundaries & functional units
  - 2) Life cycle inventory (LCI)
    - Provides inventory of input/output data
  - 3) Life cycle impact assessment (LCIA)
    - Assess the magnitude and significance of the impacts
  - 4) Life cycle interpretation
    - Provides conclusions and recommendations (areas for improvement)

Life cycle assessment framework - an iterative process (related ISO standard)



(Source: US-EPA & ISO)





### • 1) Goal, scope and definition

- The aim, breadth and depth of the study is established
- (a) Goal definition
  - Intended application
    - Product development and improvement, strategic planning, public decision making, marketing, etc.
  - Reasons for carrying out the study
  - Intended audience



LCA process



## • 1) Goal, scope and definition (cont'd)

- (b) <u>Scope definition</u>
  - Function, functional unit and reference flow
    - Comparison on the basis of an equivalent function
    - Example: 1000 liters of milk packed in glass bottles or packed in carton, instead of 1 glass bottle versus 1 carton
  - Initial choices of system boundaries, data quality, etc.
    - Critical review and other procedural aspects
      - To ensure consistency, scientific validity, transparency, etc.
      - Internal review, external review, review by interested parties
      - Procedural embedding : LCA as a (participatory) process



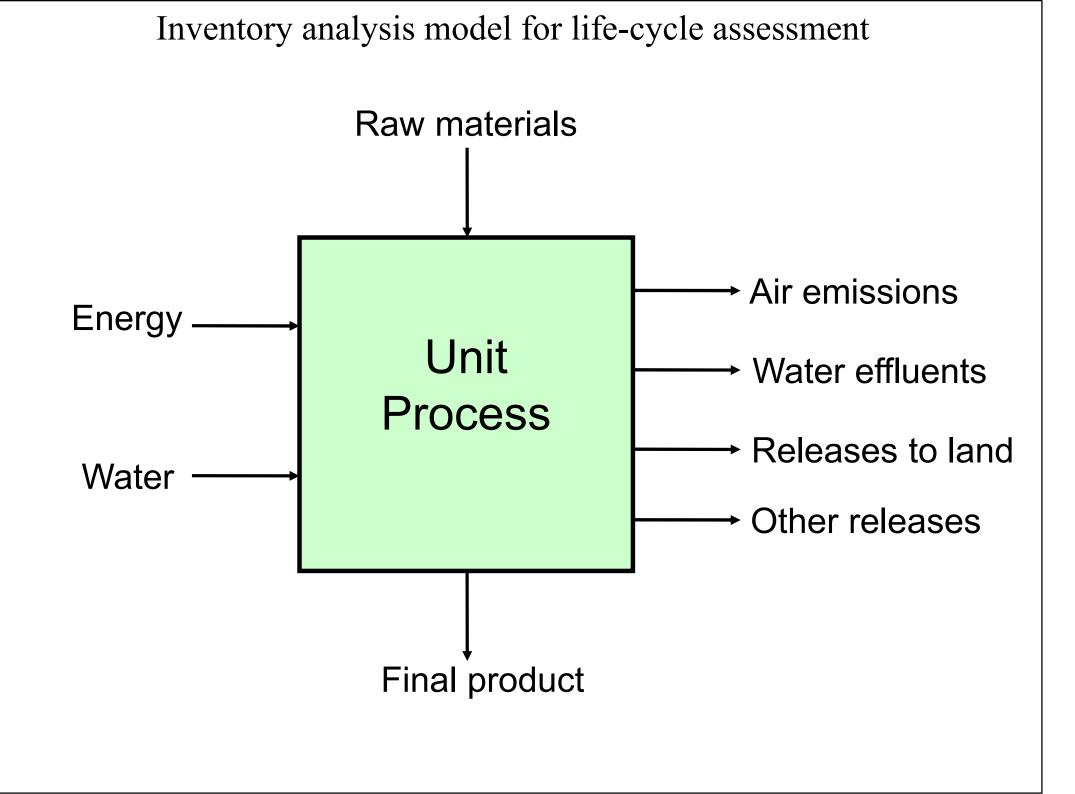




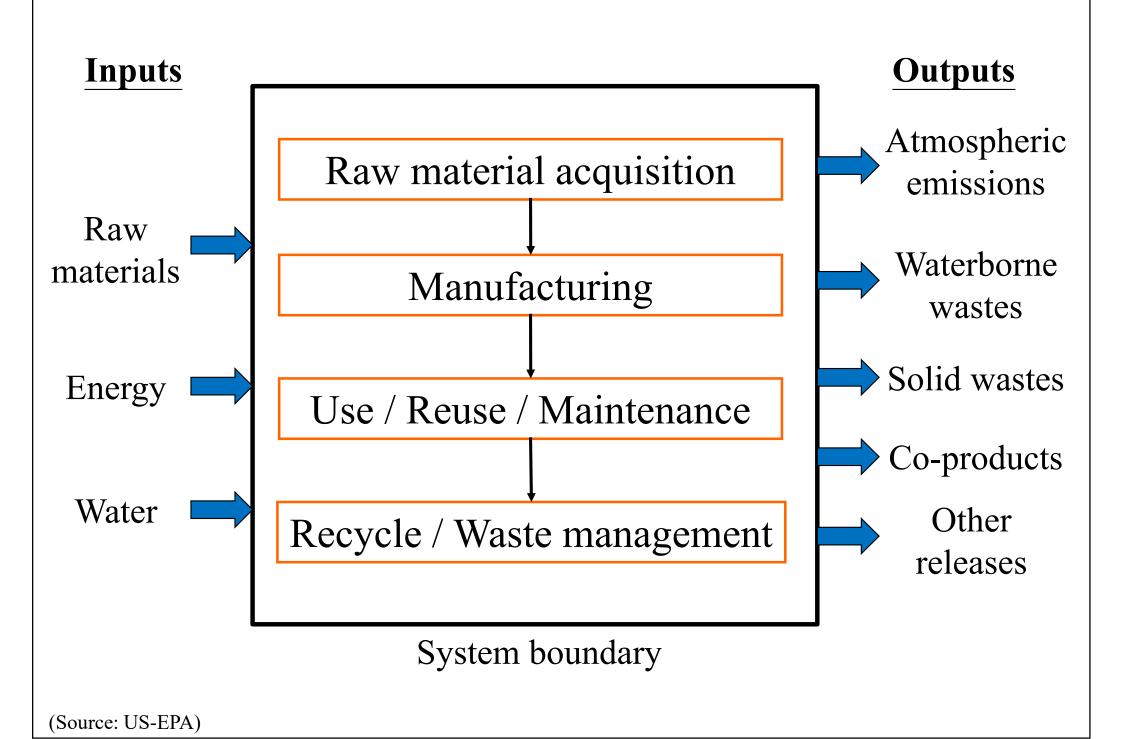
### • 2) Life cycle inventory (LCI)

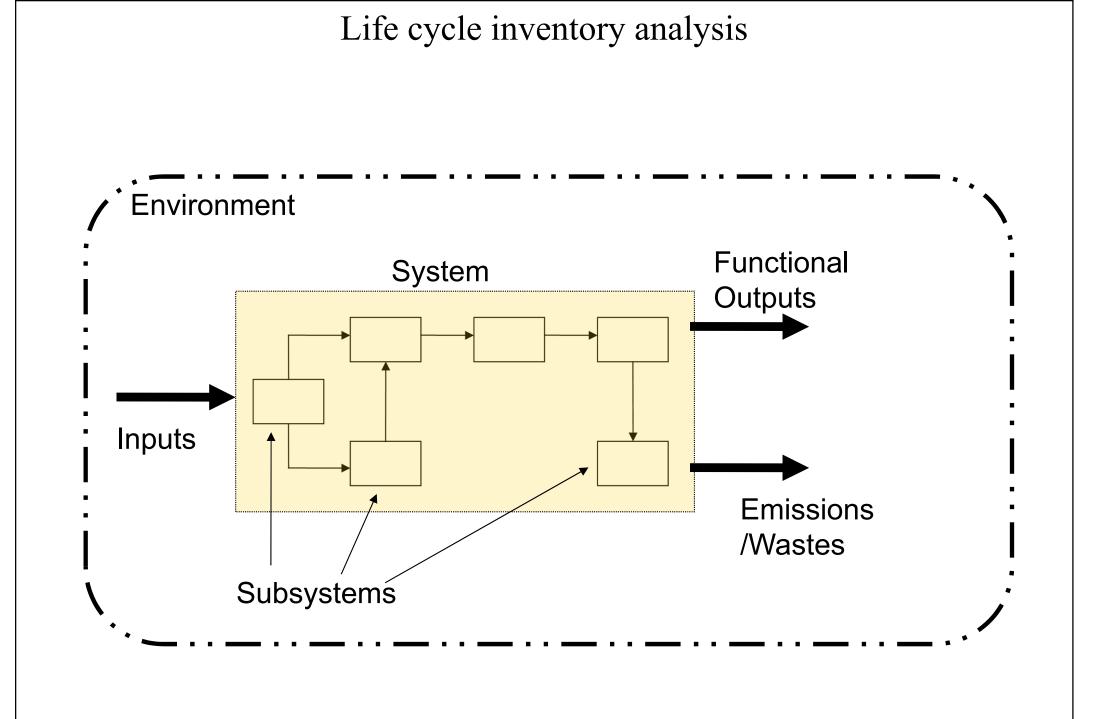
- Compilation and quantification of inputs and outputs, for a given product system throughout its life cycle
- Steps:
  - Preparing for data collection
  - Data collection
  - Calculation procedures
  - Allocation and recycling





