MECH3023/4423 Building Energy Management and Control Systems http://me.hku.hk/bse/mech3023/



Building Energy Management



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Contents



- Energy Management System
- ISO 50001
- Energy Information System
- Building Energy Audits
- Building Retrofit

Energy Management System



- Energy Management is the process of monitoring, controlling and conserving energy usage in a building or organization
 - Typical steps:
 - Metering energy consumption and collecting the data
 - Identifying opportunities to save energy, and estimating how much energy each opportunity could save
 - Taking action to target the opportunities to save energy
 - Track progress by analyzing data to determine the effectiveness of implemented energy-saving measures

Energy Management System



- What is energy management system (EnMS)?
 - A system includes:
 - Resources financial resources, human resource and technology
 - Procedures/Regulations, programs in order to manage, implement activities.
 - Scope:
 - Areas related to energy consumption in company
 - Purpose:
 - Provide methods, programmes clearly to implement energy savings in order to achieve planned objectives

Energy Management Systems (EnMS)

'A set of interrelated or interacting elements that establish an energy policy and energy goals as well as processes and procedures to achieve those goals'

ISO 50001:2011 definition

Energy Management Systems logics: focus on energy performance



(Source: ISO50001)

A systematic approach to energy management





Energy Management System

 The energy management system (EnMS) concept builds upon the <u>Plan-Do-Check-Act</u> (PDCA) cycle of management developed by Dr. W. Edward Deming*



• EnMS is a collection of processes, procedures, and tools designed to engage staff at all levels within an organization in managing energy use on an ongoing basis

(* See also http://en.wikipedia.org/wiki/W._Edwards_Deming)



(Source: The New Economics, by Dr. W. Edwards Deming)

Plan-Do-Check-Act cycle for continuous improvement



Plan-Do-Check-Act approach for energy management

Plan	Do
•Obtain insight (energy audit)	•Create awareness
•Get management commitment	•Train key resources
•Nominate energy champion	•Implement projects
•Policy, objectives, structure	 Monitor progress
•Assign responsibilities	•Lock in the gains – Set new targets
•Develop programme(s)	•Communicate results
•Set targets and measures	•Celebrate success
•Set priorities, develop action plans	
Check	Act
•Review results	•Correct deficiencies
•Verify effectiveness	•Review original energy policy
•Examine opportunities for continual	•Review objectives and targets
improvement	•Review energy program
	•Update action plans
	•Start the cycle anew

ISO 50001:2011 Energy Management Systems

International standard on energy management system, issued in 6/2011 - Integrate with ISO 9001, ISO 14001 and OHSAS 18001



International Organization for Standardization

- Improves Energy Performance

	Countries/Scope	Standard
Old energy management system	Denmark	D\$2403:2001
	Sweden	SS627750:2003
	Ireland	IS 393:2005
	Spain	UNE 216301:2007
Present energy management system	UE-27 EN 16001	Effect from 07/2009
-,	China GB / T 23331-2009	Effect from 11/2009
	United State ANSI/SME 2000	Effect from 2008 From 2010
Future energy management system	World ISO 50001 (from EN 16001)	Issued in 06/2011

	STRUCTURE:
	1. Scope
	2. Normative references
	3. Terms and definitions
	4. Energy management system
07	requirements
009	4.1. General requirements
	4.2. Management responsibility
009	4.3. Energy policy
	4.4. Energy planning
•	4.5. Implementation and operation
1	4.6. Checking
	4.7. Management review
	Annex provide guidance for using standard

(Source: ISO 50001; See also http://en.wikipedia.org/wiki/ISO_50001)





(Source: ISO 50001)



Process for establishing energy management systems

Design

Current assessment

- Energy policy
- Organizational structure
- Motivation
- Measurement/Monitoring
- Communication Training
- Investment of energy

- •Establish energy team
- •Train to enhance awareness
- •Define energy policy
- •Design documentation of energy management system

Deploy

•Identify areas, equipment of significant energy use and parameters need to test.

•Monitor and record data of energy consumption.

