Washington University in St. Louis

EECE 401: International Experience in Energy, Environmental & Chemical Engineering Seminar at HKU Department of Mechanical Engineering

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Using Building Energy Codes to Promote Energy Efficiency



Dr. Sam C. M. Hui

Department of Mechanical Engineering

The University of Hong Kong

E-mail: cmhui@hku.hk

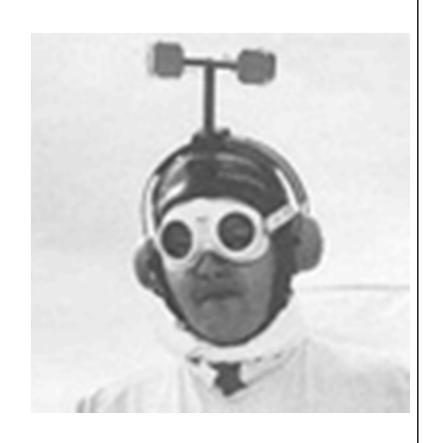
http://web.hku.hk/~cmhui

Jun 2011

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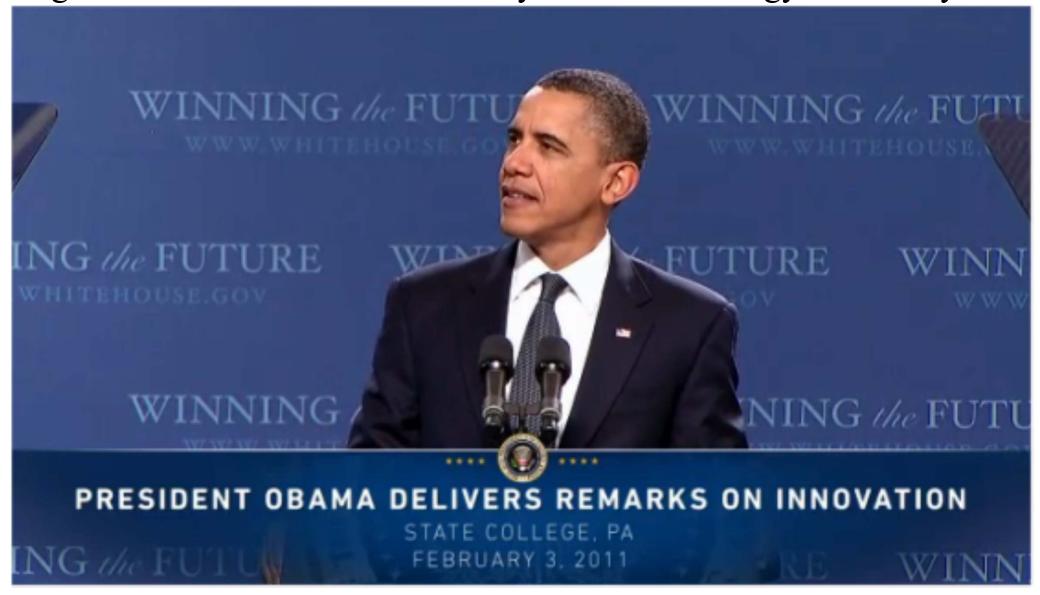


- Why energy efficiency?
- Why building energy codes?
- Characteristics of BEC
- Implementation issues
- Conclusions



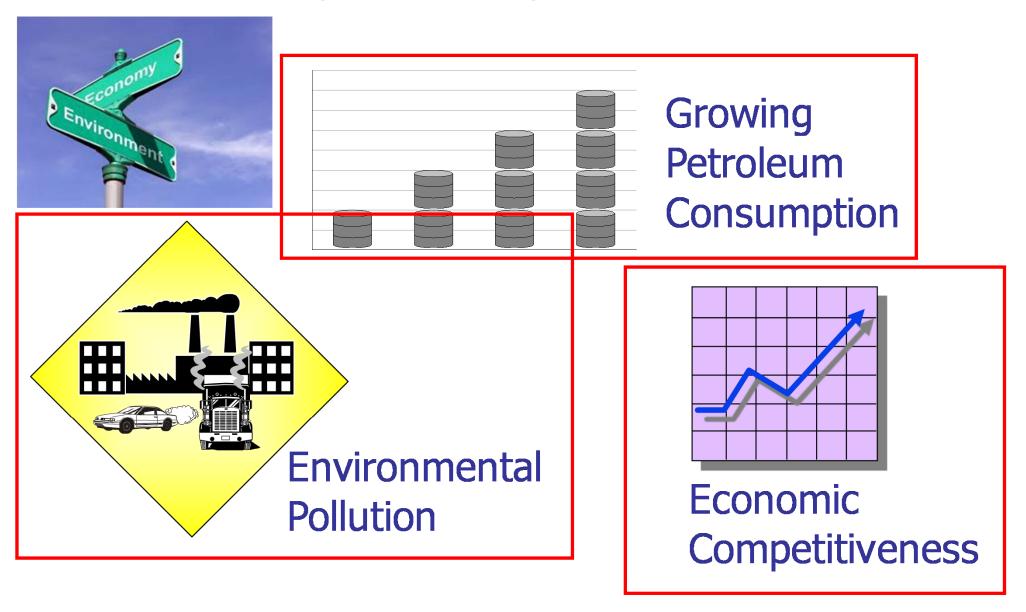
President Obama's Plan to Win the Future by Making American Businesses More Energy Efficient through the <u>Better Buildings Initiative</u>

- ▶ make commercial buildings 20% more energy efficient by 2020
- ▶ get twice as much of its electricity from clean energy sources by 2035



(Source: www.whitehouse.gov/blog/2011/02/03/winning-future-through-innovation-and-better-buildings)

The challenges facing us...



(Source: www.kostic.niu.edu)

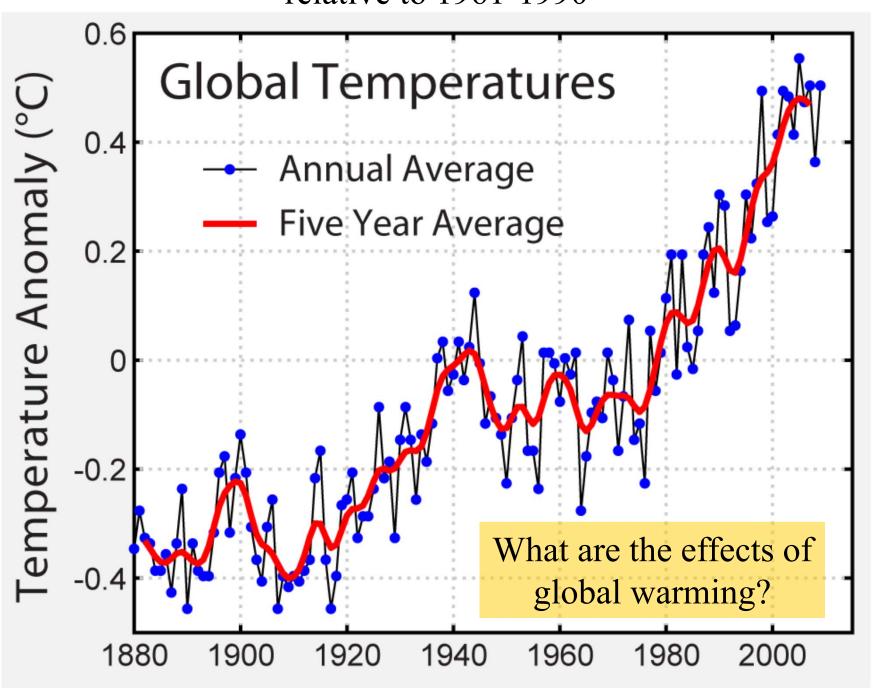


- Energy is important to every society
 - Economic, environmental & social impacts
 - It is also a key issue for sustainable development
- Use energy ...
 - Consume finite fossil fuels (oil, coal, natural gas)
 - Cause air pollution & environmental damage
 - Contribute to global warming
 - Cost money