Life cycle stages and system boundary









### • 2) Life cycle inventory (LCI) (cont'd)

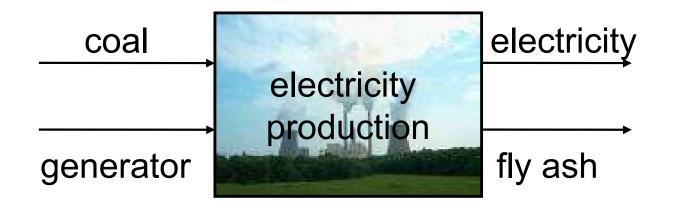
- Central position for unit process
  - Smallest portion of a product system for which data are collected
- Typical examples:
  - Electricity production by coal combustion
  - PVC production
  - Use of a passenger car
  - Recycling of aluminum scrap

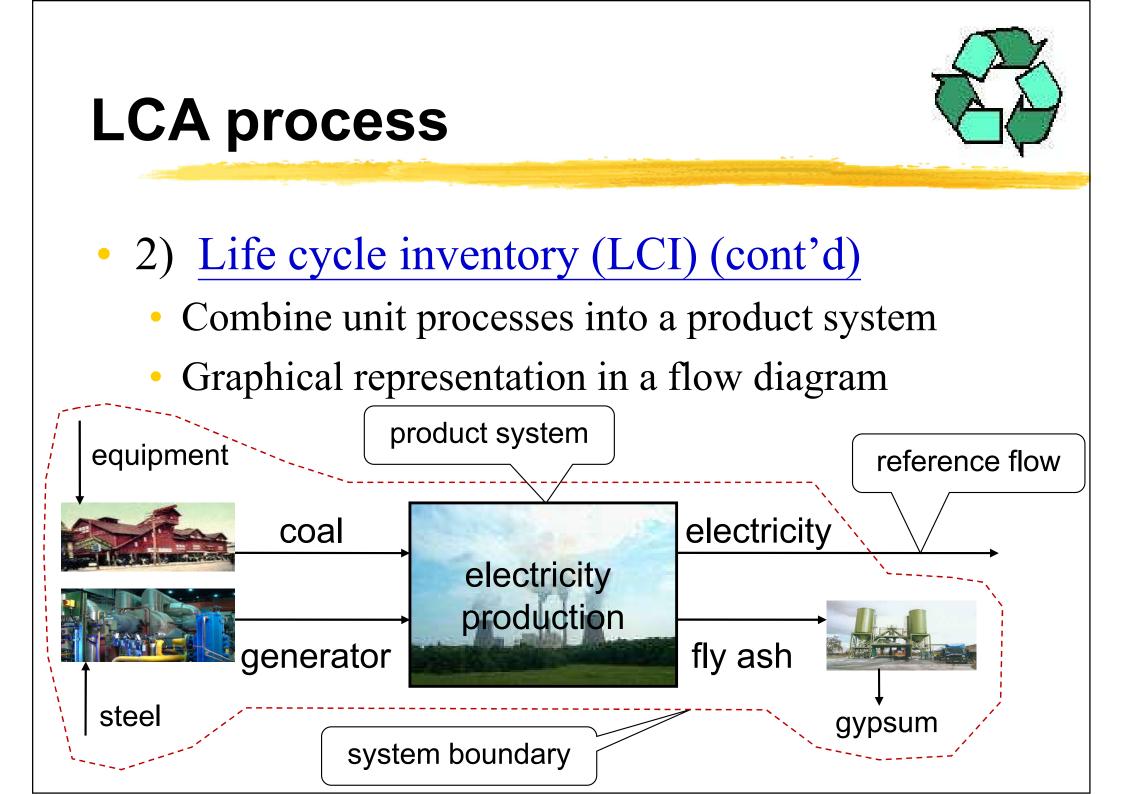


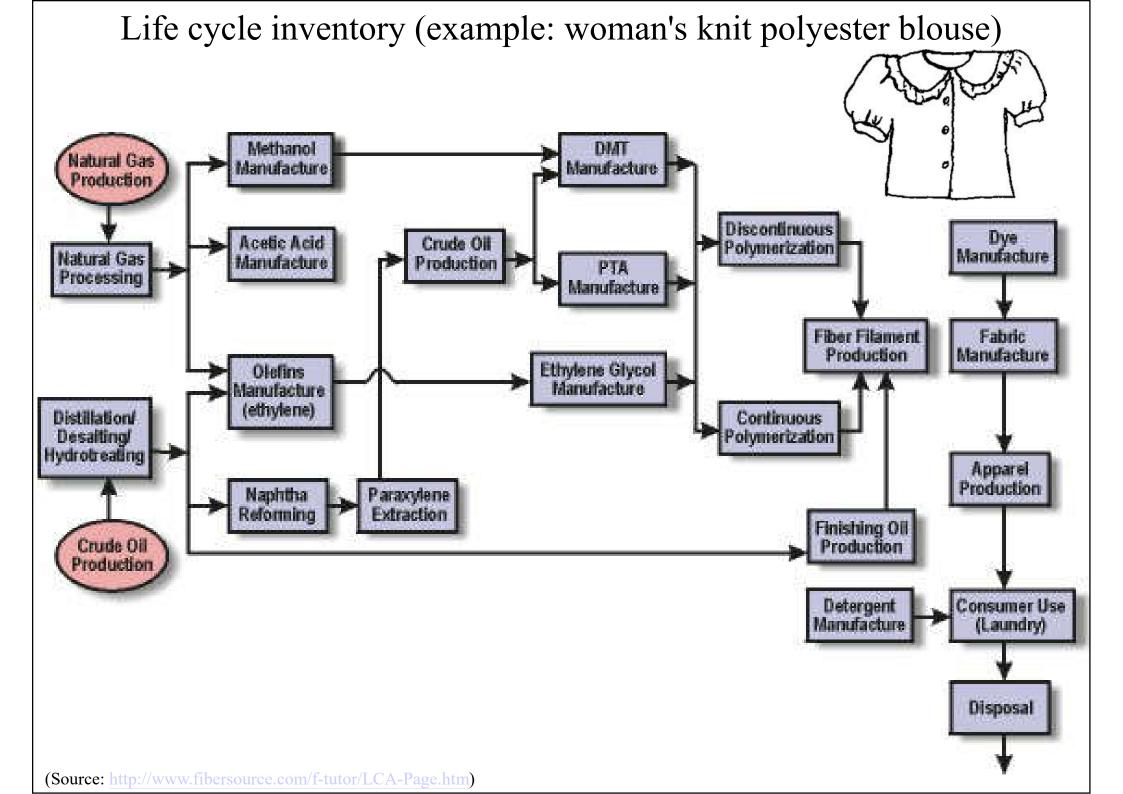


### • 2) Life cycle inventory (LCI) (cont'd)

- Data collection for unit processes:
  - Flows of intermediate products or waste for treatment
  - Elementary flows from or to the environment











### • 2) Life cycle inventory (LCI) (cont'd)

- Calculation procedures
  - Relate process data to functional unit (matrix algebra)
  - Allocation of multiple processes (multiple outputs, multiple inputs, re-use and recycling)
  - Aggregation over all unit processes in the inventory table



Example: Incandescent and fluorescent lamps				
Product property	Incandescent lamp	Fluorescent lamp		
power consumption	60 W	18 W		
life span	1000 hr	5000 hr		
mass	30 g	540 g		
mercury content	0 mg	2 mg		

. . .

. . .

etc

(Source: UNEP LCA Training Kit)

Example: Incandescent and fluorescent lamps - Inventory table			
Elementary flow	Incandescent lamp	Fluorescent lamp	

CO <sub>2</sub> to air	800000 kg	50000 kg
SO <sub>2</sub> to air	1000 kg	80 kg
Copper to water	3 g	20 g
Crude oil from earth	37000 kg	22000 kg

. . .

. . .

etc

(Source: UNEP LCA Training Kit)

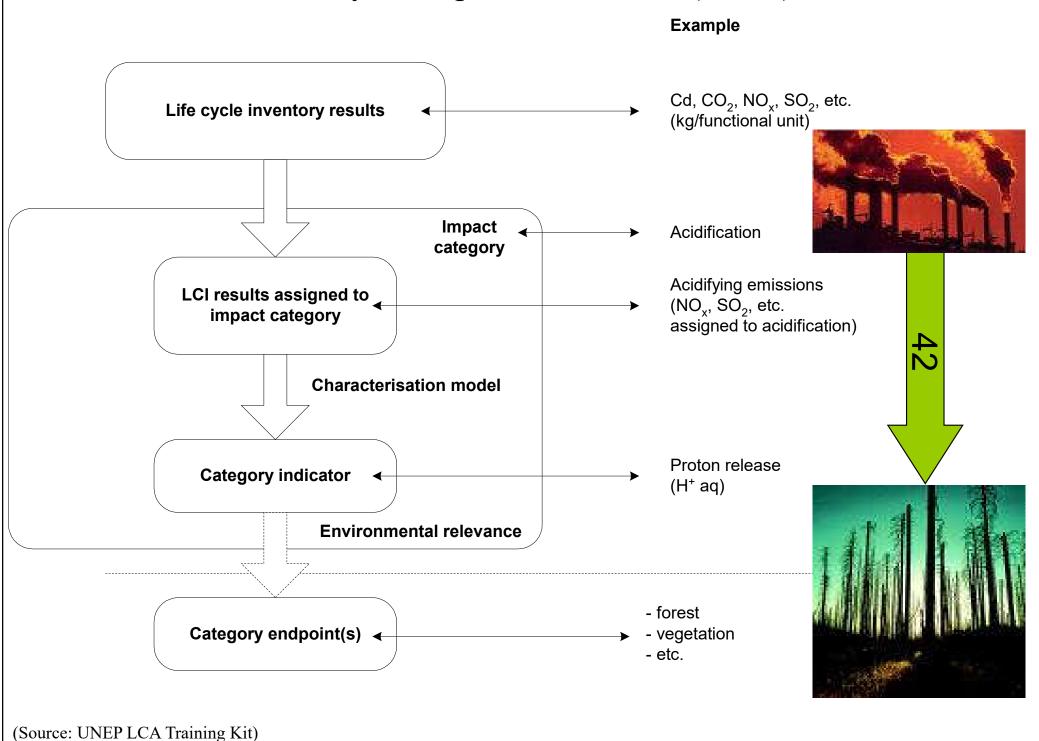




### • 3) Life cycle impact assessment (LCIA)

- Assess the importance of potential environmental effects on the results of the inventory analysis
- Steps:
  - Selection and definition of impact categories, indicators and models
  - Classification
  - Characterisation
  - Normalisation
  - Aggregation and/or weighing