- •Conduct energy audit (energy performance, energy savings solution)
- •Establish objectives and plan
- •Implement plan
- •Motivate and communicate energy savings
- •Evaluate efficiency of energy management system

(Source: ISO 50001)

Overall approach of energy management system



(Source: ISO50001)

Guidelines for energy management from Energystar Buildings

Guidelines for Energy Management

- **STEP 1: Commit to Continuous Improvement**
- STEP 2: Assess Performance
- STEP 3: Set Goals
- STEP 4: Create Action Plan
- STEP 5: Implement Action Plan
- STEP 6: Evaluate Progress
- STEP 7: Recognize Achievements



Steps within Energy Management Systems



(Source: ISO50001)

ISO 50001



- Implement an energy management system
 - Initiating an Energy Management Program: Understanding basic concepts and requirements; getting organization leadership commitment; establishing an energy team; developing an energy policy
 - 2. <u>Conducting an Energy Review</u>: Collecting energy data; analyzing energy consumption and costs; identifying major energy uses; conducting energy assessments; identifying potential opportunities
 - Setting a baseline; determining performance metrics; evaluating opportunities and selecting projects; developing action plans

ISO 50001



- Implement an energy management system (cont'd)
 - 4. <u>Implementing Energy Management</u>: Obtaining resource commitments; providing training and raising awareness; communicating to all stakeholders; executing action plans
 - 5. <u>Measurement and Verification</u>: Including the knowledge and skills required to monitor, measure, verify, track, and document energy use and savings
 - 6. <u>Management Review</u>: Reviewing progress; modifying goals and action plans as needed

Management commitments and resources



(Source: ISO50001)

ISO 50001



- Conducting an energy review
 - To assess current situation
 - To understand how the energy is used
 - To calculate energy expenses
 - To determine EnMS priorities
 - To create a basis for decision making

Develop and investigate the "Energy Balance" (or energy profile)



ISO 50001



- Planning (setting goals and targets)
 - Prioritized opportunities
 - Draft action plan
 - Energy efficiency indicators
 - Verification plan
 - Targets for savings
- Verification plan
 - Determine how to measure action plan efficiency

What does Action Plan mean?



ISO 50001



• Implementation and operation

- Distribution of responsibilities
- Competency, training of human resources, awareness
- Documentation
- Energy manual
- Control of documentation
- Management of production
- Design and procurement

ISO 50001



• <u>Checking</u>

- Measurements and monitoring
- Measurements plan
- Energy audits and plan of their implementation
- Assessment of compliance
- Control of reporting
- Remedial and preventive actions



- Energy Information System (EIS)
 - A system for the <u>collection</u>, <u>analysis</u> and <u>reporting</u> of data relating to energy performance that supports <u>energy management</u> & <u>decision making</u>
 - May be stand-alone, part of an integrated system or a combination of several different systems
 - Typical elements include sensors and instruments, data infrastructure and software tools
 - Such as meters, software, billing data, bldg info, etc.
 - Include external and internal sources of data

Typical architecture of an energy information system (EIS)



- (1) Data are collected at the building
- (2) A communication device dispatches data
- (3) The data is sent to a database server via Internet.
- (4) The database server stores and archives the data
- (5) EIS users access the server remotely by a web browser

(Source: Motegi, N., Piette, M. A., Kinney, S. and Dewey, J., 2003. Case studies of energy information systems and related technology: operational practices, costs, and benefits, In *Proceedings of the International Conference for Enhanced Building Operations*, October 13-15, 2003, 10 pages.)

An example of web browser-based interface for HVAC control



© E Source; adapted from Portland Energy Conservation Inc.



- Basic features of EIS:
 - Monitoring and collection of energy data
 - User-friendly web browser interface accessible from anywhere via Internet
 - Visualization of the time-series data
 - Tools to assist in understanding energy consumption trends and energy saving opportunities



- Possible benefits of EIS:
 - Early detection of poor performance
 - Effective performance reporting
 - Auditing of historical operations
 - Identification and justification of energy projects
 - Evidence of success
 - Support for energy budgeting and management accounting
 - Energy data to other systems



- Latest trends of web-based EIS
 - Energy dashboards and analytics tools
 - Energy data analysis and visualization
 - Actively engage multiple stakeholders
 - Energy dashboard examples:
 - University of British Columbia (UBC) https://ubc.pulseenergy.com
 - University of North Carolina at Chapel Hill https://itsapps.unc.edu/energy/
 - The UMass Amherst Energy Dashboard: http://www.bedashboard.com/kiosk/20



An example of energy dashboard for buildings

Welcome: Demo | Logout



(Source: https://hbsmicrosites.honeywell.com)