1880-2009 global mean surface temperature anomaly relative to 1961-1990



(Source: NASA GISS)





Different forms of energy



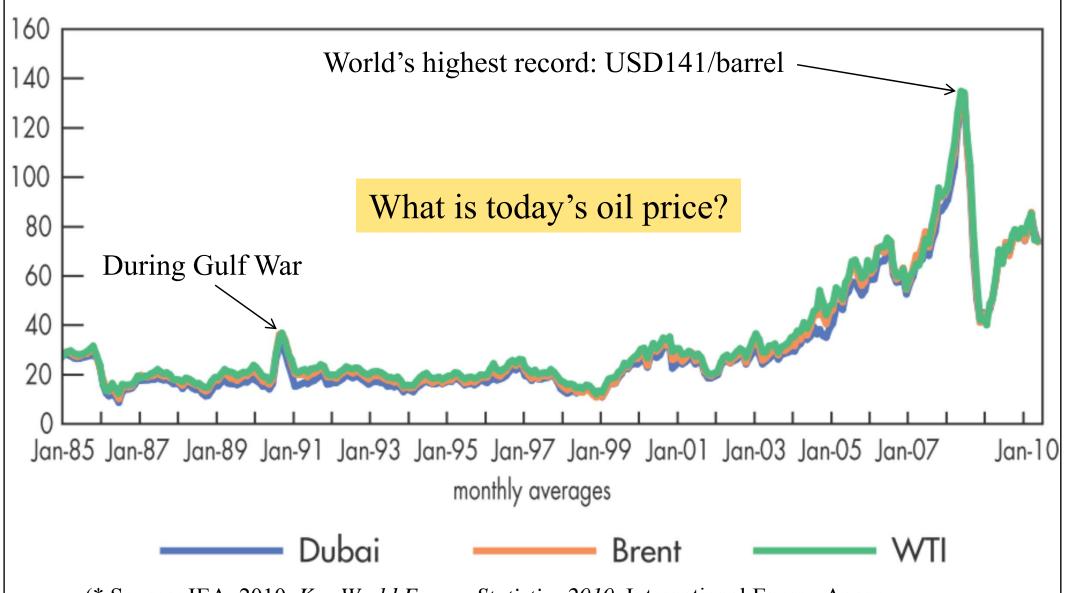


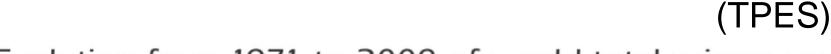


Which one(s) is/are most important nowadays?