#### Life cycle impact assessment (LCIA)





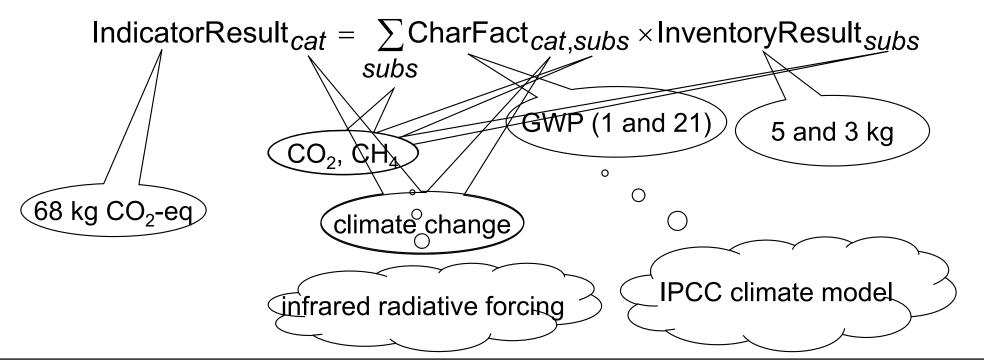


- 3) Life cycle impact assessment (cont'd)
  - Example of a category indicator
    - Global Warming:
      - Global Warming Potential (GWP): measure for Global Warming in terms of radiative forcing of a mass-unit
    - Example calculation:
    - $5 \text{ kg CO}_2 (\text{GWP} = 1) + 3 \text{ kg CH}_4 (\text{GWP} = 21)$
    - = 1 x 5 + 21 x 3 kg  $CO_2$  equivalents (= 68 kg  $CO_2$  equivalents)





- 3) Life cycle impact assessment (cont'd)
  - Characterisation:
    - Simple conversion and aggregation of greenhouse gas (GHGs):



# Impact categories, characterisation methods and characterisation models: some baseline examples

impact category	category indicator	characterisation model	characterisation factor
abiotic depletion	ultimate reserve irt annual use	Guinee & Heijungs 95	ADP
climate change	infrared radiative forcing	IPCC model	GWP
stratospheric ozone depletion	strat. ozone breakdown	WMO model	ODP
human toxicity	PDI/ADI	Multimedia model, e.g. EUSES, CalTox	HTP
ecotoxicity (aquatic, terrestrial etc.)	PEC/PNEC	Multimedia model, e.g. EUSES, CalTox	AETP, TETP, etc.
photo-oxidant formation	trop. ozone formation	UNECE Trajectory model	POCP
acidification	deposition/ac.critical load	RAINS	AP

Example: Incandescent and fluorescent lamps – impact assessment

Impact category	Incandescent lamp	Fluorescent lamp
Climate change	120000 kg CO <sub>2</sub> -eq	40000 kg CO <sub>2</sub> -eq
Ecotoxicity	320 kg DCB-eq	440 kg DCB-eq
Acidification	45 kg SO <sub>2</sub> -eq	21 kg SO <sub>2</sub> -eq
Depletion of resources etc	0.8 kg antinomy-eq	0.3 kg antinomy-eq

(Source: UNEP LCA Training Kit)

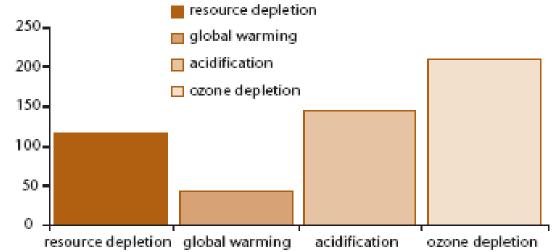
## LCA process



#### • 3) Life cycle impact assessment (cont'd)

- The final result of the characterisation step is a list of potential environmental impacts
- This list of effect scores, one for each category, is called the *environmental profile*

Environmental profile of the whole life cycle







#### • 3) Life cycle impact assessment (cont'd)

- Impact category results still difficult to understand:
  - Difference in units
  - Difference in scale
- Normalisation step to relate the results to a reference value
  - e.g., total world impacts in 2002
  - Result often referred to as the normalised environmental profile

Example: Incandescent and fluorescent lamps – impact assessment (with normalisation to a reference value)





Impact category	Incandescent lamp	Fluorescent lamp
Climate change	1.2×10 <sup>-11</sup> yr	4×10 <sup>-12</sup> yr
Ecotoxicity	1.6×10 <sup>-10</sup> yr	2.2×10 <sup>-10</sup> yr
Acidification	9×10 <sup>-11</sup> yr	4.2×10 <sup>-11</sup> yr
Depletion of resources	24×10 <sup>-12</sup> yr	9×10⁻¹³ yr
etc		

(Source: UNEP LCA Training Kit)





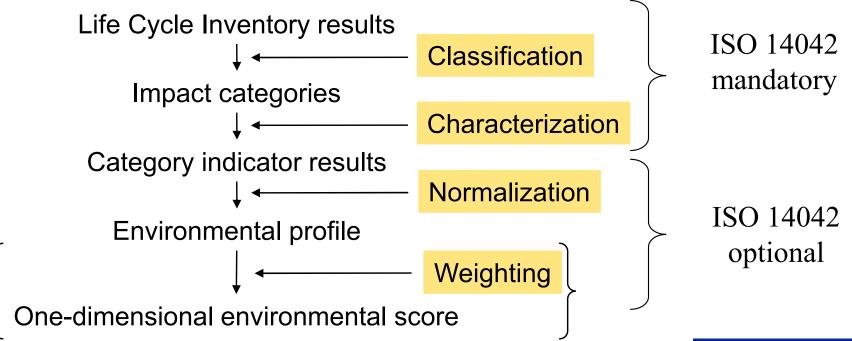
#### • 3) Life cycle impact assessment (cont'd)

- Even after normalisation no clear answer
  - Aggregation of (normalized) impact category results into a single index
  - Subjective weighting factors needed
  - Example of a weighted environmental index:

Weighed index	Incandescent lamp	Fluorescent lamp
Weighted index	8.5×10 <sup>-10</sup> yr	1.4×10 <sup>-10</sup> yr

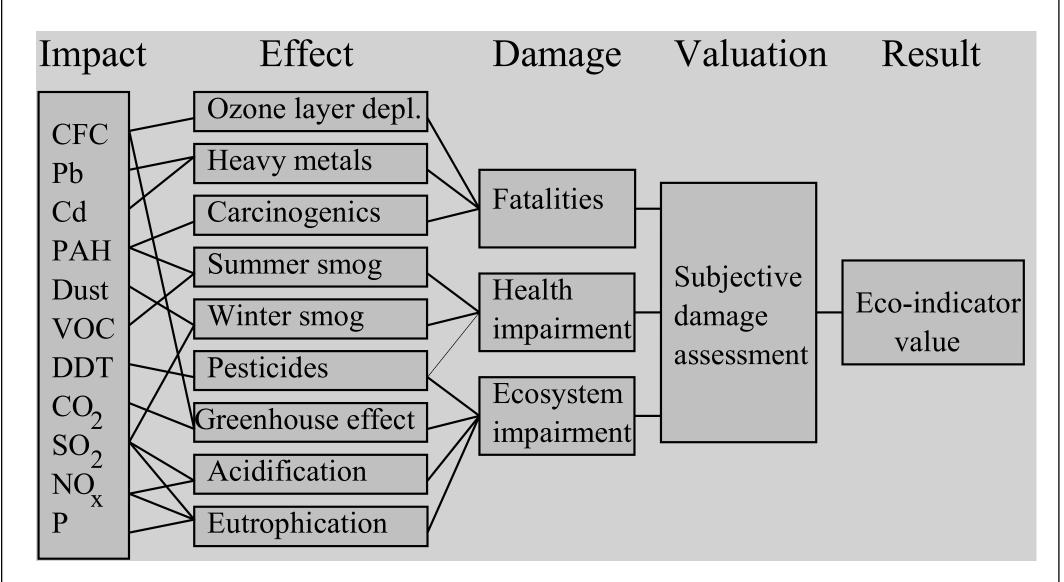
Life cycle impact assessment

The impact assessment focuses on characterizing the type and severity of environmental impact more specifically





Schematic representation of the Eco-indicator weighting method



Examples of Eco-indicator weighting methods: •Eco-indicator 99, Eco-indicator 95, MIPS, Ecopoints, EDIP/UMIP, EDIP/UMIP 96, EPS 2000, Economic Input Output





#### • 4) Life cycle interpretation

- Evaluate and interpret results and generate report for decision making
- Key steps to interpret the results of the LCA
  - 1. Identification of the significant issues based on the LCI and LCIA
  - 2. Evaluation which considers:
    - Completeness check
    - Sensitivity check
    - Consistency check
  - 3. Conclusions, recommendations, and reporting