- Data types and sources
 - Consumption
 - Essential for energy & environmental management
 - Electricity & gas; sometimes also water & fuels
 - Through metering & sub-metering
 - <u>Cost \$\$</u>
 - From tariffs or actual billings
 - <u>Drivers</u> (variables or influencing factors)
 - Any factor that influences energy consumption, e.g. weather & indoor conditions



- Two main types of drivers:
 - Activity drivers: features of the organisation's activity, e.g. hours worked, tonnes produced, nos. of guests, opening hours
 - *Condition drivers*: the influence not determined by the activity but by prevailing conditions, e.g. weather (like temperatures)


- Data on driver
 - Activity or production-related drivers
 - From business management info system
 - Such as output volume
 - External climate
 - Such as daily max./min. temperatures, cooling/heating degree days (DD) = cumulative number of degrees in a month or year above/below a base temp. (18.3 °C)*

$$DD = (1 \, \text{day}) \cdot \sum (t_{outdoor} - t_{base})^{\dagger}$$

(* See also: http://www.carbontrust.com/resources/guides/energy-efficiency/degree-days)





- Meter reading & calibration
- Software & software support
- Hardware & hardware support
- System operators
- External contracts
- Data quality
 - Good quality data \neq High accuracy data
 - Must be repeatable & dependable



- "Unanalysed data is information overload"
- *Transform* data into information
 - The desired info output will influence which analytical techniques are used
- Two stages of data analysis
 - Stage 1. Looking only at energy
 - Convert meter readings to consumption figures
 - Compare current period with same period previous year
 - Stage 2. The use of energy data and drivers

Histogram of monthly fuel consumption versus degree-days



⁽Source: Energy Efficiency in Buildings: CIBSE Guide F)



- Leading questions, e.g.
 - Why does this (consumption) happen?
 - Should that happen?
 - Is that what we expect?
 - Can we do better?
 - How well do we compare?
 - What are the key influencing factors?
 - How can they be improved?

Monitoring and targeting (M&T) process

Stage 2 Data analysis

The analysis of production and energy use data to compare actual energy use with a standard or expected consumption

Stage 1 Data collection

The collection of relevant production and energy use data. Other measures (such as degree days) should be collected where necessary

Stage 3 Reporting

The reporting and presentation of the results of the data analysis

Stage 4 Action

Interpretation of the results of the data analysis and action in response to the results to improve the use of energy and to achieve the improvement target

(Source: Energy Efficiency in Buildings: CIBSE Guide F)



- What is Energy Audit (能源審核)?
 - Examination of an energy system or equipment to ensure that energy is being used *efficiently*
 - Process to check for areas of *inefficiency*
 - It is a <u>top-down</u> initiative. Its result depends on the resources being allocated by top management
 - Aims to identify energy management opportunities (EMO) & means for improvement
 - In many ways, an energy audit is similar to financial accounting and auditing



- Overview of energy audit
 - Collection and analysis of <u>relevant information</u> that may affect building energy consumption
 - Review the information, <u>analyse</u> the conditions and performances of existing equipment, systems and installations, and the energy bills
 - <u>Compare</u> with performances at relevant energy efficient modes of operation
 - <u>Identify</u> areas of energy inefficiency and the means for improvement



- Benefits of energy audit
 - Financial
 - Reduce energy and other running costs
 - Reduce maintenance costs
 - Operational
 - Improve building management
 - Increase productivity via improved working conditions
 - Environmental
 - Reduce CO₂ emission and conserve resources





- Auditing steps: (* see also HK Energy Audit Code)
 - Step 1 Collection of Building Information
 - Step 2 Review of Energy Consuming Equipment
 - Step 3 Identification of EMO
 - Step 4 Cost Benefit Analysis of EMO
 - Step 5 Recommendations
 - Step 6 Compiling Energy Audit Report

(* HK Energy Audit Code http://www.emsd.gov.hk/emsd/e_download/pee/EAC_2012.pdf)



- Levels of effort of energy audit (ASHRAE)*
 - Preliminary Energy-Use Analysis (PEA)
 - Level 1 Walk-Through Analysis
 - Level 2 Energy Survey and Analysis
 - Level 3 Detailed Analysis of Capital-Intensive Modifications
- Also, Targeted Audits (of a specific system or end use, such as the chiller plant)

(* Source: ASHRAE 2011. Procedures for Commercial Building Energy Audits, Second Edition)