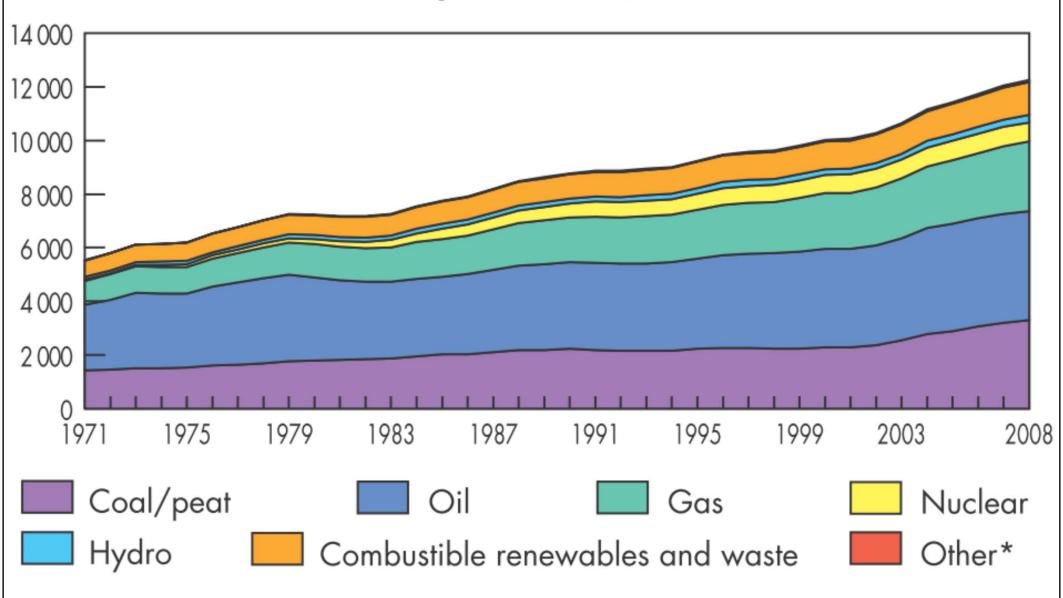


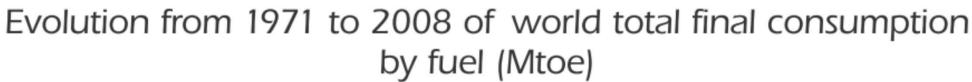
Key crude oil spot prices in USD/barrel

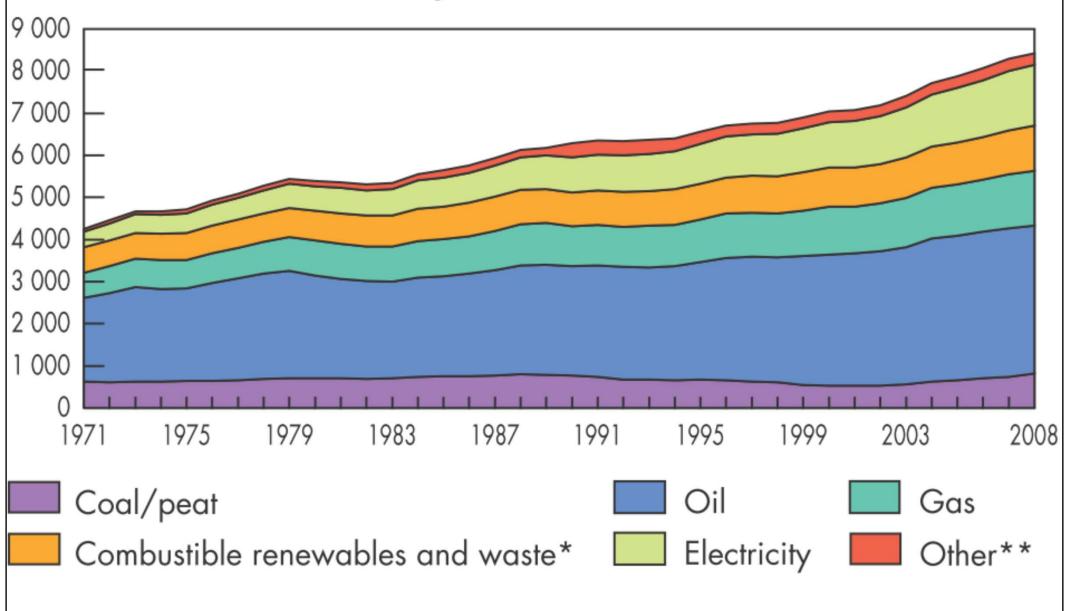




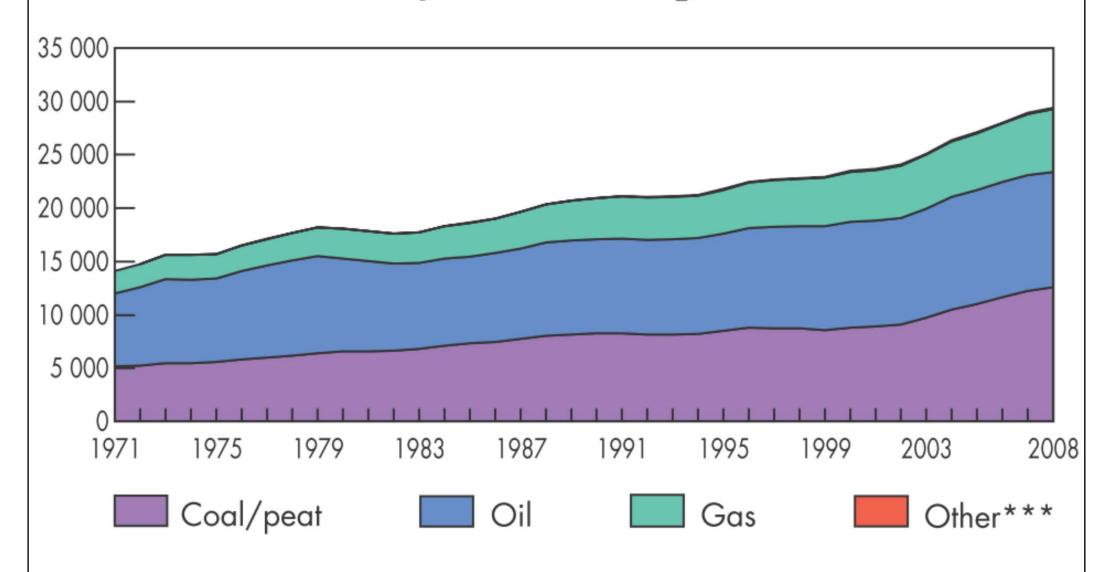
Evolution from 1971 to 2008 of world total primary energy supply by fuel (Mtoe)



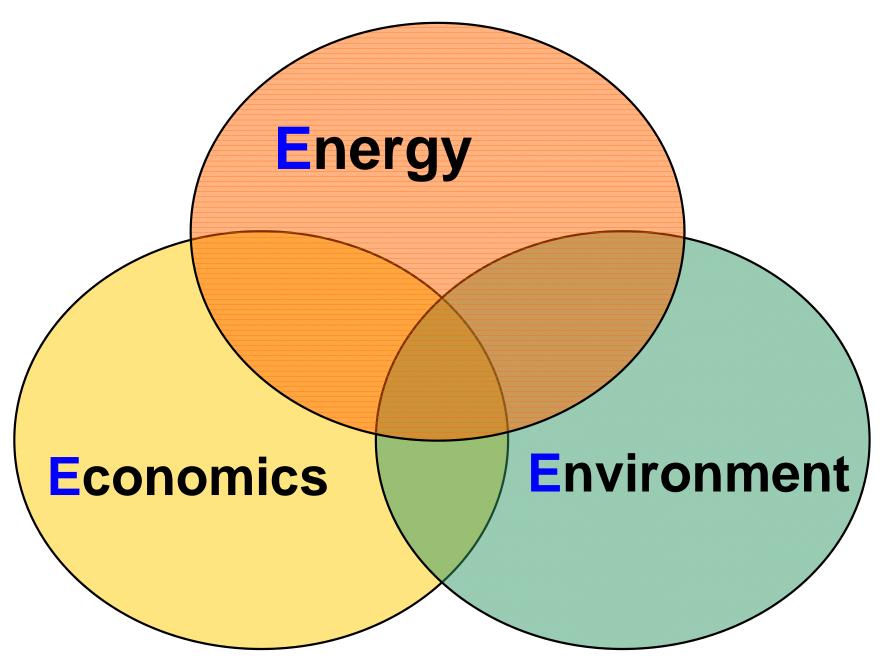


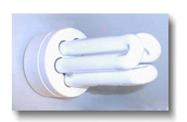


Evolution from 1971 to 2008 of world* CO_2 emissions** by fuel (Mt of CO_2)



3 'E' Relationships





• Video: Energy efficiency -- The World in 2030 (4:22)



- www.youtube.com/watch?v=QG3HNQiEaTM
- Growth of human population
 - 6.5 billions in 2010 => 8.3 billions in 2030
- Energy demand +53%; CO₂ emissions +55%
- The best energy source: Energy Efficiency
- How to improve it in Industry, Mobility and Housing?



• Future energy options:



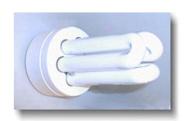
- Coal, oil and natural gas
 - Resource depletion, environmental impacts, energy security, fuel price



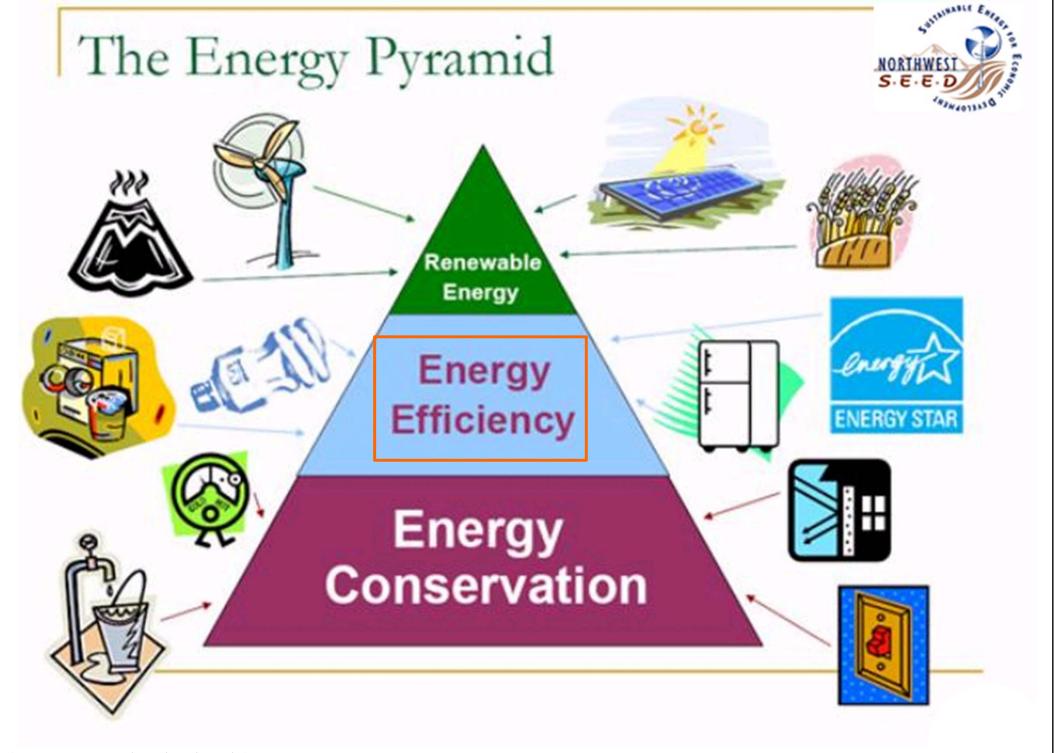
- Nuclear energy
 - After Fukushima nuclear accidents, safety is doubtful!