## LCA process



#### • 4) Life cycle interpretation (cont'd)

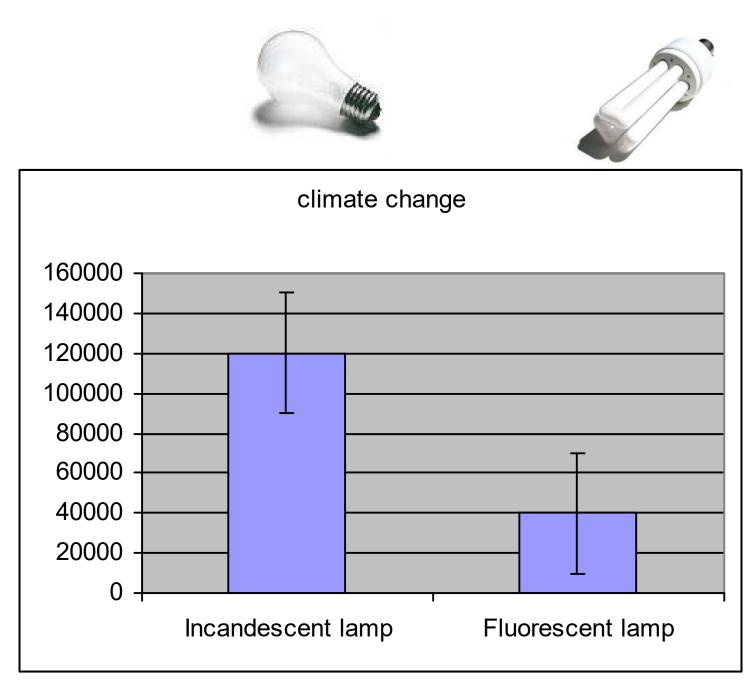
- Identifies areas for improvement within a system
  - Reliant on the user noticing not only areas which have significant environmental effects but also those with smaller effects where changes could be made easily
- Conclusions, recommendations, analysis, all related to goal and scope of the research
  - Among others based on data quality and sensitivity analysis
  - Also: critical review by independent experts

#### Example of a contribution analysis

Process	Incandescent lamp	Fluorescent lamp
Electricity production	88%	60%
Copper production	5%	15%
Waste disposal	2%	10%
Other	5%	15%
Total climate change	120000 kg CO <sub>2</sub> -eq	40000 kg CO <sub>2</sub> -eq

(Source: UNEP LCA Training Kit)

#### Example of an uncertainty analysis

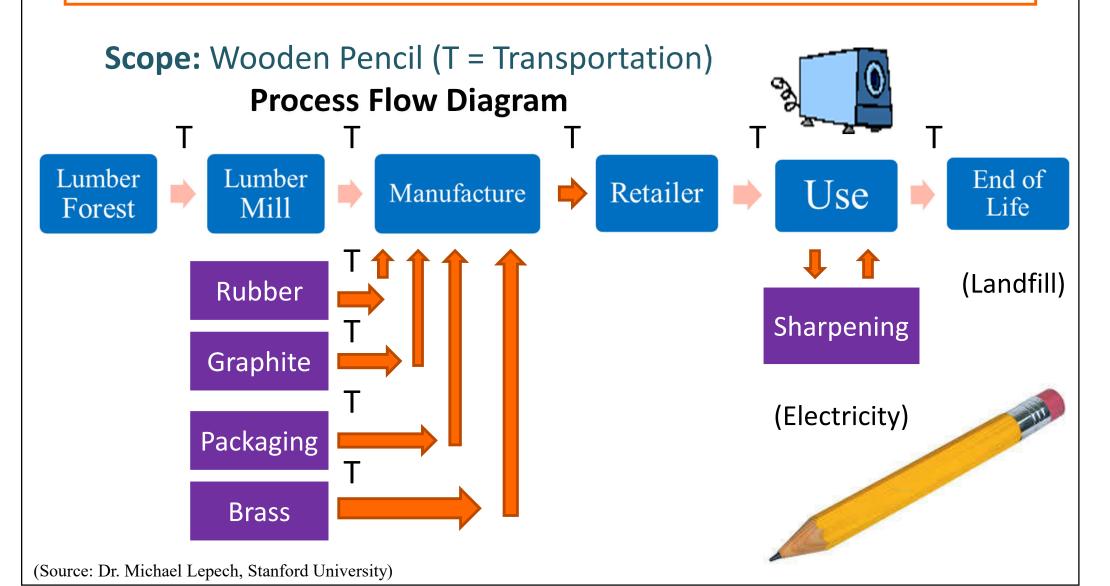


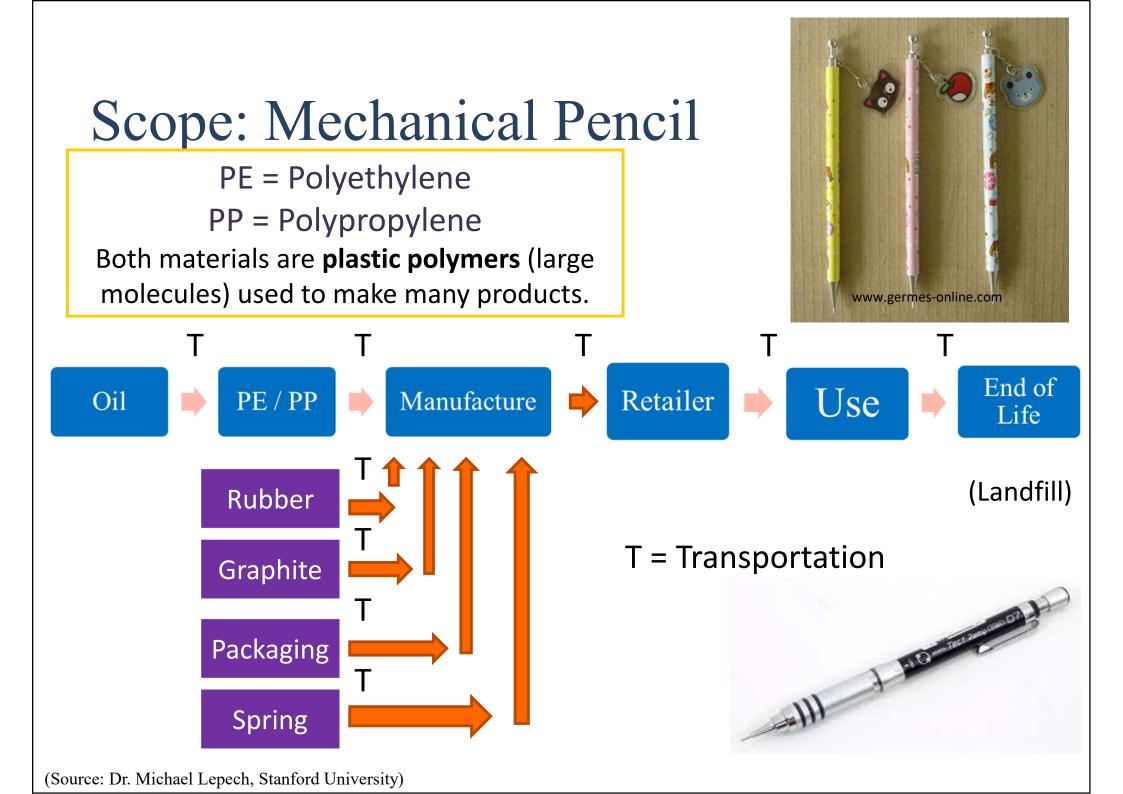
(Source: UNEP LCA Training Kit)



Example of life cycle assessment: Wooden Pencil vs. Mechanical Pencil

#### **Goal** = Compare 2 writing utensils for classroom use.







## Function & Functional Unit

#### Function

- Service provided by a system
- What it does!

#### **Functional Unit**

- Gives the function a number value
- Allows comparison between products
- Reference point



Example

#### Wooden Pencil vs. Mechanical Pencil

- Function = "Writing"
- Functional Unit = "1 meter of writing"



## Items To Consider??

Inputs What is needed to make the substance!

- 1. Energy
- 2. Materials
- 3. Labor



(Source: Dr. Michael Lepech, Stanford University)

Outputs What comes out of the system!

- 1. Products (electricity, materials, goods, services)
- 2. Waste
- 3. Emissions
- 4. Co-products



# Data Collection

Life Cycle Inventory Analysis

- 1. Time-sensitive = past 5 years
- 2. Geographical = does it match the location from the goal
- 3. Technology = best available technology for process
- 4. Representativeness = reflects population of interest
- 5. Consistency = matches the procedure
- 6. Reproducibility = another person could find it



(Source: Dr. Michael Lepech, Stanford University)

Never Forget . . . . .

#### Precision:

The consistent reproducibility of a measurement

Completeness: Covers all the areas outlined

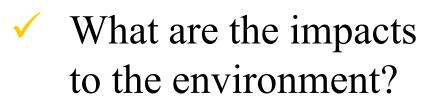
in the scope



## LCA in Action: Think About It!

Paper Plate vs. China (Plate You Wash & Reuse)

- What is the function?
- What is the functional unit?
  - What materials & resources are used?
- ✓ What does it take to produce both?



- ✓ Is there waste?
- Does washing the China produce waste?
- What types of data do you need?
- How do you know which is better?



## Data Analysis

Environmental Impact Categories

#### **Global Warming Potential**

- Gases in the atmosphere that absorb and emit radiation
- Trap heat from the sun
- Water vapor, CO<sub>2</sub> , CH<sub>4</sub> , ozone, NO<sub>2</sub>



#### Abiotic Depletion

• Consumption of nonliving resources

#### Human Toxicity Potential

• Value that shows harms to humans from chemicals

#### Land Use

• How much land is needed

# Environ

#### Environmental Impact Categories Continued . . . .

#### Eutrophication

- Increase in chemical nutrients containing nitrogen or phosphorus
- land or water
- overgrowth of plants
- killing organisms at bottom of water Water Use Mercury



#### Acidification

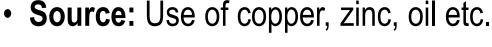
- caused by pollution from fuels & acid rain
- low pH

Smog (Winter or Summer)

Energy Use Solid Waste Oil ...AND MANY MORE!!

## **Global Impact Categories**

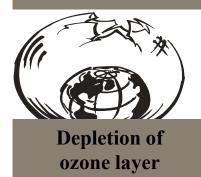




• Effect: Reduction of possibilities for future generations



Green house effect



- **Source:** Combustion (transport, energy etc.)
- Effect: Increase in temperature, desert formation etc.