Relationship of energy audit levels 1, 2, and 3

Preliminary Energy Use Analysis

Calculate kBTU/sf
Compare to similar

Level 1: Walk-through

Rough Costs and Savings for EEMs
 Identify Capital Projects

Level 2: Energy Survey & Analysis

- End-use Breakdown
- Detailed Analysis
- Cost & Savings for EEMs
- O&M Changes

Level 3: Detailed Survey & Analysis

- Refined analysis
- Additional Measurements
- Hourly Simulation

(Source: ASHRAE 2011. Procedures for Commercial Building Energy Audits, Second Edition)

Energy audit level summary: Process

Process		Level	
	1	2	3
Conduct Preliminary Energy Analysis (PEA)		•	
Conduct walk-through survey			
Identify low-cost/no-cost recommendations		•	
Identify capital improvements		•	
Review M&E design, condition and O&M practices		•	
Measure key parameters		•	
Analyse capital measures (savings & costs including interaction)		•	•
Meet with owner/operators to review recommendations		•	
Conduct additional testing/monitoring			
Perform detailed system modeling			
Provided schematic layouts for recommendations			

(Source: ASHRAE 2011. Procedures for Commercial Building Energy Audits, Second Edition)

Energy audit level summary: Report

Report		Level	
	1	2	3
Estimate savings from utility rate change			
Compare EUI (energy use index) to that of similar sites			●
Summarize utility data			
Estimate savings if EUI met target			
Estimate low/cost / no-cost savings			
Perform detailed end-use breakdown			
Estimate capital project costs and savings			
Complete building description and equipment inventory			
General description of considered measures			●
Recommended M&V (measuremt. & verification) method			●
Financial analysis of recommended EMOs			
Detailed description of recommended measures			
Detailed EMO cost estimates			

(Source: ASHRAE 2011. Procedures for Commercial Building Energy Audits, Second Edition)

Example of EMO summary table

			Annual Ene	ergy and Co	Savings	Payback with Incentive									
Measure Number	Measure Description	Peak Savings (kW)	Electricity Savings (kWh)	Gas Savings (therms)	s	Total Cost avings	CO ₂ Savings (tons)	N	leasure Cost	P In	otential NG&E centive	м	Net leasure Cost	MIRR	Simple Payback (yr)
	Lighting Measures				_					_		_			
EEM-1	Reduce Garage Lighting to Half Overnight	0.0	34,465	0	\$	3,447	18.9	s	750	\$	375	s	375	27%	0.1
EEM-2	Install Photocell To Control Lobby Lights	1.4	4,047	0	\$	405	2.2	\$	2,047	\$	503	\$	1,544	7%	3.8
EEM-3	Install Photocell to Control Outdoor Lights and Schedule	0.0	15,257	0	\$	1,526	8.4	\$	1,795	\$	897	\$	897	17%	0.6
EEM-4	Re-Commission Lighting Controls	0.0	109,102	0	\$	10,910	59.9	\$	9,720	\$	4,860	\$	4,860	19%	0.4
EEM-5	Install BI-Level LED Fixtures in Garage	6.3	84,765	0	\$	8,476	46.5	\$	7,127	\$	8,257	\$	88,870	6%	10.5
	Kitchen Measures						,	_		_		_			
EEM-6	Kitchen Hood and Fan Upgrade:	0.0	138, 763	5,989	\$	18,668	111.2	\$	33,085	\$	13,800	\$	19,285	17%	1.0
EEM-7	Install Controls to Schedule Two Pan Chillers in Servery	0.0	9,907	0	\$	991	5.4	\$	1,400	\$	700	\$	700	16%	0.7
EEM-8	Kitchen AC-5 - Expand Outside Air Intake Area	2.2	5,192	0	\$	519	2.9	\$	1,464	\$	689	\$	775	15%	1.5
EEM-9	Install Controls to Schedule Temperature Setbacks for Kitchen AC-5	0.0	1,010	907	\$	827	5.9	\$	1,000	\$	500	s	500	17%	0.6
	Main Air Handler Measures (Occupied Hou	irs Only)													
EEM-10	Repair Economizers and Convert to Dry Bulb Temperature Control	0.0	155,576	0	\$	5,558	85.4	\$	25,083	\$	12,542	\$	12,542	15%	0.8
EEM-11	Supply Air Temperature Optimization and Duct Static Pressure Reset	1.4	178,563	0	\$	7,856	98.1	\$	18,506	\$	9,253	\$	9,253	18%	0.5
EEM-12	Install VFDs on Exhaust Fans	(4.7)	31,858	0	\$	3,186	17.5	\$	33 <mark>,</mark> 853	\$	2,396	\$	31,456	6%	9.9
	SUB-TOTALS	6.5	768,505	6,896	\$	2,368	462.3	\$	225,830	\$	54,772	\$	171,058	12%	2.1
TOTALS (R	tecommended Measures)	6.5	768,505	6,896	\$	82,368	462.3	\$	225,830	\$	54,772	\$	171,058	12%	2.1