- Renewable energy
 - Still limited and costly to develop
- Energy efficiency is an important, smarter and more practical option

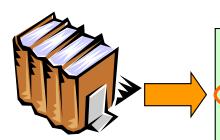


- **EE** = **Energy Efficiency**
 - Efficient use of energy, also called the "fifth fuel"
 - Use less energy to provide the same level of energy service (*Doing More with Less*)
 - Achieved by more efficient technology or process
 - According to the International Energy Agency (www.iea.org), energy efficiency in the following 3 sectors could reduce the world's energy needs in 2050 by one third:
 - Buildings, industrial processes, transportation



(Source: www.kostic.niu.edu)

Important energy sector



Building Energy Codes

Energy Efficiency

- Buildings
- Industries
- Transport
- Appliance
- Utilities
- Energy management

Energy Supply

- Coal
- Oil
- Natural gas
- Nuclear
- Electricity
- Renewable energy

Energy Resources

- Coal
- Oil
- Natural gas
- Nuclear energy
- Renewable energy

Other Issues

- Energy security
- Energy and environment
- Energy economics

Building sector in the overall energy policy



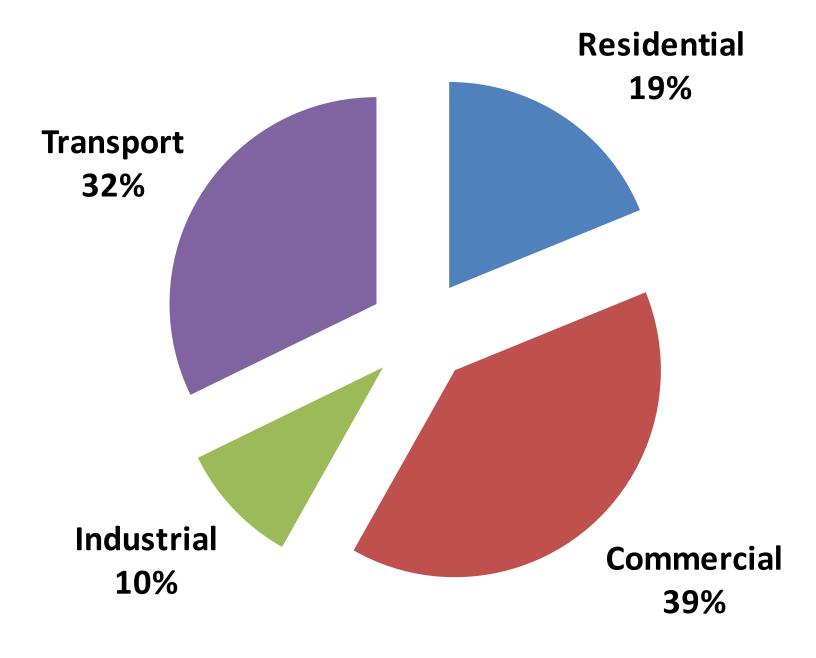
- Possible benefits from energy efficiency:
 - Improved building design and operation
 - Better working environments
 - Life-cycle cost savings
 - Added market value of buildings
 - Reduced CO₂ emissions and consumption of finite fossil fuels
 - Reduced capital cost by better integration of building fabric and systems

Why building energy codes?



- In Hong Kong, buildings constitute 60-70% of total energy end-use and 58% of final energy requirements
 - Residential + commercial + industrial
- The potential for energy saving is large
- But the barriers to promoting energy efficiency in buildings are yet to overcome
- Energy efficiency is often discounted and hard to sell in a commercial free market

Energy end-use by sector (2008)



Energy end-use in Hong Kong

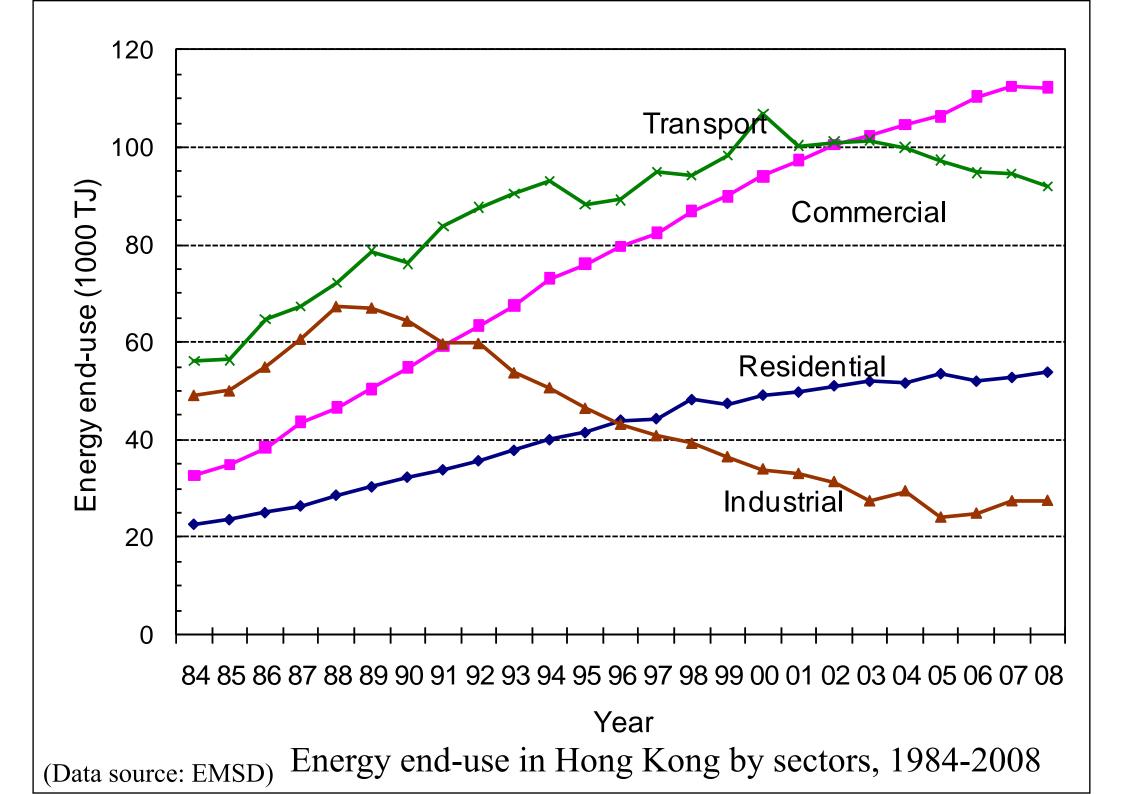
(Data source: EMSD)

Table 1 - Final energy requirements (FER) in Hong Kong (year 2009)

Unit: MJ	Commercial	Residential	Industrial	Total
Electricity	98 860	38 972	11 143	148 975
	(66%)	(26%)	(8%)	(100%)
Town gas	11 069	15 303	902	27 274
	(41%)	(56%)	(3%)	(100%)
Elec. +	109 929	54 275	12 045	176 249
town gas			_	
% in total	35.8%	17.7%	3.9%	57.5%
FER				