- **Source:** CFC and HCFC from foam and coolants
- Effect: UV radiation, skin cancer etc.

(Source: Loughborough University)

## **Regional Impact Categories**





**Source:** Transport, energy, industry (Hydrocarbons etc.) **Effect:** Ozone formation (Damage of lung tissue etc. )

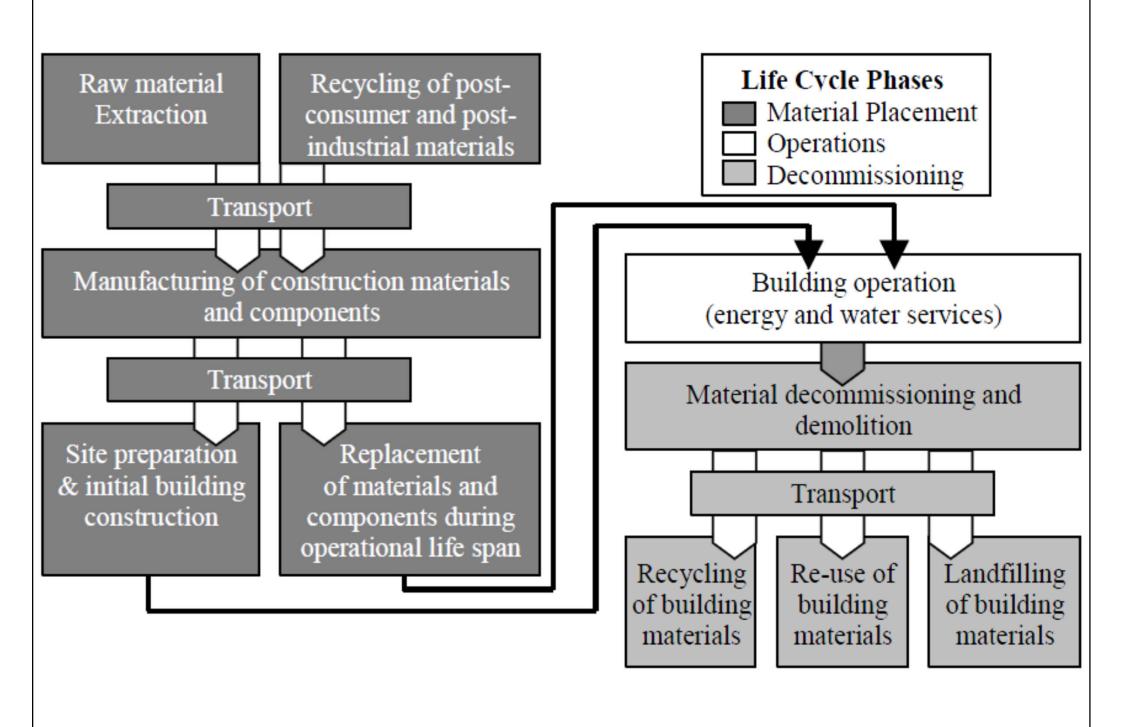
- Source: Transport, energy, agriculture
- Effect: Damage to woodlands, lakes and buildings (SOx, NOx, NH3)



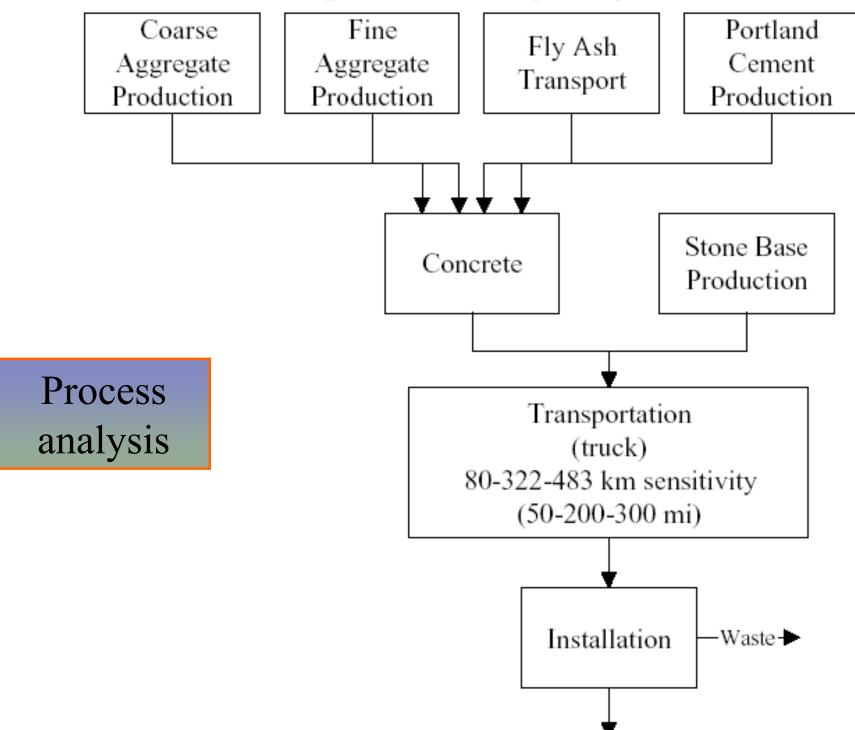


- Source: Fertilisers, waste water, transport and energy
- Effect: Eutrophication (Damage to plants and fish)
- Source: Waste water, incineration, industry, ships etc.
- Effect: Accumulation: Chronic damage to ecosystems and organisms

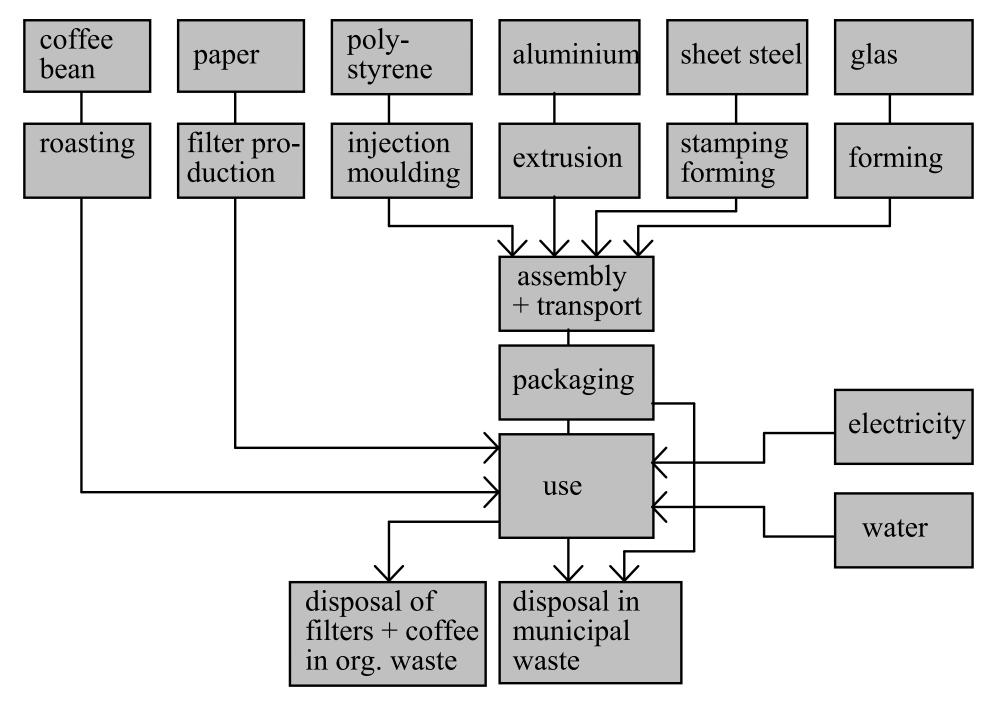
#### Life cycle phase diagram



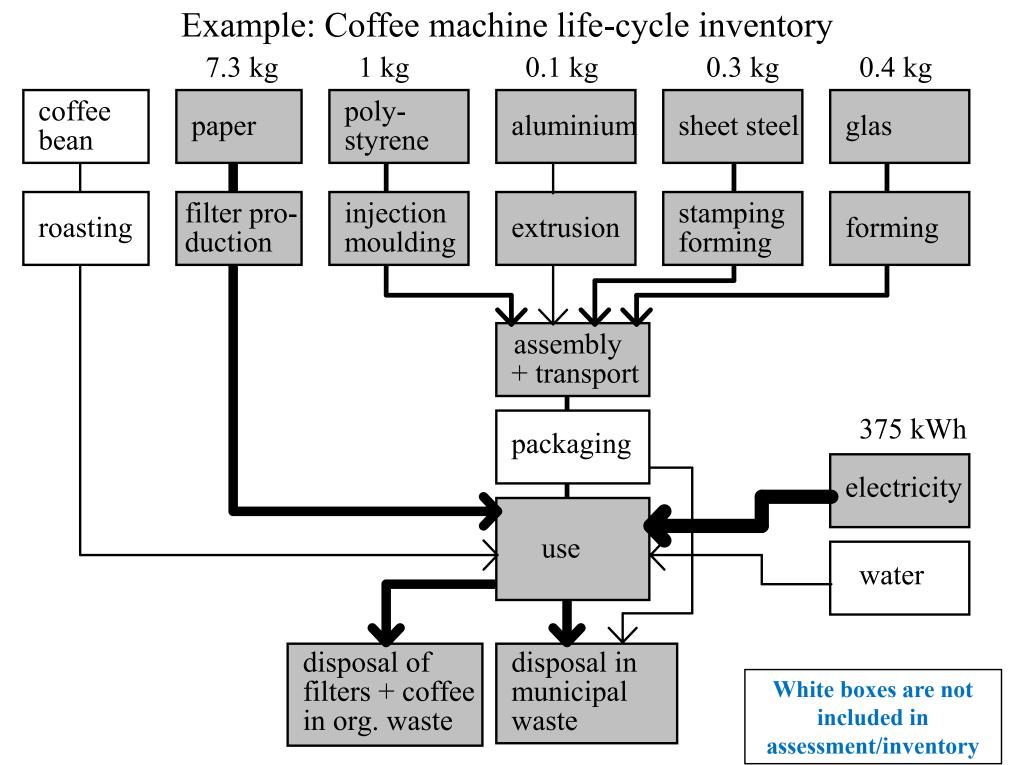
#### LCA example – concrete paving flow chart