- Energy audits can be carried out by
 - Building manager or internal staff (in-house)
 - External consultant or professionals
- Typical stages of energy audit:
 - 1. Pre-audit stage
 - 2. Energy audit stage
 - 3. Post-audit stage





Instruments for energy audit and measurements



Typical structure of an energy audit report





- Finding energy management opportunities (EMOs):
 - 1) Start with a valid need
 - 2) Waste-loss analysis, i.e. match and maximize
 - 3) Optimize the supply
- Why this order?
 - End-use actions influence all other parts of the system do this first
 - Lower cost actions are operational at end-use
 - Higher cost actions are technological higher efficiency components
 - End-use determines supply requirement



- Match the requirement
 - Setback temperatures
 - Turn-off lights in unoccupied areas
 - Provide task—rather than general lighting
 - Avoid dampers / throttling match flows by:
 - Resizing the fan/pump
 - Installing a variable speed drive on fan/pump motor
 - Provide ventilation on demand



- Maximise efficiencies
 - Reduce ventilation duct flow restrictions
 - Clean air filters regularly
 - Keep heat exchange surfaces clean
 - Use a higher efficacy light source
 - Install a high efficiency motor

Assessing the costs and benefits

• Benefits:

- direct energy savings
- indirect energy savings
- comfort/productivity increases
- operating and maintenance cost reductions
- environmental impact reduction
- O&M savings

Costs:

- direct implementation costs
- direct energy costs
- indirect energy costs
- O&M cost increase



(Source: Department of Minerals and Energy, South Africa)



"Low-hanging fruit"

- Three categories of EMOs:
 - Category I (no cost): -
 - Housekeeping measures which are improvements with practically no cost investment and no disruption to building operation
 - *Category II (low cost)*:
 - Changes in operation measures with relatively low cost investment
 - *Category III (high cost)*:
 - Relatively higher capital cost investment to attain efficient use of energy

Building Retrofit Process





ASHRAE Level I to III Energy Audit

1	Leve	el 2
	2	3
•	•	•
•	•	•
•	•	•
•	•	•
	•	•
	•	•
	•	•
	•	•
		•
		•
		•
	Leve	el 🛛
1	2	3
•	•	•
•	•	•
•	•	•
•		
	•	•
	•	•
		•
		•
	1	Leve 1 2

Example of utility bill analysis during energy audit



Example of time-series data analysis during energy audit



Example of statistical data analysis during energy audit



Example of demand analysis based on hourly data



Building Retrofit

- Typical energy saving measures
 - Replace existing boilers with properly sized new boilers
 - Upgrade or replace existing chiller plant
 - Convert constant volume hot and chilled water distribution systems to variable volume distribution system (add VSDs)
 - Add or update the BMS and controls
 - Lighting retrofits (replace with LED, etc.)

Building Retrofit

- Install monitoring system and collect baseline building operation data
 - Sub-metering end uses
 - Better understand building operations and thermal comfort/indoor air quality levels
 - Calibrate building energy models
 - Prepare for M&V to determine actual savings
- Extensive modelling and design of energy conservation measures

Data analysis for HVAC fan systems



Use calibrated building energy model to predict energy savings





- Model will be calibrated using the monitored operational variables to reflect "as-operated" conditions;

After the modeling results show a good match to sub-metered energy use, the model can be used for ECM savings prediction

Further Readings



- ISO 50001 -- Wikipedia http://en.wikipedia.org/wiki/ISO_50001
- The Carbon Trust, 2013. *Energy Management A Comprehensive Guide to Controlling Energy Use* [http://www.carbontrust.com/resources/guides/energyefficiency/energy-management]
- EMSD, 2007. *Guidelines on Energy Audit*, 2007 Edition, Electrical and Mechanical Services Department, Hong Kong.
 - http://www.emsd.gov.hk/emsd/e_download/pee/Guidelines_on_Energ y_Audit_2007.pdf