Total FER for 2009 = 306774 TJ

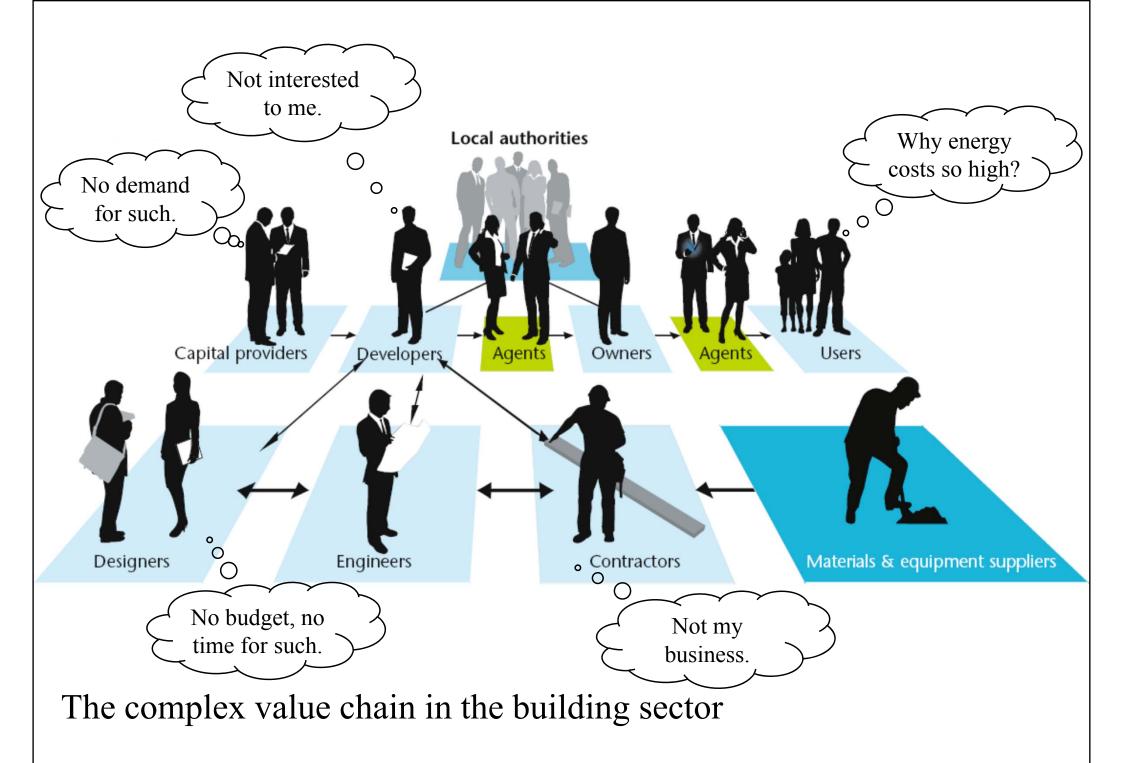
(* Data Source: *Hong Kong Energy Statistics 2009 Annual Report*)



Why building energy codes?



- Barriers to energy efficiency
 - Developers and builders not interested to save energy for tenants and end-users
 - Utilities not encouraged to end-use energy efficiency (because it may reduce their profits)
 - Lack of efficiency attitudes and awareness
 - Lack of information on energy performance
 - Perceived riskiness of efficiency measures
 - Limited access to capital



(Source: World Business Council for Sustainable Development, www.wcbsd.org)

Top ten reasons for building energy codes

10. They establish a common foundation for evaluating, regulating, and incentivizing building performance, technologies, design, and construction.	5. They provide a common basis upon which to educate the building design and construction community in energy efficiency.	
9. They support more widespread decisions and actions that lead to efficient buildings.	4. They safeguard owners and tenants from long-term financial burdens that can result from short-term design and construction decisions.	
8. They help drive the development and deployment of new building technologies and design strategies.	3. They continue to progress in terms of stringency, scope, and enforcement emphasis which will enhance the skills of the current workforce.	
7. They provide a cost-effective step toward mitigating problems associated with growing demand for energy and power resources.	2. They help protect the natural environment from unnecessary emissions.	
6. They can lock in the use of energy efficient technologies that have been proven through incentive programs, freeing up resources to focus on additional technologies.	1. They reduce the vast amount of energy that is needlessly consumed each year for commercial and residential buildings that lack adequate energy efficiency features.	

(Source: Adapted from www.energycodes.gov)





- Building Energy Codes (BEC)
 - Set out minimum energy consumption objectives
 - Form part of the energy policy
 - Control building design and/or operation
- Energy audit requirements (in some countries)
 - Essential for existing buildings
- Energy management programmes
 - Promote good practices in design and operation





- Basic functions of BEC:
 - Raise concerns and awareness of energy efficiency
 - Promote energy efficient design & operation
 - Facilitate energy conservation products & services
 - Provide a basis for building energy performance
 - Help achieve energy policy goals
- Code requirements
 - Prescriptive approach
 - Performance approach





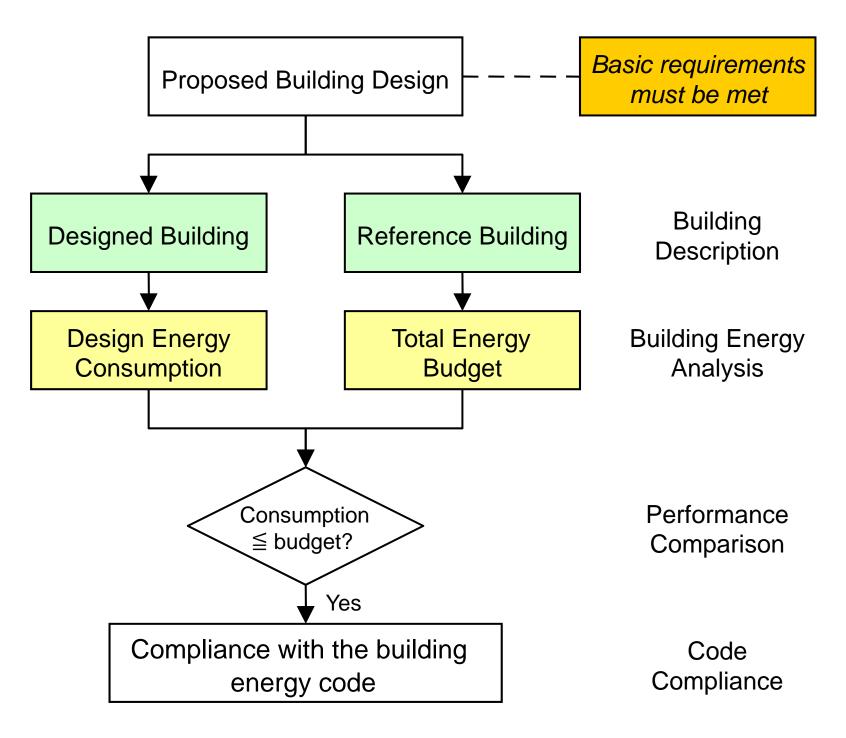
- Prescriptive approach
 - Specifies for each building component the minimum requirements to satisfy the code
 - Advantages:
 - Simple to use & follow
 - Easy to check & enforce
 - Drawbacks:
 - Rather restrictive
 - Barrier to innovation & performance optimisation
 - Hinder cross-country product trading