Example: Simplified process tree for a coffee machine's life-cycle

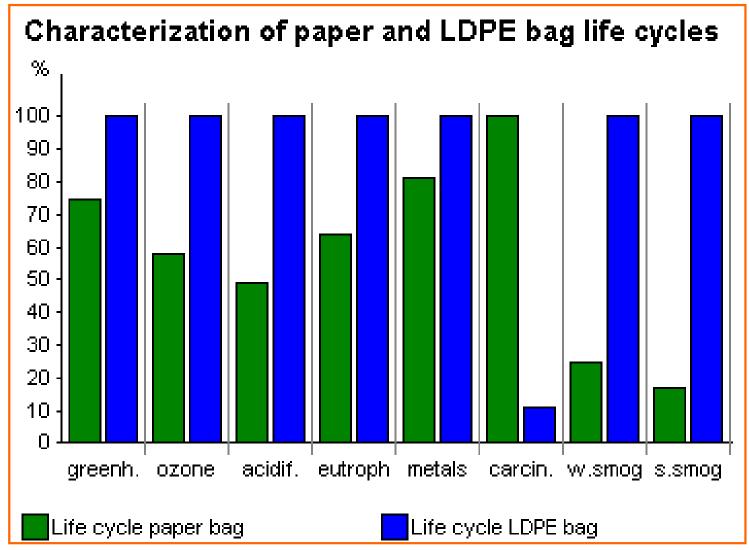


(Source: Systems Realization Laboratory, Georgia Institute of Technology)



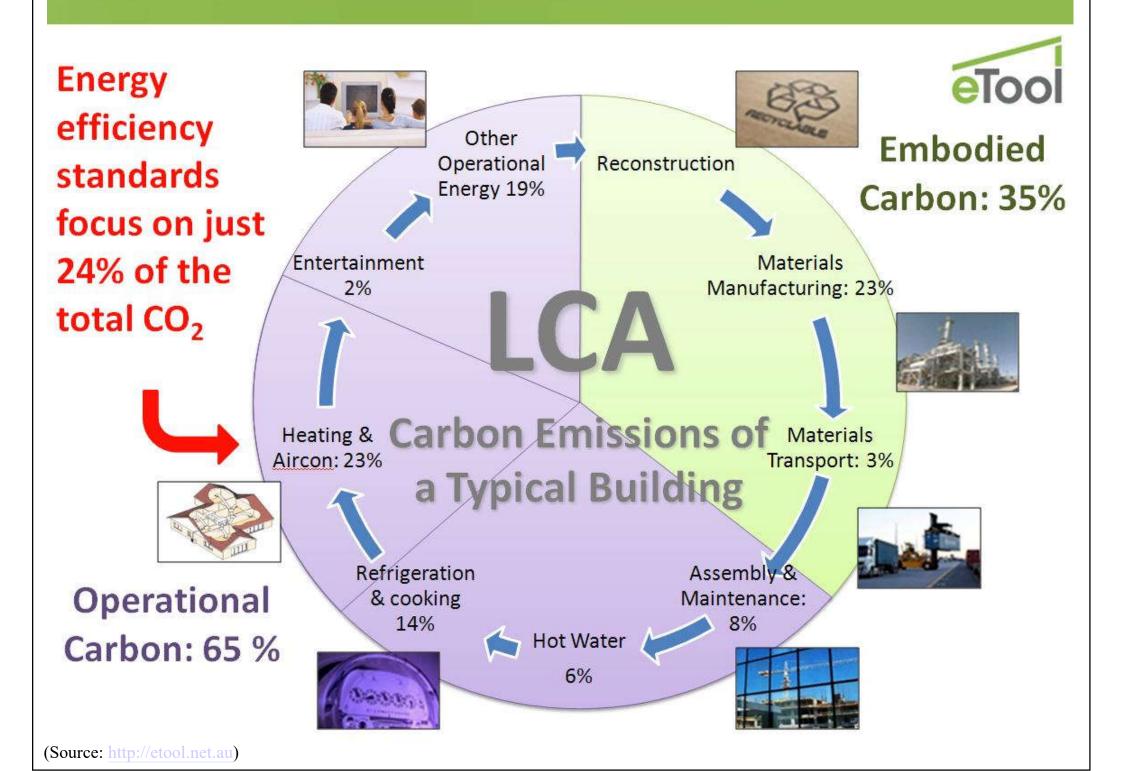
(Source: Systems Realization Laboratory, Georgia Institute of Technology)

Example: Plastic versus paper bag classification

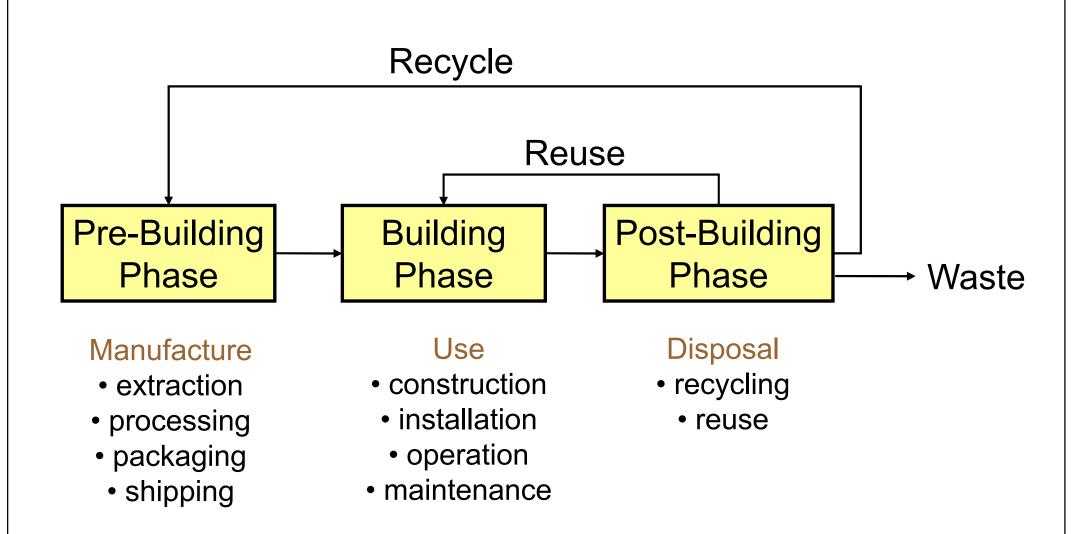


- The paper bag causes more winter smog and acidification, but scores better on the other environmental effects.
- The classification does not reveal which is the better bag. What is missing is the mutual weighting of the effects.

(Source: Systems Realization Laboratory, Georgia Institute of Technology)



#### Three phases of building material life cycle



## **Evaluation methods**

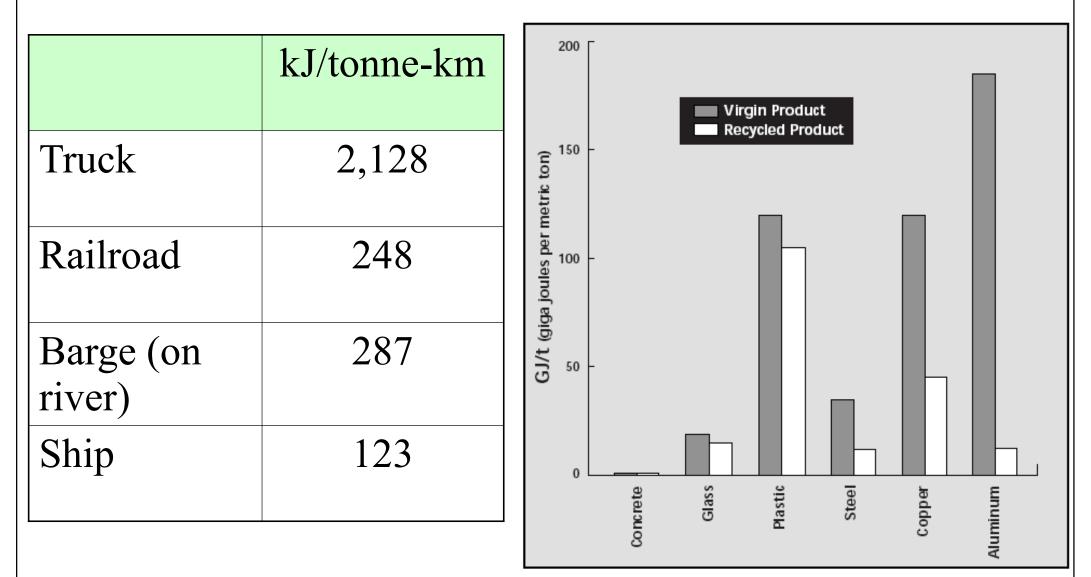
- Pre-building phase
  - Materials acquisition & preparation
    - Land degradation & depletion of resources
  - Manufacturing & fabrication
    - Energy & water use
    - Fugitive emissions
    - Water pollution
  - Distribution & transport
    - Fuel use & air pollution



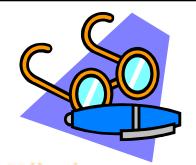


#### Energy efficiency by mode of transport

## Embodied energy of virgin and recycled products



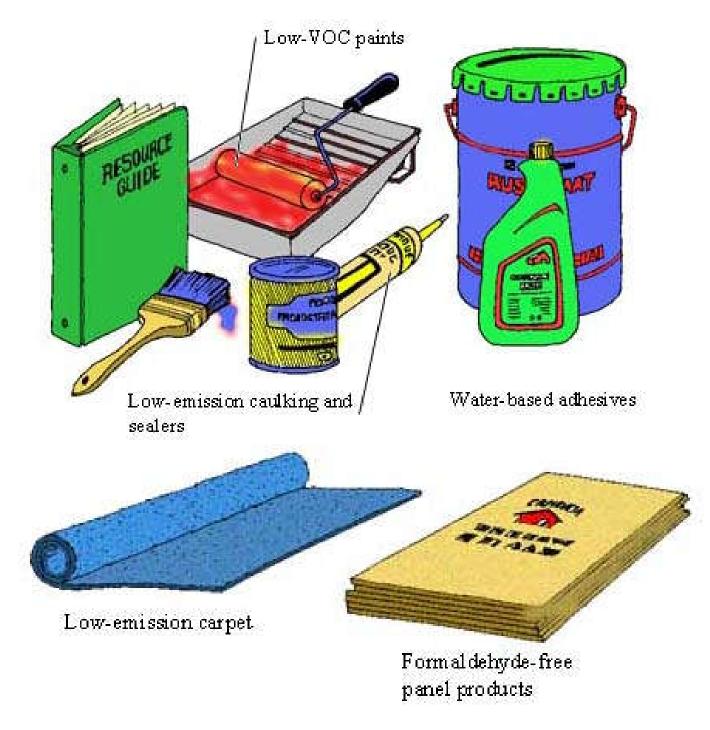
## **Evaluation methods**



- Building phase
  - Construction & installation on site
    - Noise, waste & pollutants from construction site
  - Maintenance & repair
    - Energy & water use
    - Maintenance & operation requirements
  - Use & operation of the building
    - Effects on indoor air quality & occupants' health



#### Enhance indoor air quality and minimise health effects

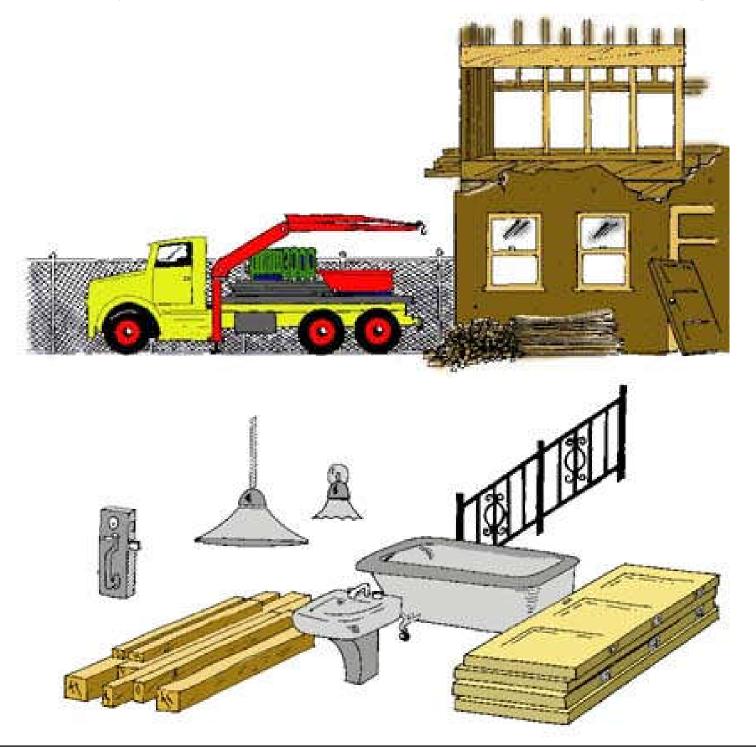


## **Evaluation methods**

- Post-building phase
  - Demolition
    - Noise, air & water pollution during demolition
  - Disposal
    - Need for transportation, landfil, etc. for the waste
  - Reuse or recycling
    - Energy & water use
- "<u>De</u>-construction"
  - Building disassembly & materials salvage



#### Separate recyclables from demolition and reuse salvaged materials



## **Evaluation methods**



- Criteria in material selection:
  - Resource quantity (use less & more efficiently)
  - Reused materials (salvaged & reused)
  - Recycled content (post- & pre-consumer waste)
  - Renewable materials (e.g. sustainable forestry)
  - Local content and reduced transportation
  - Life-cycle cost & maintenance requirements
  - Resource recovery & recycling
  - Effects on health & indoor air quality

## **Evaluation methods**



- Important considerations
  - Not just replace one material by another
  - Need to consider how the material is used
  - May require cultural change in design and in using the new materials
- Product to service shift
  - Product as a Service (PaaS)
  - e.g. carpeting service (by Interface, Inc.)
  - Supplier to reuse or recycle the materials



- Evaluate building materials
  - Collect as much information as possible
  - Make judgements & assumptions if needed
- Basic questions
  - What is in them?
  - How they are made?
  - Where they come from?
  - How they perform in the building?
  - What happens to them afterwards?

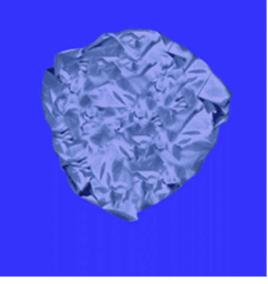




#### 廢物 - 在錯了地方的資源 "Waste - a resource in the wrong place" -- An old Chinese proverb.







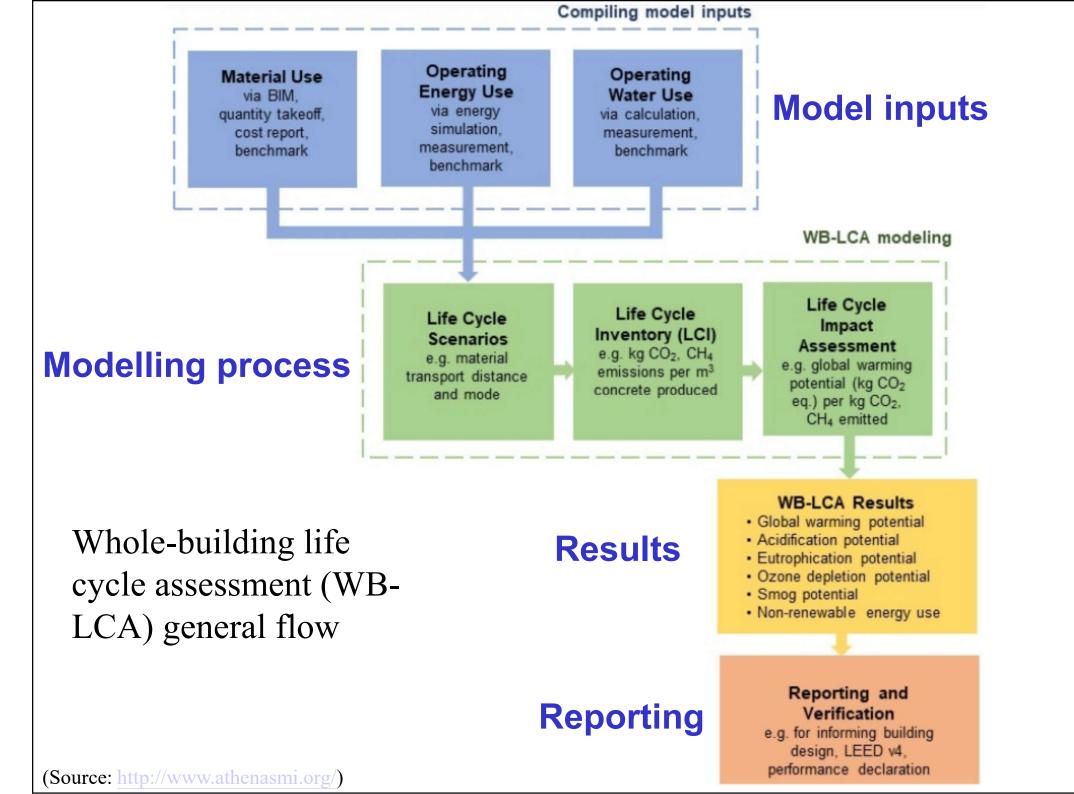


#### **The True Cost of Waste**



Cost of storage, transport & disposal of waste

Loss of income from not salvaging waste materials





- Examples of LCA analysis software tools
  - ATHENA Impact Estimator and EcoCalculator (Canada) <u>http://www.athenasmi.org/</u>
  - BEES (Building for Environmental and Economic Sustainability) Online version (USA)
    - https://www.nist.gov/services-resources/software/bees
  - GaBi (Germany) <u>https://gabi.sphera.com/</u>
  - SimaPro (The Netherlands)
    - <a href="https://pre-sustainability.com/solutions/tools/simapro/">https://pre-sustainability.com/solutions/tools/simapro/</a>

- LCA tools by Athena Sustainable Materials Institute <a href="http://www.athenasmi.org/">http://www.athenasmi.org/</a>
  - Impact Estimator (for buildings)
    - Evaluate whole buildings and assemblies based on LCA

for Commercial Assemblies

- methodology
- EcoCalculator (for assemblies)
  - Provides instant LCA results for more than 400 common building assemblies
- LCA inventory databases
  - Such as structural products and envelope products