Characteristics of BEC

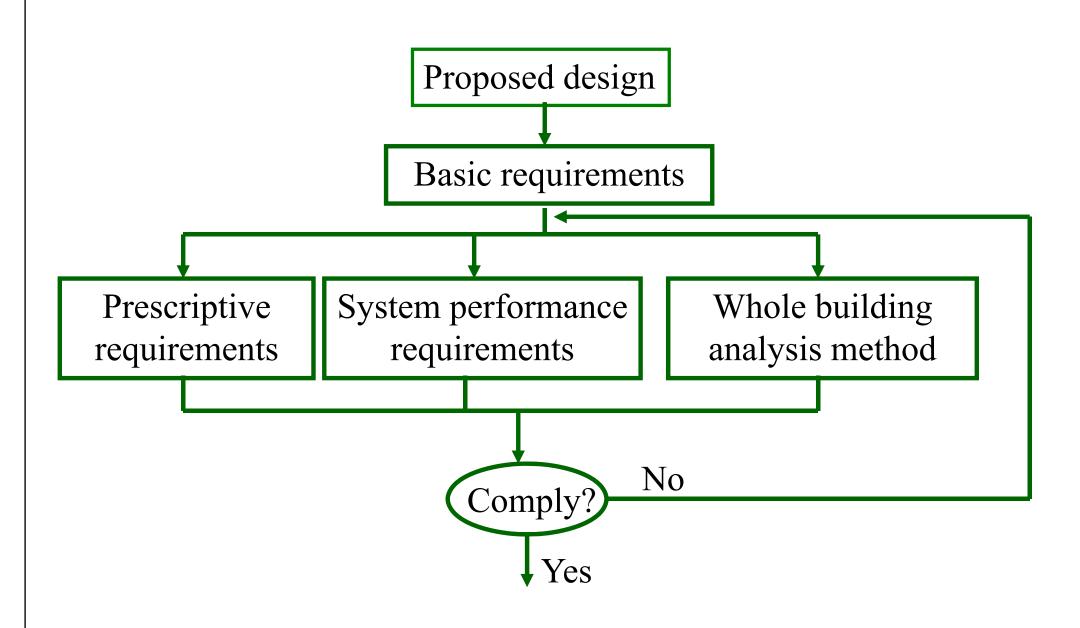


- Performance approach
 - State the goal(s) and allows the use of any solution to demonstrate compliance
 - Advantages:
 - More clearly explains what the code intends
 - Permits innovation & alternative solutions
 - More flexible regulatory environment, easily updated
 - Encourage building/technology research
 - Drawbacks:
 - Often more efforts are needed for analysis/compliance
 - Can be very complex & require more expertise

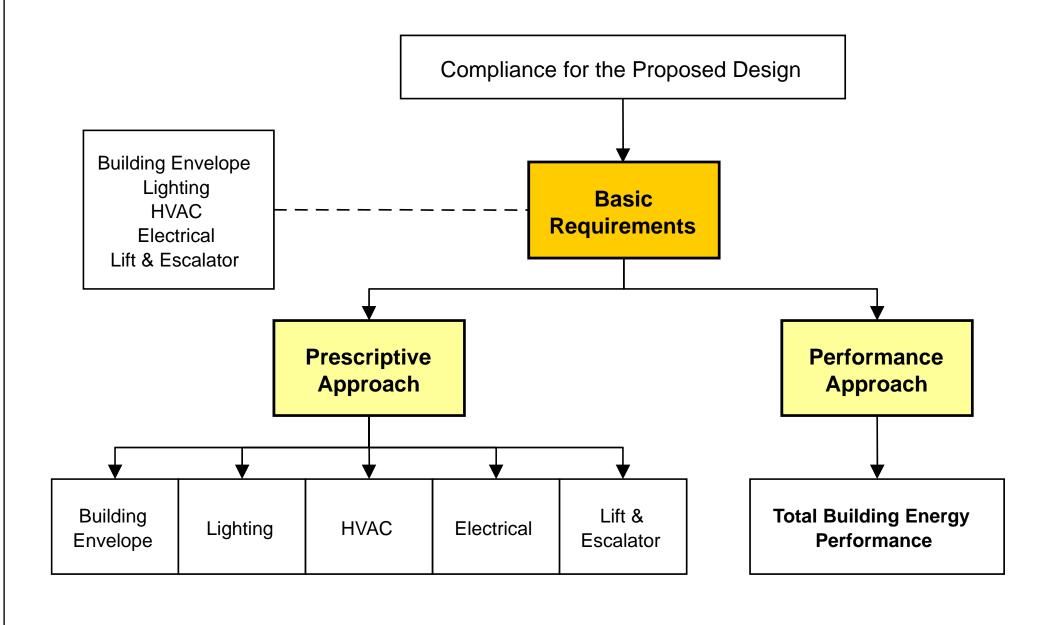
Compliance method for performance approach



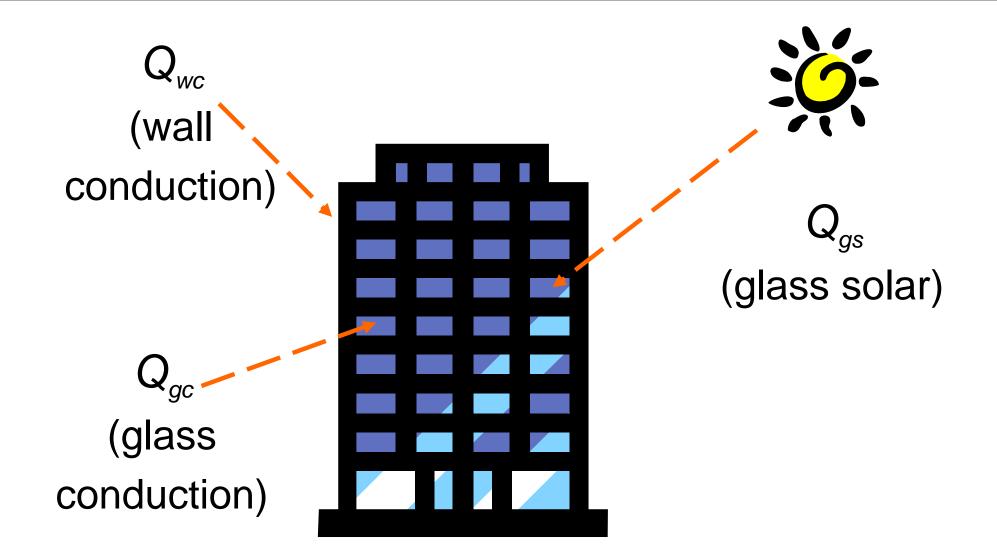
Compliance paths in building energy codes



Proposed framework of the comprehensive BECs in Hong Kong



(Source: EMSD)



$$OTTV_{i} = \frac{Q_{wc} + Q_{gc} + Q_{gs}}{A_{i}}$$

$$= \frac{(A_{w} \cdot U_{w} \cdot TD_{eq}) + (A_{f} \cdot U_{f} \cdot DT) + (A_{f} \cdot SC \cdot SF)}{A_{i}}$$

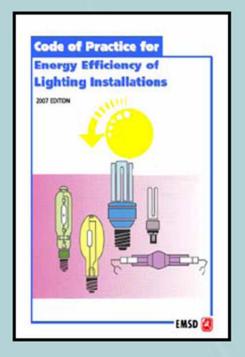
Characteristics of BEC

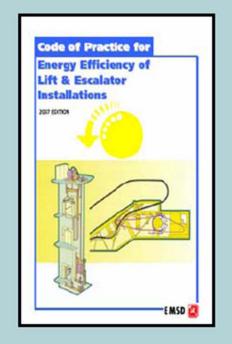


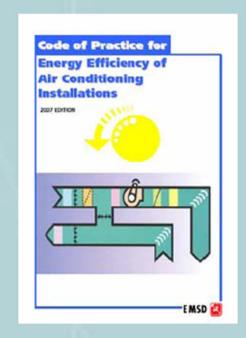
OTTV equation for Hong Kong:

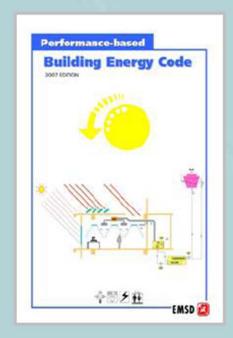
$$OTTV_{i} = \frac{(A_{w} \cdot U_{w} \cdot \alpha \cdot TD_{eq}) + (A_{f} \cdot SC \cdot ESM \cdot SF)}{A_{i}}$$

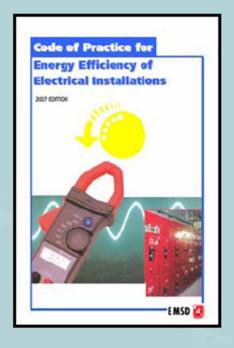
- Two major differences from the general form:
 - Glass conduction term was omitted
 - Solar absorptivity and external shading multipler were introduced











Building Energy Codes in Hong Kong

(Source: www.emsd.gov.hk)

Building energy codes in Hong Kong

Energy Code	Date Implemented	Scope
OTTV	Jul 1995 (Mandatory)	Comm bldgs & hotels
Lighting	Jul 1998 (Voluntary)*	All bldgs except domestic, indust. & medical
Air conditioning	Jul 1998 (Voluntary)*	All bldgs except domestic, indust. & medical
Electrical	Feb 1999 (Voluntary)*	All buildings
Lifts & escalators	Dec 1999 (Voluntary)*	All buildings
Performance- based code	2004 (Voluntary)*	Comm bldgs & hotels

^{*} Combined and become mandatory in 2011 under the *Buildings Energy Efficiency Ordinance*. (See www.emsd.gov.hk/emsd/eng/pee/mibec.shtml for details)

Hong Kong's Buildings Energy Efficiency Ordinance

- The Bill for mandatory implementation of the Building Energy Codes (BEC) was passed by the Legislative Council on 24 Nov 2010. Together with the amendments they were gazetted to be the Buildings Energy Efficiency Ordinance (BEEO) (Cap. 610) on 3 Dec 2010
- The Ordinance (except Parts 2 to 6) would come into operation on 21 Feb 2011. Vetting of the subsidiary regulations detailing the fees and registration of Registered Energy Assessor (REA) will be completed in the 1st quarter of 2011 and then the registration of REA will commence in the 2nd quarter of 2011
- There will be 18-month grace period for Parts 2 to 6
- BEEO will be fully implemented in mid-2012

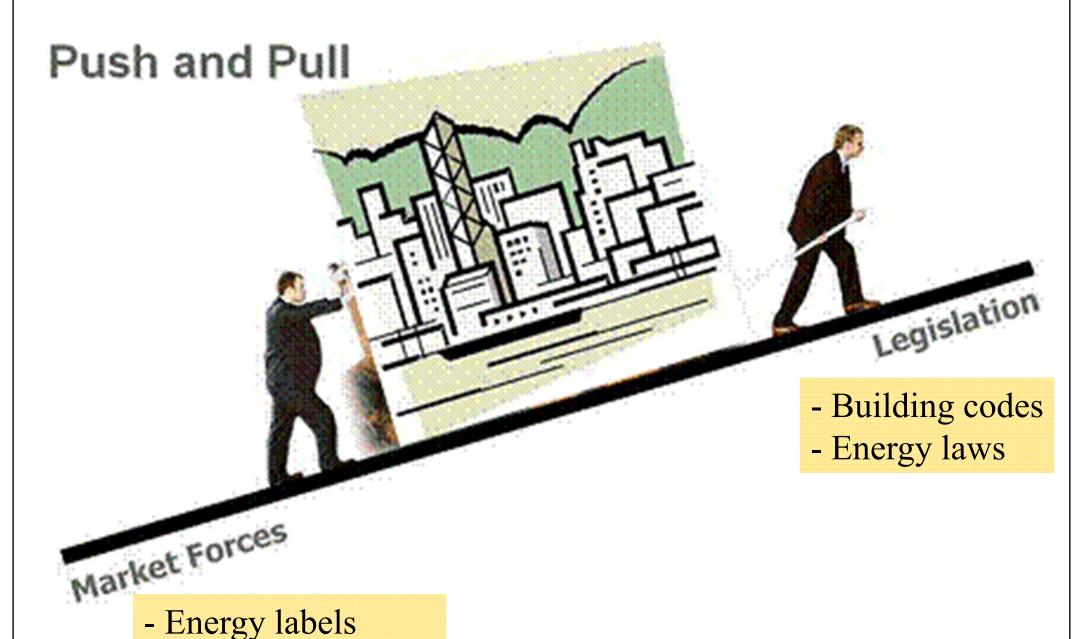
(Source: www.emsd.gov.hk)



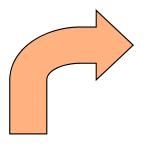


- Strategy for promoting energy efficiency
 - Legislation (PULL)
 - Building codes, energy laws
 - Market forces (PUSH)
 - Improve awareness & information
- Reverse the vicious circle
 - Change market behaviour & overcome barriers
 - Increase investments in energy efficiency measures among the stakeholders

Strategy for promoting energy efficiency in buildings

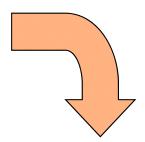


- Voluntary schemes



Occupiers/Users

"We would like to have an energy efficient building, but there aren't any"

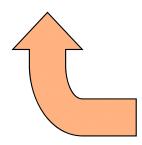


Investors/Bankers

"We would fund energy efficient buildings, but there is no demand for them"

Builders/Designers

"We can build/design energy efficient buildings, but developers don't ask for them"

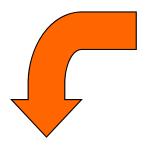


Developers

"We would ask for energy efficient buildings, but investors don't pay for them"



The vicious circle of energy efficient buildings (From EU studies)



Occupiers/Users

"We demand an energy efficient building and ask for the energy info."