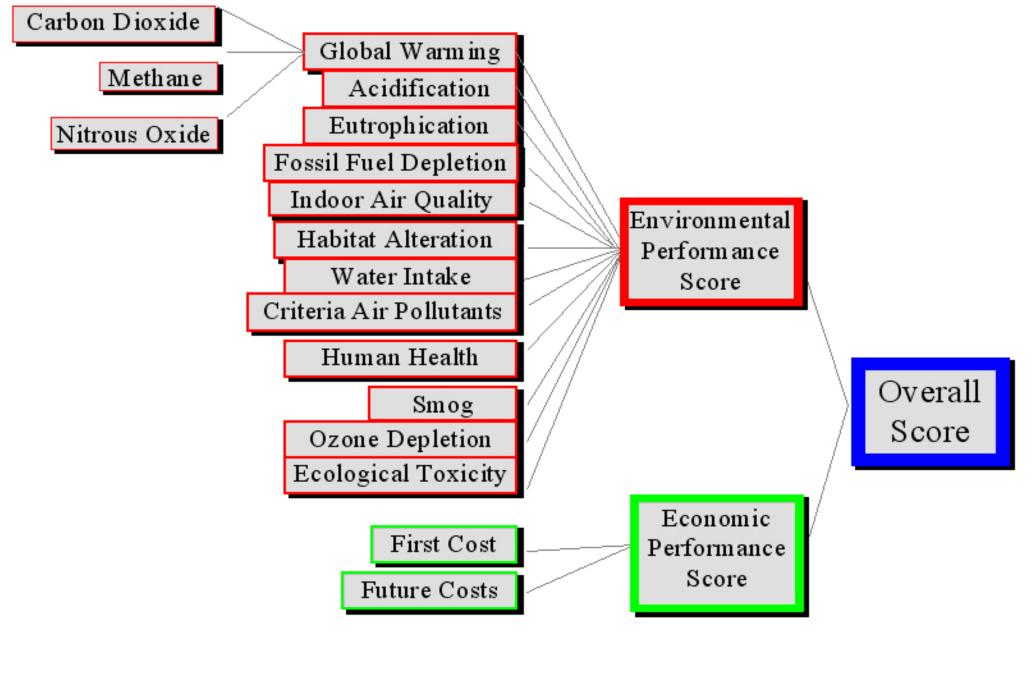
- Impact Estimator's summary measures format:
  - Embodied primary energy use (consumption)
  - Acidification potential
  - Global warming potential
  - Human health respiratory effects potential
  - Ozone depletion potential
  - Smog potential
  - Aquatic eutrophication potential
  - Weighted resource use



- Impact Estimator's absolute values format:
  - Energy
  - Air emissions
  - Water emissions
  - Land emissions
  - Resource use
- Further information:
  - Impact Estimator for Buildings Tutorial
    - https://calculatelca.com/resources/watch-tutorials/

- BEES (Building for Environmental and Economic Sustainability) (USA)
  - https://www.nist.gov/services-resources/software/bees
  - Developed by Building and Fire Research Laboratory of the National Institute of Standards and Technology (NIST)
  - For measuring the life-cycle environmental and economic performance of building products
  - BEES Online version is available now

#### BEES (Building for Environmental and Economic Sustainability) Model



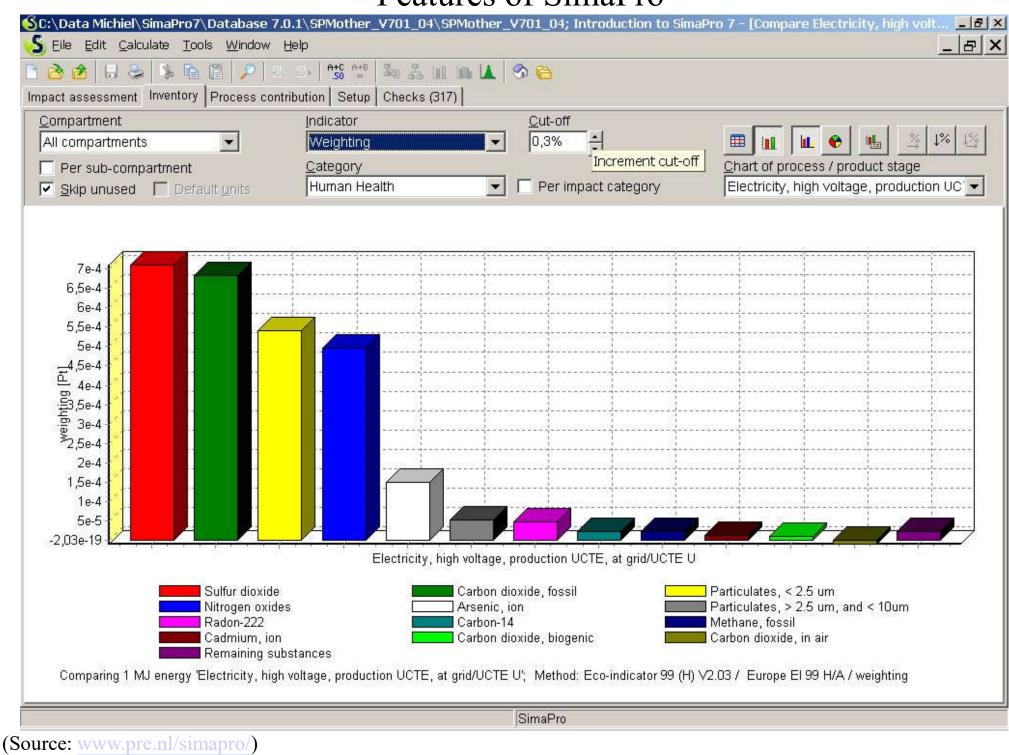
(Source: https://www.nist.gov/services-resources/software/bees)



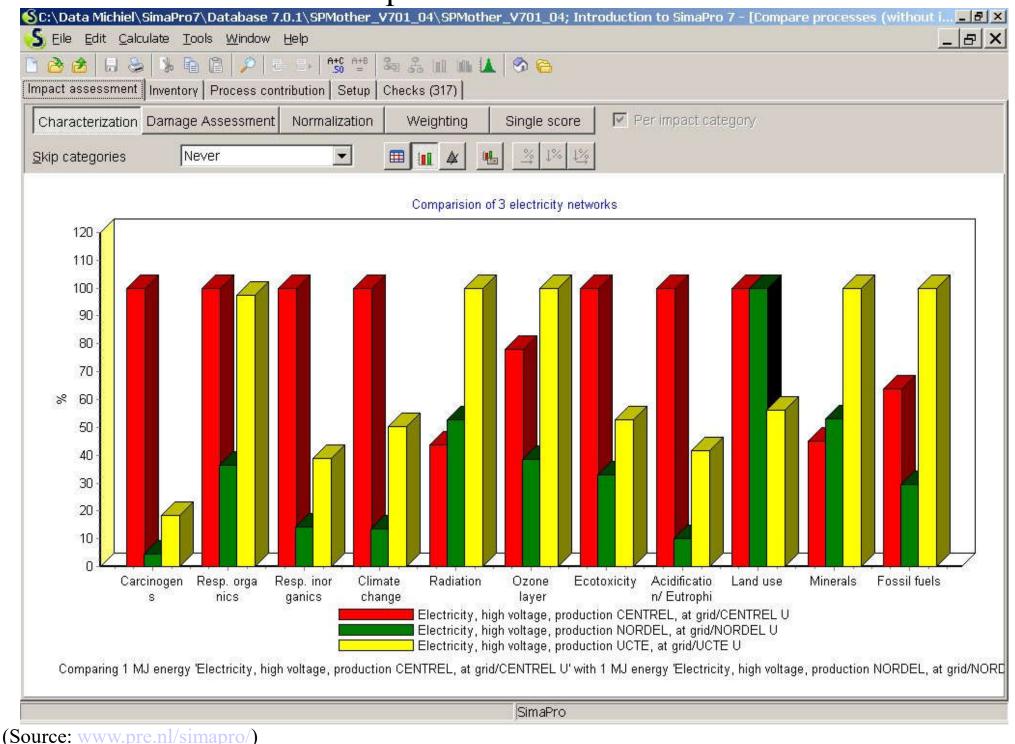
#### • SimaPro LCA software (by PRé Consultants)

- https://simapro.com/
- SimaPro https://presustainability.com/solutions/tools/simapro/
- Tool to collect, analyze and monitor the environmental performance of products, processes and services
- Follow the ISO 14040 series recommendations
- SimaPro inventory databases

#### Features of SimaPro



#### Compare models in SimaPro





- Difficulties in LCA
  - Data quality and quantity is often not sufficient for a comprehensive LCA
  - A possible consequence of discrepancies in the data is that two independent studies analysing the same products may generate very different results. Ostensibly comparable LCA's may therefore be incomparable
  - Differing data used in the characterisation stage may mean that LCAs are incomparable
  - Use of alternative methodologies for the impact assessment stage can yield different results



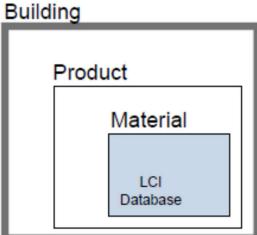
- LCA has attracted some criticisms
  - A lack of standardised information
  - Excessive detail that may be difficult to follow
  - Confusing the issues of human health with those of the environment (human- and eco-toxicity)
  - Weightings are lacking transparency and being subjective
    - It does not allow the findings of different studies to be compared easily



- Problems of LCA:
  - The cost is high, since collecting appropriate data is time consuming
  - Where there are gaps in the data, assumptions have to be made
  - It only provides a snapshot view based on data at the time of collection
  - It does not integrate environmental impact with the social and economic aspects of sustainability



- LCA as a source of <u>strategic insight</u> for quantifying impacts and see if we can improve
- Must ensure the LCA methodology used is understood and clear (transparency)
- Options for incorporating LCA in green building design:
  - 1. Product or material level
  - 2. Assembly level
  - 3. Whole building level



#### References



• AIA, 2010. *AIA Guide to Building Life Cycle Assessment in Practice*, American Institute of Architects (AIA), Washington, DC.

https://www.aia.org/resources/7961-building-life-cycleassessment-in-practice

- LCA (Life Cycle Assessment) Training Kit Material https://www.lifecycleinitiative.org/resources/training/lca-lifecycle-assessment-training-kit-material/
- Life Cycle Assessment (LCA) Complete Beginner's Guide <a href="https://ecochain.com/knowledge/life-cycle-assessment-lca-guide/">https://ecochain.com/knowledge/life-cycle-assessment-lca-guide/</a>