Investors/Bankers

"We will fund energy efficient buildings and provide suitable incentives"

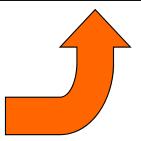
Builders/Designers

"We can build/design energy efficient buildings and will strive for better performance"



Developers

"We will ask for energy efficient buildings and set out energy targets for them"

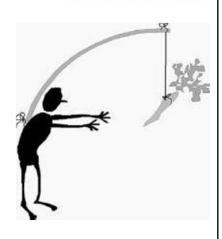


Reverse the viscous circle and overcome market barriers



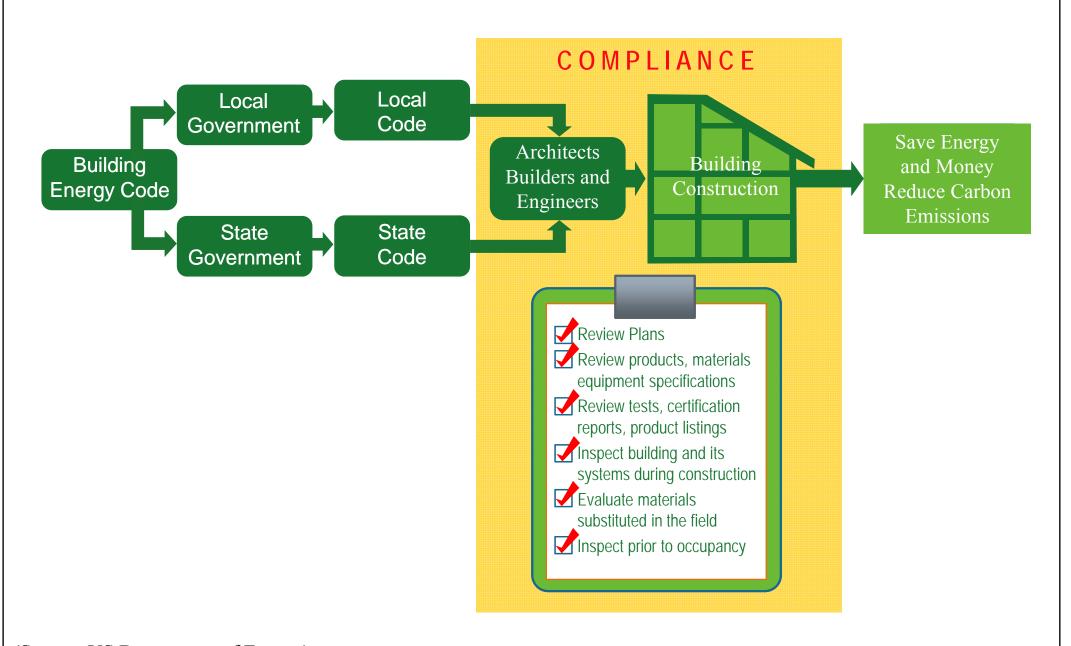


- Mandatory vs Voluntary
 - "Stick and carrot" approach
 - Voluntary method only is not effective in commercial markets like Hong Kong



- Strategy for code promotion & enforcement
 - Legislation and incentives
 - Information and education
 - Energy professionals (e.g. Registered Energy Assessor) and technology development

Code compliance and the building process in USA



(Source: US Department of Energy)





- Good and effective BEC
 - Encourages more efficient design & operation
 - Relatively easy to understand & enforce
 - Gives designers maximum discretion without compromising efficiency
 - Developed with cooperation and input from a variety of stakeholders
 - Accounts for interactions among systems
 - Reviewed and updated regularly



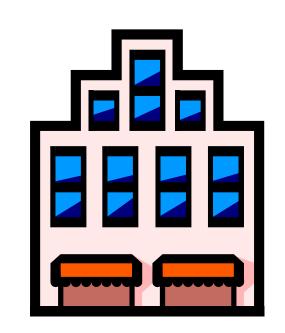


- Potential drawbacks to BEC
 - Costly bureaucratic burdens on design & construction
 - Can invite abuse (i.e. graft)
 - Are difficult to enforce
 - Typically more "stick" than "carrot"
- Difficulties in developing BEC
 - Every building is unique; not standardised products
 - Must reflect & be responsive to different climates
 - Can be difficult to achieve consensus without compromising stringency

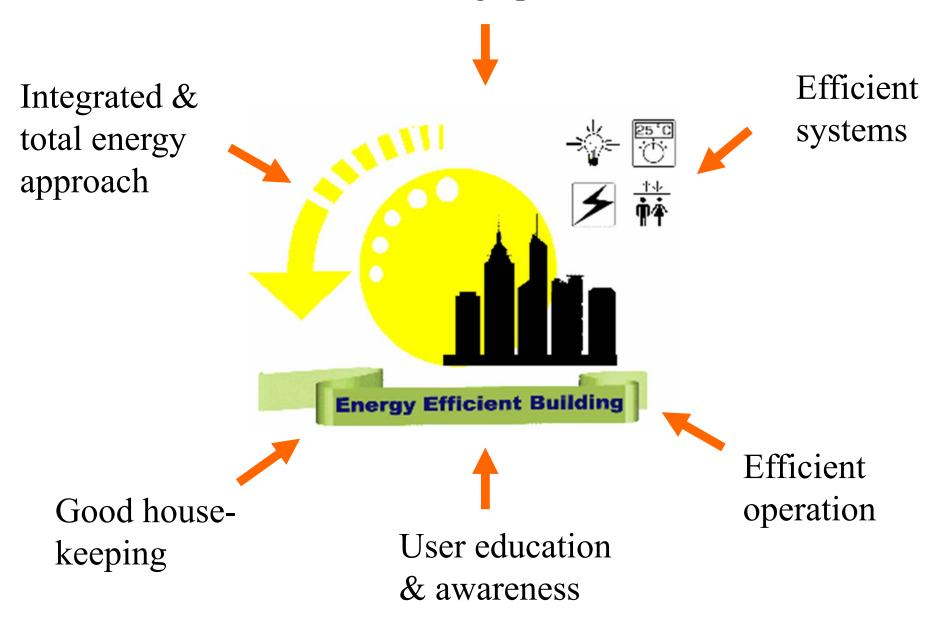




- For new buildings
 - Designing the building
 - Design strategy
 - Control strategies
 - Commissioning
- For existing buildings
 - Operating and upgrading the building
 - Building management
 - Refurbishment/renovation/retrofitting
 - Maintenance and monitoring



Good design practices



Conclusions



- Energy efficiency is very important to every society in the world; it is a smarter option for sustainable energy
- Building energy codes can help promote energy conservation and set the baseline & ratings for building energy performance
- Better strategy to promote energy efficiency:
 - Legislation (PULL) and market forces (PUSH)

Conclusions

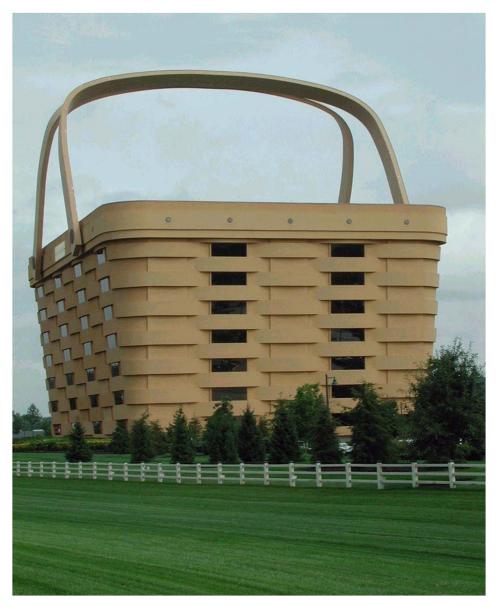


• Video: Energy, let's save it! (3:40)



- www.youtube.com/watch?v=1-g73ty9v04
- Education/promotion cartoons from Europe
- Describe the effects of wasting energy
- Highlight some measures to reduce wastage, e.g.
 - Energy saving lamps, thermostat setting, thermal insulation, switch off appliances, car pooling, energy labels

THANK YOU





Examples of energy efficient buildings